Cardiopulmonary changes in patients with sickle cell anemia: A systematic review

Silva Lopes J et al. Cardiopulmonary changes in SCA
Abstract

BACKGROUND

Given the high prevalence of cardiovascular and pulmonary abnormalities associated with sickle cell anemia (SCA), the clinical impact caused in addition to compromising the quality of life of patients and the overcharge that it represents to the public health system, this study systematized and evaluated scientific publications on pulmonary complications and cardiovascular diseases in sickle cell patients from 1920 to 2020. This compilation aims to provide knowledge for health professionals and managers in order to draw attention to the importance of chronic diseases in SCA patients, in addition to providing elements that provide improvements in management of useful resources that contribute to improve the quality and increase the life expectancy of these patients.

AIM

To systematically compile information about cardiopulmonary changes in patients with SCA.

METHODS

A systematic literature review was performed, based on the PRISMA recommendation including scientific articles indexed in the Scientific Electronic Library Online databases, United States National Library of Medicine and Biblioteca Virtual de Saúde. The search period was delimited between 1990 and 2020 and selected in Portuguese, English and Spanish. Three sets of descriptors were used for each database, including only research carried out with human beings. After reading the articles, those useful for this review were extracted using a collection instrument designed for this purpose.

RESULTS

The final selection included 27 studies. The year with the highest number of publications was 2016 with 05 studies (18.51%), followed by 2017 with 04 (14.81%). The type of study most carried out in the period was cohort 10 (37.03%) followed by cross-
sectional and case-control with 8 studies in each (29.62%). Regarding the language of publication, the distribution was as follows: 25 (92.59%) in English, 1 (3.70%) in Spanish and 1 (3.70%) in Portuguese.

CONCLUSION
The findings of the present study suggest that cardiopulmonary alterations represent a serious clinical repercussion of SCA. Of the analyzed studies, the high occurrence of pulmonary hypertension, ventricular hypertrophy and diastolic dysfunction stands out as the main cardiopulmonary complications. In view of the increased survival in SCA, there is a need for surveillance and the development of strategies aimed at preserving the cardiopulmonary function and, consequently, improving the quality of life of these patients.

Key Words: Sickle cell anemia; Cardiopulmonary alterations; Clinical profile; Systematic review

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Core Tip: Sickle cell anemia (SCA) is the most common and severe form of sickle cell disease (SCD), accounting for approximately 70% of SCD cases worldwide. Illness related to SCA is an important public health problem, as it is a serious chronic disease with limited possibility of cure and that causes suffering to its patients. With adult age and aging, cardiopulmonary changes are mainly observed. Given their high prevalence and the clinical impact caused to patients with SCA, this study compiled information about cardiopulmonary changes in patients with SCA.

INTRODUCTION
Sickle cell anemia (SCA) is part of a group of hemoglobinopathies called sickle cell disease (SCD), in which individuals inherit hemoglobin variants derived from single-point mutations that result in morphological abnormalities in red blood cells. The SCA is the most common and severe form of the disease, accounting for 70% of SCD cases in African ethnicity patients. Among the other forms of SCD, Sβ-thalassemia and heterozygous forms with hemoglobin C (HbC) and D (HbD) must be highlighted[1-3].

This hemoglobinopathy is characterized by an autosomal recessive mutation in the gene that produces HbA, giving rise to HbS, which forms red blood cells shaped like a crescent or sickle, which makes blood oxygenation difficult, causing various types of complications, such as chronic hemolytic anemia, vaso-occlusive phenomena and consequent pain crises due to decreased blood perfusion. In addition, infarction and necrosis in various organs, such as bones, joints, spleen, lungs and kidneys may occur[4].

Illness related to SCD is an important public health problem worldwide, as it has a great impact on morbidity and mortality in the affected population, which in Brazil is estimated at 30000 patients, with an annual increase of 3500 new cases. Furthermore, about 20% of children do not reach the first 5 years of life, especially when they do not have adequate medical care. It is, therefore, a serious chronic disease with limited possibility of cure and that still causes significant suffering to its patients, which requires special medical, genetic and psychosocial attention[5,6].

Advances in treatment and survival studies with patients with SCA demonstrates an improvement in life expectancy. A few years ago, this expectation was only 20 years, which increased to an 85% chance of survival after 20 years after the implementation of neonatal diagnosis, education and comprehensive patient care programs. Although there is an increase in quality of life and longevity, clinical complications persist and, with adulthood and aging, others start to be observed. The chronic impact of hemolytic anemia and vaso-occlusive episodes lead to more evident progressive complications in target organs (lungs, heart, spleen, bones, brain, kidneys and skin). The development of cardiopulmonary manifestations associated with the disease include: Elevated pulmonary artery systolic pressure, pulmonary hypertension (PH), left ventricular...
diastolic heart disease, cardiac dysrhythmia and sudden death. In older patients, cardiopulmonary dysfunction is more intense and significantly contributes to morbidity and premature mortality\textsuperscript{[7,8]}. Cardiomegaly is the main cardiac alteration seen in sickle cell patients. Myocardial dilatation and hypertrophy are other important manifestations. These changes result from hemodynamic dysfunction resulting from the reduced oxygen transport capacity, imposing an increase in cardiac output (CO), which can reach up to 50\% during rest in patients with SCA. This process occurs mainly due to a greater systolic volume, because of the increase in preload: Product of cardiac dilation; and afterload: Due to lower peripheral vascular resistance (PVR). This hemodynamic overload also promotes the finding of other clinical findings such as murmurs, perceived on auscultation\textsuperscript{[9]}.

The overload in iron concentrations resulting from multiple blood transfusions is an additional factor in the pathogenesis of cardiac dysfunction. The main mechanism is related to the free iron ion that exceeds the body’s capacity to store and neutralize this element through the chelation process. Excess free iron is gradually deposited in various organs or tissues such as the heart, contributing to organ dysfunction with dilation and hypertrophy, arrhythmia and heart failure\textsuperscript{[10]}. The pathophysiological mechanisms described are characterized as adaptive actions against the aggressions produced by the disease and the persistence of the changes explains why it is uncommon for the physical examination of a patient with SCA to show no changes\textsuperscript{[9]}.

Pulmonary complications are the main causes of morbidity and mortality in patients with SCA in all age groups. It is estimated that 90\% of these adult individuals have abnormal lung function. Chronic lung disease is likely a consequence of recurrent episodes of acute chest syndrome (ACS), infections, fat embolism, and pulmonary infarction. It is believed that PH is one of the main causes of death in adult patients. However, only 10\% of patients with SCA are monitored for early detection of PH. Its pathophysiological mechanism is complex and probably multifactorial\textsuperscript{[9,11]}. Cardiopulmonary complications can develop independently, and each one of them (cardiac or pulmonary) individually contributes to greater morbidity and mortality, and
the combination of both is an important aggravating factor in the worsening of the prognosis of these patients[111].

Given the high prevalence of cardiovascular and pulmonary abnormalities associated with SCA, the clinical impact caused in addition to compromising the quality of life of patients and the overcharge that it represents to the public health system, this study systematized and evaluated scientific publications on pulmonary complications and cardiovascular diseases in sickle cell patients from 1920 to 2020. This compilation aims to provide knowledge for health professionals and managers in order to draw attention to the importance of chronic diseases in SCA patients, in addition to providing elements that provide improvements in management of useful resources that contribute to improve the quality and increase the life expectancy of these patients.

MATERIALS AND METHODS

This is a systematic review conducted in accordance with the PRISMA recommendation on cardiopulmonary alterations in patients with SCA.

Eligibility criteria

Types of studies: Articles that had as their object: Cardiac or pulmonary alterations in SCA, published between 1990 and 2020 in English, Portuguese and Spanish. Were included cross-sectional, descriptive, quantitative, cohort, meta-analysis, case-control and experimental studies.

Types of participants: Patients with SCA who have cardiopulmonary disorders.

Types of results: Scientific articles that include results of prevalence, relative risk, outcome or data analysis about cardiopulmonary complications in patients with SCA.

Information sources
The search and evaluation of scientific articles took place between June and September 2020 in the Scientific Electronic Library Online (SCIELO), United States National Library of Medicine (PUBMED) and Biblioteca Virtual de Saúde (BVS) databases.

**Research**

The search strategy in the PUBMED and VHL databases included the following keywords: “sickle cell anemia and cardiovascular complications”, “sickle cell anemia and lung complications”, “sickle cell anemia and cardiopulmonary anemia”, “sickle cell anemia and cardiopulmonary”, “sickle cell anemia and lung complications”, “sickle cell anemia and cardiac complications”, “sickle cell anemia and lung” and “sickle cell anemia and cardiac”. For the SCIELO database, the terms used were: “sickle cell anemia and cardiopulmonary”, “sickle cell anemia and lung changes” and “sickle cell anemia and lung”.

**Sample selection**

Two authors of this study carried out the selection of articles independently (Silva Lopes J, Garcia Viana Í). Subsequently, verification and exclusion of duplicates was performed, with subsequent reading and selection of abstracts, excluding those that did not directly address cardiopulmonary changes in patients with SCA. Finally, full reading of the articles was established, including only those that met the eligibility criteria.

**Data collection process**

After reading the articles, the data of interest for this review were extracted, using a collection instrument developed by the authors, available in the supplementary material.

**Data selection**
The information extracted from the studies included: Year of publication, title, journal/magazine, article objective and content synthesis.

Risk of bias in each study: The main bias found in the cross-sectional studies presented here was the establishment of causality, an inherent characteristic of this type of study. Reduced sampling was reported in three articles. In case-control studies, a selection bias prevailed, especially in the selection of controls that were properly matched to the cases. In clinical trials, the most reported limitation was the difficulty in extrapolating the results to clinical management, due to the limited number of participants. The inability to apply “gold standard” tests was also mentioned in two articles. The sample size, the retrospective characteristic and the inability to use “gold standard” tests were the main limitations found in the cohort studies.

RESULTS

After applying the uni-terms, 6767 articles were found, distributed as follows: 3948 in PUBMED, 2797 in BVS and 22 in SCIELO. After applying the eligibility criteria, reading titles, excluding duplicates, reading abstracts and full texts, 27 studies that make up this review were selected (Figure 1). The main results of this study are summarized in Table 1.

Study characteristics

The decade from 2010 to 2020 concentrated the largest number of publications, with a total of 21 (77.77%) studies, followed by the decade from 2000 to 2010, with 5 (18.51%) studies in this period. In the decade from 1990 to 2000 only one publication was found. In 2016, 05 studies (18.51%) were published, 2017, 04 (14.81%). In 2011 and 2015, 03 studies were published each year (11.11%). In 2008, 2012 and 2018, 02 articles.

The type of study most carried out in the period was cohort 10 (37.03%) followed by cross-sectional and case-control with 8 studies each (29.62%) and a multicenter study (3.70%). Regarding the language of publication, most were published in English 25
(92.59%), with one article in Spanish and another in Portuguese. As for the age range of the studies, 10 (37.03%) referred to adulthood, 9 (33.33%) referred to pediatric patients and 8 (29.62%) included both age groups.

**DISCUSSION**

In compiling the data from the 27 articles, it was possible to observe that the main cardiopulmonary changes described in sickle cell patients were: PH, acute thoracic syndrome (ATS), restrictive and obstructive respiratory dysfunctions, wheezing in children, increased tricuspid regurgitation velocity (TRV), enlargement of the left ventricle (LV) and left atrium (LA) and diastolic dysfunction with normal systolic function. It was also possible to observe that PH and STA are among the most important causes of morbidity and mortality in patients with SCA.

**Pulmonary complications in SCA**

PH can be diagnosed through right cardiac catheterization, an invasive method that is the gold standard for diagnosis, and non-invasively by measuring the TRV with diagnostic confirmation defined as a TRV ≥ 2.5 m/s. Caughey *et al*[^16] demonstrated that most studied patients with suspected PH had elevated TRV and 59% had values > 3.0 m/s. A higher PH detection rate was observed by measuring TRV, highlighting a greater possibility of false-positive results with this method[^11-13].

Dham *et al*[^14] found that children with SCA had significantly higher TRV, systolic, diastolic and mean pulmonary artery pressures than controls. The highest frequency of pediatric patients with ACS and PH found was in the age group of five and seven years. The high prevalence of PH among younger children is an uncommon finding, as this complication is known to progress with age[^15]. TRV values ≥ 2.5 m/s were shown to be correlated with a history of acute chest syndrome and previous transfusions. Elevated left atrial pressure and right ventricular stroke volume were predictors of TRV in a multivariate regression model. Higher TRV was also associated with increased left ventricular and atrium chambers and higher levels of B-type natriuretic peptide, lactate
dehydrogenase (LDH), amino aspartate transferase (AST), erythropoietin, urea, creatinine and reticulocytes. Another clinical finding reported in the studies found was the presence of the second heart sound with greater intensity. This change was statistically associated with PH in children with ACS, and individuals with this clinical finding demonstrated a 3.4 times greater chance of having PH[12,15-20].

However, PVR and Hb levels were indirectly related to the increase in TRV. For every 1.0 g/dL increase in Hb, TRV decreased by 13%. The data found suggested that most adult patients with ACS and suspected PH had normal PVR. Caughey et al.[16] demonstrated that the mean PVR index was significantly higher in patients with suspected PH than in those without PH although they were still below the cutoff point for elevated PVR. Only 2 individuals with suspected PH (6%), with TRV values between 3.0 and 3.9 m/s, respectively, had a high PVR index[14,15].

The pathogenesis of PH in patients with SCD is complex. The compensatory state of high CO due to chronic anemia may contribute to increased pulmonary arterial pressure (PAP) in the presence of normal PVR. PH as a manifestation of left ventricular dilatation and eccentric hypertrophy may be significant in some patients. Hemolysis is also believed to play an important role, leading to nitric oxide depletion, endothelin-1 release, and platelet activation. Ultimately, they result in vasculopathy characterized by endothelial dysfunction, increased vascular tone, inflammation, hypercoagulability, and vascular remodeling[16].

The strong and independent associations of TRV with the velocity-time integral of the right ventricular outflow tract and the left atrial pressure index support the importance of high CO in the pathogenesis of PH in this population. A possible role for hemolysis is suggested by the negative correlation of TRV with Hb and reticulocyte counts. Circulating erythropoietin concentrations reflect the degree of tissue hypoxia, and the association of a higher level of erythropoietin with higher TRV may serve as a marker of the degree of tissue hypoxia, which appears to be associated with the development of PH in other conditions[15-17].
ATS was reported in the study by Vichinsky et al\textsuperscript{[21]}, in which more than two-thirds of participants with SCA had a history of STA with multiple episodes. The cause of STA was established in 38\% of the episodes, with infections and pulmonary emboli (bone marrow, fatty or thrombotic) being the main ones reported. Of the 27 different pathogens identified, \textit{Chlamydia pneumoniae} was the most prevalent, followed by \textit{Mycoplasma pneumoniae}.

Maioli et al\textsuperscript{[11]} and Cuervo et al\textsuperscript{[24]} showed that the pulmonary function test in patients with ACS can identify different elements related to the evolutionary stage of the disease, including restrictive ventilatory dysfunction, observed in patients with SCA, regardless of a previous history of SCA. However, a history of 2 or more episodes of AST makes this clinical manifestation the most important risk factor for chronic lung damage and, consequently, characteristic ventilatory changes. MacLean et al\textsuperscript{[23]} and Cuervo et al\textsuperscript{[24]} also reported that obstructive pulmonary abnormalities occur first, followed by the development of restrictive abnormalities, which become more prominent with increasing age in children and adolescents with SCA. A history of asthma or wheezing, bronchopulmonary dysplasia, cystic fibrosis, bronchiolitis, and a higher concentration of LDH were associated with obstructive pulmonary disease, reflecting lower TFP values. It was also observed that low forced expiratory volume in 1 s (FEV\textsubscript{1}\%) was considered an independent predictor of early death in adults with SCA, with a decrease in FEV\textsubscript{1}\% being associated with an increase in the measurement of TRV\textsuperscript{[22,23]}.

Throughout life, patients with SCA have the lung parenchyma subject to episodes of ischemia during vaso-occlusion crises. These events sometimes lead to necrosis and subsequent regeneration with formation of fibrotic tissue. These pathophysiological mechanisms can occur during STA or in the course of a vaso-occlusive chest crisis, so that, with advancing age, the lung parenchyma starts to present more fibrotic tissue, contributing to the onset of the restrictive change, which justifies the increase in the percentage of restrictive disorders from the age of 25 onwards. An association between restrictive changes and increased left ventricular size was also observed. LV dilation can
reduce lung volume due to pulmonary congestion and the direct effect of heart compression on the lung parenchyma. In obstructive changes, they reported that increased capillary blood volume and hemolysis may contribute to increased airway obstruction in children with SCA\textsuperscript{[11,24-27]}.

Other pulmonary alterations associated with SCA have been described: Mosaic attenuation pattern on computed tomography (CT) associated with increased TRV, decreased hemoglobin levels and reduced respiratory muscle strength in a ground-glass pattern. Furthermore, it has been reported that children with sickle cell have more frequent wheezing compared to children without SCA and that leukocytosis is considered a risk factor for early decline in pediatric lung volumes\textsuperscript{[11,26,29]}. Several mechanisms may be involved in the decrease in respiratory muscle strength in these patients: Shallow breathing due to chest pain, vaso-occlusion that affects muscle performance, and chest cavity deformities resulting from successive bone infarctions. The results of the study by Maioli et al\textsuperscript{[11]} suggested that the partial collapse of airway spaces after inspiration, due to respiratory muscle weakness, may explain the pattern in the CT of these patients. The association between elevated TRV and the appearance of a mosaic attenuation pattern on CT is indicative of occlusive vascular disease and small airway obstructive disease.

The finding of wheezing on pulmonary auscultation also supports the appearance of obstructive disease. However, the mechanisms by which leukocytes can affect lung volumes are not clear. Leukocytes are able to adhere to blood vessel walls and obstruct the lumen. They also stimulate the vascular endothelium, resulting in a cascade of events that lead to tissue damage and an inflammatory reaction that further favors the phenomenon of vaso-occlusion\textsuperscript{[28,29]}.

\textit{Cardiac complications in SCA}

The most reported cardiac alterations indicated an enlargement of the LV and atrium and prolongation of the corrected QT (QTc) interval on the electrocardiogram. Patients with SCA also had diastolic dysfunction with increasing age (with preservation of
systolic function) and, in some cases, systolic dysfunction[30-32]. The pattern of diastolic dysfunction, left atrial dilation, and normal systolic function observed in these patients is consistent with an aspect of restrictive cardiomyopathy. Elevated TRV is correlated with increased PAP, being the result of pulmonary arterial endothelial dysfunction due to intravascular hemolysis. However, restrictive physiology also increases PAP and TRV secondary to increased LA pressure[30,33].

Diastolic dysfunction may also result from a combination of myocardial fibrosis, microvascular occlusions by sickle cells, ischemic events, cardiomyocyte loss and oxidative stress. Niss et al[34] reported that individuals with advanced fibrosis had concomitant diastolic dysfunction. These progressive myocardial injuries promote dilatation and increased pressure in the LA and a slight increase in retrograde pulmonary venous pressure[33,34]. The increase in LV is mainly due to hyperdynamic circulation related to anemia. In addition, abnormal loading conditions associated with anemia also lead to its dilation and consequent increase in stroke volume. Other factors, including iron overload, immunogenic factors, damage to the microcirculation from vaso-occlusive crisis and associated valvular disease may contribute to the remodeling process and cardiac dysfunction[30,32,33].

Indik et al[31] reported that prolongation of the QTc interval on the electrocardiogram was present in 39% of men and 27% of women with SCA and associated with higher values of TRV. A QTc interval greater than 450 ms in men and 470 ms in women was associated with a higher risk of death. Thus, the presence of multiple vaso-occlusive episodes throughout life may also contribute to QTc interval prolongation, coronary microvascular dysfunction and increase the risk of sudden death in SCD.

The studies compiled herein showed an important frequency of pulmonary and cardiac impairment in patients with SCA. The treatments now available have contributed to increase the life expectancy of patients. The increase in the average age of patients may imply an increase in the prevalence of cardiopulmonary alterations, in addition to other comorbidities associated with the disease. This scenario suggests the need to improve specialized and early care for these patients, especially with a view to
early diagnosis of dysfunctions and monitoring of cardiopulmonary function from childhood, aiming to promote a decrease in the worsening of cases of pulmonary and cardiac dysfunction, contributing to improvement of the cardiopulmonary function of these patients and, above all, allow guarantees of a better quality and expansion of life expectancy for this population.

CONCLUSION
The findings presented here suggest that cardiopulmonary alterations have an important negative clinical repercussion in patients with SCA. These changes are the result of multiple etiologies: inflammatory, restrictive, obstructive, remodeling and vaso-occlusive. Among the changes, it is worth highlighting the high prevalence of PH, ventricular hypertrophy and diastolic dysfunction. The increased survival of patients with SCA highlights the need to develop strategies aimed at improving the quality of life of these patients. These interventions involve improving the early diagnosis of cardiopulmonary changes with specific tests and family guidance in the face of the first signs of these complications (wheezing, dyspnea and chest pain). Public health strategies for the diagnosis and monitoring of cardiopulmonary dysfunction in patients with SCA must necessarily offer specialized medical care with a specialist and complementary diagnostic tests that are sufficient for the conclusive diagnosis and treatment of clinical complications secondary to cardiopulmonary alterations.

Early diagnosis, follow-up and specialized treatment may contribute to reducing the episodes of hospital admissions due to complications, which may impact on cost reduction to the health system, since it is a disease that can generate long periods of hospital admissions in situations of worsening of the condition, in addition to the human impact caused. Basic health care through more frequent follow-up at basic health units, blood centers and other secondary care units and immediate seeking of medical assistance in possibly serious situations, such as episodes of pain crises, can determine, in the long term, less need for hospital admission.
ARTICLE HIGHLIGHTS

Research background
Sickle cell anemia (SCA) is part of a group of hemoglobinopathies called sickle cell disease (SCD), in which individuals inherit hemoglobin variants derived from single-point mutations that result in morphological abnormalities in red blood cells.

Research motivation
Illness related to SCD is an important public health problem worldwide, as it has a great impact on morbidity and mortality in the affected population, which in Brazil is estimated at 30000 patients, with an annual increase of 3500 new cases. Furthermore, about 20% of children do not reach the first 5 years of life, especially when they do not have adequate medical care.

Research objectives
This study aimed to systematically compile information about cardiopulmonary changes in patients with SCA.

Research methods
A systematic literature review was performed, based on the PRISMA recommendation including scientific articles indexed in the Scientific Electronic Library Online databases, United States National Library of Medicine and Biblioteca Virtual de Saúde. The search period was delimited between 1990 and 2020 and selected in Portuguese, English and Spanish. Three sets of descriptors were used for each database, including only research carried out with human beings. After reading the articles, those useful for this review were extracted using a collection instrument designed for this purpose. The final selection included 27 studies.

Research results
The year with the highest number of publications was 2016 with 05 studies (18.51%), followed by 2017 with 04 (14.81%). The type of study most carried out in the period was cohort 10 (37.03%) followed by cross-sectional and case-control with 8 studies in each (29.62%). Regarding the language of publication, the distribution was as follows: 25 (92.59%) in English, 1 (3.70%) in Spanish and 1 (3.70%) in Portuguese.

**Research conclusions**

The findings of the present study suggest that cardiopulmonary alterations represent a serious clinical repercussion of SCA. Of the analyzed studies, the high occurrence of pulmonary hypertension, ventricular hypertrophy and diastolic dysfunction stands out as the main cardiopulmonary complications.

**Research perspectives**

In view of the increased survival in SCA, there is a need for surveillance and the development of strategies aimed at preserving the cardiopulmonary function and, consequently, improving the quality of life of these patients.
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