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#### **ABOUT COVER**

Editorial Board Member of World Journal of Hepatology, Chien-Hao Huang, MD, PhD, Associate Professor, Department of Gastroenterology and Hepatology, Chang-Gung Memorial Hospital, Linkou Medical Center, Taoyuan, Taiwan, TaoYuan 330, Taiwan. huangchianhou@gmail.com

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ORIGINAL ARTICLE

# **Observational Study** Liver histological changes in untreated chronic hepatitis B patients in indeterminate phase

De-Liang Huang, Qin-Xian Cai, Guang-De Zhou, Hong Yu, Zhi-Bin Zhu, Jing-Han Peng, Jun Chen

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De-Liang Huang, Qin-Xian Cai, Zhi-Bin Zhu, Jing-Han Peng, Jun Chen, Department of Liver Diseases, The Third People's Hospital of Shenzhen, Shenzhen 510000, Guangdong Province, China

Guang-De Zhou, Department of Pathology, Beijing Youan Hospital, Capital Medical University, Beijing 100000, China

Hong Yu, Department of Pathology, National Clinical Research Centre for Infectious Disease, The Third People's Hospital of Shenzhen and the Second Hospital Affiliated with the Southern University of Science and Technology, Shenzhen 518112, Guangdong Province, China

Corresponding author: Jun Chen, PhD, Professor, Department of Liver Diseases, The Third People's Hospital of Shenzhen, No. 29 Shenzhen Long Gang Bulan Road, Shenzhen 510000, Guangdong Province, China. drchenjun@163.com

### Abstract

#### BACKGROUND

Studies with large size samples on the liver histological changes of indeterminate phase chronic hepatitis B (CHB) patients were not previously conducted.

#### AIM

To assess the liver histological changes in the indeterminate phase CHB patients using liver biopsy.

#### **METHODS**

The clinical and laboratory data of 1532 untreated CHB patients were collected, and all patients had least once liver biopsy from January 2015 to December 2021. The significant differences among different phases of CHB infection were compared with t-test, and the risk factors of significant liver histological changes were analyzed by the multivariate logistic regression analysis.

#### RESULTS

Among 1532 untreated CHB patients, 814 (53.13%) patients were in the indeterminate phase. Significant liver histological changes (defined as biopsy score  $\geq$  G2 and/or  $\geq$  S2) were found in 488/814 (59.95%) CHB patients in the indete-rminate phase. Significant liver histological changes were significant differences among different age, platelets (PLTs), and alanine aminotransferase (ALT) subgroup in indeterminate patient. Multivariate logistic regression analysis indicated that age



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 $\geq$  40 years old [adjust odd risk (aOR), 1.44; 95% confidence interval (CI): 1.06-1.97; *P* = 0.02], PLTs  $\leq$  150 × 10<sup>9</sup>/L (aOR, 2.99; 95%CI: 1.85-4.83; *P* < 0.0001), and ALT  $\geq$  upper limits of normal (aOR, 1.48; 95%CI: 1.08, 2.05, *P* = 0.0163) were independent risk factors for significant liver histological changes in CHB patients in the indeterminate phase.

#### CONCLUSION

Our results suggested that significant liver histological changes were not rare among the untreated CHB patients in indeterminate phase, and additional strategies are urgently required for the management of these patients.

Key Words: Chronic hepatitis B; Indeterminate phase; Gray-zone; Liver biopsy; Pathological histology; Risk factors

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**Core Tip:** In this study, we investigated liver histological changes in 814 chronic hepatitis B patients in the indeterminate phase, in which significant liver histological changes (defined as biopsy score  $\geq$  G2 and/or  $\geq$  S2) were found in 488/814 (59.95%) patients, which suggested that additional strategies are urgently required for management of these patients.

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#### INTRODUCTION

Hepatitis B virus (HBV) infection is an important public health concern worldwide, and also one of the leading causes of liver inflammation, fibrosis, cirrhosis, and hepatocellular carcinoma (HCC)[1-3]. At present, chronic hepatitis B (CHB) infection is mainly divided into four phases, including immune tolerant phase, HBeAg-positive immune active phase, inactive phase, and HBeAg-negative immune active phase[1-3]. The concept of CHB phase is clinically important to determine the history and risk of developing CHB- related complications, as well as assisting clinicians in the therapy of CHB. Antiviral therapy is currently recommended for CHB patients in HBeAg- positive and -negative immune active phases to reduce the risk of cirrhosis and HCC, while regular monitoring of CHB patients in inactive and immune tolerant phases is essential[1-4].

Nevertheless, there are still some "gray-zone" patients beyond the definition of CHB phase[5]; for instance, corresponding to CHB patients in HBeAg-positive immune tolerant phase whose alanine aminotransferase (ALT) level is normal and serum HBV-DNA level > 10<sup>6</sup> IU/m, patients with normal ALT level and serum HBV-DNA level  $\leq$  10<sup>6</sup> IU/L that would be beyond the clinical criteria of tolerant phase, these "gray-zone" patients cannot be assigned into none of the four phases and allocated into indeterminate phase, and the main management strategy for them is monitoring[1,6-8]. Recently, studies showed that the disease progression of these patients was inconsistent. For instance, a study performed in the United States showed that Caucasian patients with indeterminate phase CHB without antiviral treatment had a good outcome in the long-term follow-up, and antiviral therapy could be safely avoided or delayed[8]. Nevertheless, studies found that untreated indeterminate CHB patients remained indeterminate during follow-up, had a higher 10-year cumulative HCC incidence and a higher risk of HCC than those remained in the inactive phase[9,10]. Therefore, it remains controversial whether these patients deserve long-term monitoring or require further positive intervention. Therefore, the present study was conducted to explore liver histological changes in the untreated indeterminate phase CHB patients by liver biopsy.

#### MATERIALS AND METHODS

#### Patients

A total of 3658 CHB patients who underwent liver biopsy in the Third People's Hospital of Shenzhen (Shenzhen, China) from January 2015 to December 2021 were enrolled. These adult patients (older than 18), with HBsAg positive more than 6 months and never received antiviral therapy. Patients had a stable state of hepatitis B related antigen, and antibodies, HBV-DNA level, and ALT level within 6 months before liver biopsy. Patients with the following conditions were excluded: Co-infection with other viruses, including hepatitis A virus, hepatitis C virus, hepatitis D virus, hepatitis E virus, Epstein-Barr virus, cytomegalovirus, herpes virus infection, human immunodeficiency virus infection; co-existence of decompensated liver cirrhosis, HCC, and other malignant liver tumors; concurrent with other chronic liver diseases, such as autoimmune hepatitis, alcoholic liver disease, primary biliary cirrhosis, nonalcoholic fatty liver disease; immune phase were unstable within 6 months before liver biopsy; and a history of undergoing liver transplantation before the

enrolment. Finally, 1532 CHB patients were met the inclusion criteria and included in the study (Figure 1). All data were collected from electronic medical record system of our hospital, including age, gender, disease history, albumin (ALB), ALT, aspartate aminotransferase (AST), glutamate aminotransferase (GGT), total bilirubin (TBIL), white blood cell (WBC), platelet (PLT), HBsAg, HBeAg, HBeAb, HBcAb, HBV-DNA level, imaging findings and liver biopsy report. The present study was approved by the Ethics Committee of the Third People's Hospital of Shenzhen (Approval No. 2022-003), and patients' informed consent was waived due to the retrospective nature of the study.

#### Definitions

According to the clinical staging criteria presented by the American Association for the Study of Liver Diseases (AASLD) guideline (ver. 2018)[1], patients with CHB were divided into the four phases, including immune tolerant phase, HBeAgpositive immune active phase, inactive phase, and HBeAgpositive immune active phase. The upper limits of normal (ULN) of ALT was 35 U/L for males and 25 U/L for females based on the AASLD guideline (ver. 2018)[1,11].

Patients who did not meet the clinical criteria of four phases were classified as indeterminate phase. According to the different statuses of HBeAg, and the levels of ALT and HBV-DNA, corresponding to the four-phase, patients in the indeterminate phase were further divided into 4 subgroups: The indeterminate A phase (patients with HBeAg-positive, normal ALT level, and serum HBV-DNA level  $\leq 10^6$  IU/mL); the indeterminate B phase (patients with HBeAg-positive, ALT  $\geq 2$  ULN and serum HBV- DNA level < 20000 IU/mL, or patients with HBeAg-positive, ALT equal to 1-2 ULN, regardless of HBV-DNA level); the indeterminate C phase (patients with HBeAg-negative, normal ALT level, and serum HBV-DNA level); and indeterminate D phase (patients with HBeAg-negative, ALT  $\geq 2$  ULN and serum HBV-DNA level  $\leq 2000$  IU/mL); and indeterminate D phase (patients with HBeAg-negative, ALT  $\geq 2$  ULN and serum HBV-DNA level  $\leq 2000$  IU/mL, or patients with HBeAg-negative, ALT  $\geq 2$  ULN and serum HBV-DNA level  $\leq 2000$  IU/mL); and indeterminate D phase (patients with HBeAg-negative, ALT  $\geq 2$  ULN and serum HBV-DNA level  $\leq 2000$  IU/mL, or patients with HBeAg-negative, ALT  $\geq 2$  ULN and serum HBV-DNA level  $\leq 2000$  IU/mL, or patients with HBeAg-negative, ALT  $\geq 2$  ULN and serum HBV-DNA level  $\leq 2000$  IU/mL, or patients with HBeAg-negative, ALT  $\geq 2$  ULN and serum HBV-DNA level  $\leq 2000$  IU/mL, or patients with HBeAg-negative, ALT  $\geq 2$  ULN and serum HBV-DNA level  $\leq 2000$  IU/mL, or patients with HBeAg-negative, ALT equal to 1-2 ULN, regardless of HBV-DNA level (Supplementary Table 1)[5].

#### Prediction models for liver histology

In the present study, aspartate aminotransferase-to-PLT ratio index (APRI), fibrosis-4 (FIB-4) index, and gamma-glutamyl transpeptidase to PLT ratio (GPR) were used to assess liver histological changes. Studies have shown that APRI < 1.0, FIB-4 < 1.45, and GPR  $\leq$  0.32 were the critical values for excluding patients with advanced fibrosis and liver cirrhosis[1-4, 12,13].

#### Liver biopsy and histological assessment

Liver tissues were obtained by percutaneous liver biopsy using a 16-gauge disposable needle. The inflammation and fibrosis of the liver were assessed by the Scheuer scoring system. The histological grading of hepatic inflammation ranged from G0 to G4, and fibrosis from S0 to S4. Liver inflammation  $\geq$  G2 was defined as significant inflammation, liver fibrosis  $\geq$  S2 was defined as significant fibrosis, and liver inflammation  $\geq$  G2 and/or liver fibrosis  $\geq$  S2 was defined as significant fibrosis, and liver inflammation  $\geq$  G2 and/or liver fibrosis  $\geq$  S2 was defined as significant liver histological changes. Liver samples were evaluated and reviewed by two experienced pathologists who were blinded to patients' biochemical data.

#### Statistical analysis

Data were presented as frequency or percentage for categorical variables, and as mean  $\pm$  SD or median interquartile range for continuous variables. Univariate and multivariate logistic regression analyses were performed to determine factors associated with liver histological changes. Odds ratios (ORs) and 95% confidence intervals (CIs) with *P*-values were presented. A two-tailed *P* < 0.05 was considered statistically significant. Data were analyzed using SPPS 24.0 software (IBM, Armonk, NY, United States), the R 3.1.2 statistical package (R Foundation for Statistical Computing, Vienna, Austria), and EmpowerStats software (X&Y Solutions, Inc., Boston, MA, United States).

#### RESULTS

#### Patients' characteristics

The mean age of patients was  $38.09 \pm 9.07$  years, 69.97% of patients were male, and 118 (7.70%), 197 (12.86%), 317 (20.69%), and 86 (5.61%) patients were in the immune tolerant phase, HBeAg-positive immune active phase, immune inactive phase, and HBeAg-negative immune active phase, respectively. Moreover, 814 (53.13%) patients were assigned into the indeterminate phase (Figure 2A). The characteristics of all patients were shown as Table 1. There were 62 (7.62%), 160 (19.66%), 304 (37.35%), and 288 (35.38%) patients in the indeterminate A, B, C, and D phases, respectively (Figure 2A). The characteristics of the indeterminate C and D phases were older than those in the indeterminate A and B phases. The levels of ALT, AST, TBIL, HBsAg, and HBV-DNA in the indeterminate B phase were higher than those in other indeterminate phases, while the levels of ALB, GGT, WBC and PLT had no significant.

#### Noninvasive model

APRI, FIB-4, and GPR scores are presented in Supplementary Tables 2 and 3. The proportions of APRI < 1, FIB-4 < 1.45, and GPR  $\leq$  0.32 in the indeterminate patients were 94.96%, 81.33% and 61.06%, respectively. Similarly, APRI < 1 and FIB-4 < 1.45 had the highest proportions in the different indeterminate sub-phases.

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Table 1 Clinical characteristics of chronic hepatitis B patients in the different phases, n (%)						
Phase	Immune-Tolerant CHB	HBeAg-positive immune active CHB	Inactive CHB	HBeAg-negative Immune Active CHB	Indeterminate	P value
N	118 (7.70)	197 (12.86)	317 (20.70)	86 (5.61)	814 (53.13)	
Age, yr	33.11 ± 7.52	32.41 ± 7.15	$40.13\pm9.37$	$40.43 \pm 9.48$	$39.15 \pm 8.73$	< 0.001
Male	53 (44.92)	131 (66.50)	222 (70.03)	68 (79.07)	598 (73.46)	< 0.001
HBsAg, log10, IU/mL	$4.62\pm0.59$	$4.18\pm0.73$	$2.38 \pm 1.26$	$3.28 \pm 0.65$	$3.18 \pm 1.02$	< 0.001
HBeAg						< 0.001
Negative	0 (0.00)	0 (0.00)	317 (100.00)	86 (100.00)	592 (72.73)	
Positive	118 (100.00)	197 (100.00)	0 (0.00)	0 (0.00)	222 (27.27)	
HBV-DNA, log10, IU/mL	$8.03 \pm 0.54$	$7.60 \pm 1.01$	$2.47\pm0.56$	$5.68 \pm 1.40$	4.71 ± 1.73	< 0.001
ALT, (IQR), U/L	19.00 (15.00-23.00)	138.00 (88.00-233.00)	18.00 (14.00- 25.00)	106.50 (79.00-245.00)	33.00 (23.00-45.00)	< 0.001
AST, (IQR), U/L	20.00 (17.00-22.75)	74.00 (50.00-129.00)	20.00 (17.00- 23.00)	57.00 (42.25-108.00)	26.00 (21.00-33.00)	< 0.001
GGT, (IQR), U/L	15.00 (12.00-20.00)	52.00 (29.00-89.00)	18.00 (14.00- 27.00)	50.50 (34.25-80.25)	25.00 (17.00-40.00)	< 0.001
TBIL, (IQR), umol/L	11.25 (8.20-14.47)	15.20 (10.90-21.60)	12.40 (9.30- 16.70)	15.60 (11.83-21.20)	12.75 (9.90-17.30)	< 0.001
ALB, g/L	$44.53 \pm 3.42$	$42.52 \pm 4.26$	$44.85 \pm 3.23$	$43.57 \pm 5.68$	$44.50\pm3.91$	< 0.001
WBC, 10 <sup>9</sup> /L	$5.62 \pm 1.24$	5.55 ± 1.59	$5.69 \pm 1.44$	$5.85 \pm 1.97$	$5.85 \pm 1.60$	0.062
PLT, 10 <sup>9</sup> /L	$228.04 \pm 58.84$	$198.12 \pm 54.38$	$204.09\pm57.04$	$200.98 \pm 65.66$	$205.85 \pm 59.42$	< 0.001
Stage of Inflammation						< 0.001
0	2 (1.69)	0 (0.00)	11 (3.47)	0 (0.00)	14 (1.72)	
1	90 (76.27)	31 (15.74)	271 (85.49)	23 (26.74)	506 (62.16)	
2	26 (22.03)	113 (57.36)	33 (10.41)	48 (55.81)	263 (32.31)	
3	0 (0.00)	51 (25.89)	2 (0.63)	15 (17.44)	26 (3.19)	
4	0 (0.00)	2 (1.02)	0 (0.00)	0 (0.00)	5 (0.61)	
Degree of fibrosis						< 0.001
0	3 (2.54)	5 (2.54)	14 (4.42)	1 (1.16)	17 (2.09)	
1	82 (69.49)	72 (36.55)	181 (57.10)	28 (32.56)	390 (47.91)	
2	29 (24.58)	65 (32.99)	80 (25.24)	32 (37.21)	219 (26.90)	
3	4 (3.39)	31 (15.74)	25 (7.89)	8 (9.30)	96 (11.79)	
4	0 (0.00)	24 (12.18)	17 (5.36)	17 (19.77)	92 (11.30)	
≥G2	26 (22.03)	166 (84.26)	35 (11.04)	63 (73.26)	294 (36.12)	< 0.001
≥ S2	33 (27.97)	120 (60.91)	122 (38.49)	57 (66.28)	407 (50.00)	< 0.001
G2/S2	44 (37.29)	171 (86.80)	129 (40.69)	71 (82.56)	488 (59.95)	< 0.001

IQR: Interquartile range; HBV: Hepatitis B virus; ALT: Alanine aminotransferase; AST: Aspartate aminotransferase; GGT: Glutamate aminotransferase; TBIL: Total bilirubin; ALB: Albumin; WBC: White blood cell; PLT: Platelet; CHB: Chronic hepatitis B.

#### Patients' histopathological characteristics

Of 1532 CHB patients, significant inflammation, fibrosis, and liver histological changes were found among 584 (38.12%), 739 (48.24%), and 903 (58.94%) patients, respectively. The proportions of significant inflammation in the immune tolerant, HBeAg-positive immune active, inactive, HBeAg-negative immune active, and indeterminate phases were 22.03%, 84.26%, 11.04%, 73.26%, and 36.12%, respectively; the proportions of significant fibrosis were 27.97%, 60.91%, 38.49%, 66.28%, and 50.00%, respectively; and the proportions of significant liver histological changes were 37.29%, 86.80%, 40.69%, 82.56%, and 59.95%, respectively (Table 1 and Figure 2B). The proportions of significant inflammation in

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#### Huang DL et al. Liver histology of indeterminate phase

Phase	Indeterminate-A	Indeterminate-B	Indeterminate-C	Indeterminate-D	P value
N	62 (7.62)	160 (19.66)	304 (37.35)	288 (35.38)	
Age, yr	$36.42 \pm 7.56$	$34.30 \pm 7.70$	$40.93 \pm 8.08$	$40.56 \pm 9.05$	< 0.001
Male	46 (74.19)	111 (69.38)	211 (69.41)	230 (79.86)	0.019
HBsAg, log10, IU/mL	$3.58 \pm 0.81$	$4.12 \pm 0.79$	2.99 ± 0.73	$2.77 \pm 1.08$	< 0.001
HBeAg					< 0.001
Negative	0 (0.00)	0 (0.00)	304 (100.00)	288 (100.00)	
Positive	62 (100.00)	160 (100.00)	0 (0.00)	0 (0.00)	
HBV-DNA, log10, IU/mL	4.33 ± 1.22	$7.03 \pm 1.72$	$4.28\pm0.79$	$3.88 \pm 1.40$	< 0.001
ALT, (IQR), U/L	24.00 (18.25-28.00)	45.00 (38.00-55.00)	21.00 (16.00-26.00)	43.00 (37.00-57.25)	< 0.001
AST, (IQR), U/L	23.00 (20.00-26.38)	32.00 (27.80-40.00)	21.00 (18.00-24.00)	29.50 (25.00-38.00)	< 0.001
GGT, (IQR), U/L	20.00 (16.00-32.80)	32.15 (18.00-53.25)	19.00 (14.00-25.23)	32.00 (21.75-49.25)	0.167
TBIL, (IQR), umol/L	13.10 (8.98-16.71)	13.55 (10.50-17.42)	12.55 (9.70-16.35)	12.90 (10.07-17.72)	< 0.001
ALB, g/L	43.83 ± 4.28	$43.96 \pm 4.05$	$44.71 \pm 3.65$	44.73 ± 3.98	0.144
WBC, 10 <sup>9</sup> /L	$6.14 \pm 1.64$	$5.70 \pm 1.49$	$5.76 \pm 1.61$	$5.96 \pm 1.62$	0.085
PLT, 10 <sup>9</sup> /L	207.32 ± 69.37	203.07 ± 59.53	$210.12 \pm 55.75$	$202.57 \pm 60.84$	0.477
APRI stage					< 0.001
<1	59 (95.16)	145 (90.62)	301 (99.01)	268 (93.06)	
2	2 (3.23)	9 (5.62)	3 (0.99)	6 (2.08)	
> 2	1 (1.61)	6 (3.75)	0 (0.00)	14 (4.86)	
FIB-4 stage					0.136
< 1.45	49 (79.03)	131 (81.88)	257 (84.54)	225 (78.12)	
1.45-3.25	10 (16.13)	21 (13.12)	43 (14.14)	47 (16.32)	
> 3.25	3 (4.84)	8 (5.00)	4 (1.32)	16 (5.56)	
GPR stage					< 0.001
≤ 0.32	42 (67.74)	75 (46.88)	247 (81.25)	133 (46.18)	
> 0.32	20 (32.26)	85 (53.12)	57 (18.75)	155 (53.82)	
Stage of inflammation					< 0.001
0	4 (6.45)	2 (1.25)	4 (1.32)	4 (1.39)	
1	23 (37.10)	80 (50.00)	231 (75.99)	172 (59.72)	
2	28 (45.16)	67 (41.88)	68 (22.37)	100 (34.72)	
3	7 (11.29)	10 (6.25)	0 (0.00)	9 (3.12)	
4	0 (0.00)	1 (0.62)	1 (0.33)	3 (1.04)	
Degree of fibrosis					< 0.001
0	2 (3.23)	2 (1.25)	6 (1.97)	7 (2.43)	
1	23 (37.10)	81 (50.62)	164 (53.95)	122 (42.36)	
2	12 (19.35)	34 (21.25)	89 (29.28)	84 (29.17)	
3	8 (12.90)	16 (10.00)	33 (10.86)	39 (13.54)	
4	17 (27.42)	27 (16.88)	12 (3.95)	36 (12.50)	
≥G2	35 (56.45)	78 (48.75)	69 (22.70)	112 (38.89)	< 0.001
≥S2	37 (59.68)	77 (48.12)	134 (44.08)	159 (55.21)	0.019



G2/S2 41 (66.13) 105 (	5.62) 156 (51.32)	186 (64.58) 0.002	
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IQR: Interquartile range; HBV: Hepatitis B virus; ALT: Alanine aminotransferase; AST: Aspartate aminotransferase; GGT: Glutamate aminotransferase; TBIL: Total bilirubin; ALB: Albumin; WBC: White blood cell; PLT: Platelet; APRI: Aspartate aminotransferase-to-platelet ratio index; FIB-4: Fibrosis-4 index; GPR: Gamma- glutamyl- transpeptidase to platelet ratio.

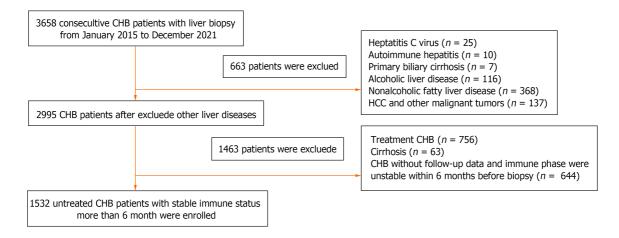
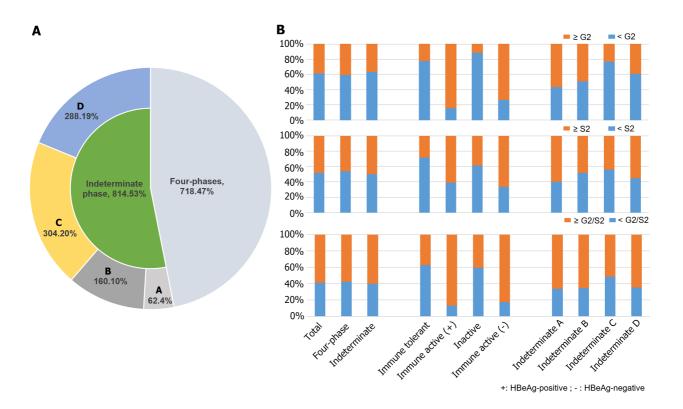


Figure 1 Flow diagram of patient selection. CHB: Chronic hepatitis B; HCC: Hepatocellular carcinoma.



**Figure 2 Liver histological changes in different clinical phases.** A: Proportions of chronic hepatitis B patients in different clinical phases; B: The liver histological changes in different clinical phases using liver biopsy. Four phase including including immune tolerant phase, HBeAg-positive immune active phase, inactive phase, and HBeAg-negative immune active phase.  $\geq$  G2 was defined by significant necroinflammation,  $\geq$  S2 defined by significant fibrosis, and  $\geq$  G2/S2 defined by significant histopathological changes.

indeterminate A, B, C, and D phases were 56.45%, 48.75%, 22.70%, and 38.89%, respectively; the proportions of significant fibrosis in indeterminate A, B, C, and D phases were 59.68%, 48.12%, 44.08%, and 55.21%, respectively; and the proportions of significant liver histological changes in indeterminate A, B, C, and D phases were 66.13%, 65.62%, 51.32%, and 64.58%, respectively (Table 2 and Figure 2B).

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#### Age, PLT, and ALT subgroup liver histopathology comparison in the indeterminate patient

Liver histological changes of the indeterminate patient were also analyzed by different levels of age, PLT, and ALT. The proportion of significant liver histological changes in patients with age  $\geq$  40 years old, PLT  $\leq$  150  $\times$  10<sup>9</sup>/L, and ALT  $\geq$ 1ULN were significantly higher than that in age < 40 years old, PLT >  $150 \times 10^{\circ}/L$ , and ALT < 1ULN groups, respectively, as shown in Figure 2A. There was no significant difference in inflammation between the patients who were younger and older than 40 years, and no discernible difference in the significant fibrosis between patients with  $ALT \ge 1ULN$  and < 1ULN (Figure 3A).

Patients were further categorized by levels of PLT and ALT in the different age subgroups (Figure 3B and C). Regardless of age was  $\geq$  40 or < 40 years, individuals with PLT  $\leq$  150  $\times$  10<sup>9</sup>/L had considerably greater proportions of significant liver fibrosis, inflammation, and histological changes than those with PLT >  $150 \times 10^{9}$ /L (Figure 3B). When compared with the ALT  $\geq$  1ULN and < 1ULN groups, the proportion of significant liver inflammation, significant fibrosis, and significant liver histological changes in the patients with ALT  $\geq$  1ULN was higher than those with ALT < 1ULN group when age was  $\geq$  40 years (Figure 3C).

#### Risk factors associated with liver histological changes

The analysis of risk factors of liver histological changes in all patients showed that age  $\geq$  45 years old, HBeAg-positive, high HBV-DNA level ( $\geq$  3 × log10 IU/mL), PLT  $\leq$  150 × 10<sup>o</sup>/L, and abnormal ALT level were independent risk factors of significant inflammation and significant liver histological changes (Supplementary Table 4).

According to the results of the multivariate logistic regression analysis of indeterminate patients (Table 3), age  $\geq 40$ years old adjust odd risk (aOR), 1.44; 95%CI: 1.06-1.97; P = 0.02), PLT  $\leq 150 \times 10^9/L$  (aOR, 2.99; 95%CI: 1.85-4.83;  $P < 10^9/L$ 0.0001), and ALT  $\geq$  1 ULN (aOR, 1.48; 95%CI: 1.08, 2.05, P = 0.0163) were independent risk factors for significant liver histological changes. Age  $\geq$  40 years old and PLT  $\leq$  150 × 10<sup>9</sup>/L were independent risk factors for significant inflammation and fibrosis; HBeAg-positive and ALT ≥ 1ULN were also positively correlated with significant inflammation.

Risk factors of liver histological changes in the subgroups of indeterminate patient by different status of HBeAg were also analyzed (Supplementary Tables 5 and 6). Age  $\geq$  40 years old and PLT  $\leq$  150 × 10<sup>9</sup>/L were both of independent risk factors for significant inflammation and significant liver histological changes in the HBeAg positive indeterminate patients (Supplementary Table 5). In the HBeAg positive indeterminate patients, both PLT  $\leq 150 \times 10^{\circ}/L$  and ALT  $\geq 1$ ULN were independent risk factors for significant inflammation, fibrosis and liver histological changes, and age  $\geq 40$ years was the risk factor for significant necroinflammation (Supplementary Table 6). These results were similar to the results of the overall indeterminate patients. In addition, the results suggested that different gender had different risks in different HBeAg status populations, which is worthy of further exploration in later studies.

#### DISCUSSION

The available CHB management guidelines have provided detailed diagnostic and treatment criteria, in which timely antiviral therapy was recommended for patients in the immune active phase, and monitoring of ALT level and liver histological changes were found essential for patients in the immune tolerant and inactive phases[1,2,4]. However, patients in the indeterminate phase are beyond the definition of four phases, and no histological evidence to confirm the necessity of antiviral therapy. The monitoring of ALT level and liver histological changes was recommended by the relevant guidelines. Our results showed that patients had a high rate of histological progression, and over half of patients (59.9%) had G2 inflammation and/or S2 fibrosis; even in CHB patients in indeterminate A and C phases whose ALT level was normal, the proportions of liver histological changes in patients reached 66.13% and 51.32%, respectively, suggesting the majority of patients in the indeterminate phase were in disease progression.

Recently, a large sample size cohort performed in the United States showed that among patients with cirrhosis, 9% of patients were in the indeterminate phase[10]. Another study from the United States assessed the phenotype of HBV in 1390 adult participants enrolled in the Hepatitis B Research Network Cohort Study, of whom 524 patients were in the indeterminate phase, 88 (19%) and 5 (1%) patients obtained APRI scores > 0.50-2.0 and > 2, and 78 (17%) and 13 (3%) patients achieved FIB-4 scores equal to 1.45-3.25 and > 3.25, respectively [14]. Hsu et al [15] evaluated 198 untreated Asian-American CHB patients with a mean follow-up time of 21 months, and using the modified ALT criteria, it was revealed that 43 (28.1%) patients had phase-based changes, of whom 31/43 (72.1%) patients were shifted from phase 1 and indeterminate phase to phases 2 and 4, as being more active CHB phases. However, our histological findings showed higher percentages of inflammation and fibrosis than the above studies. Our study showed 294 (36.12%) and 407 (50.00%) patients were  $\geq$  G2 and  $\geq$  S2, respectively; 20 (2.46%) and 21 (2.58%) patients obtained APRI scores of 1-2 and > 2, respectively; 4 (3.39%) and 31 (3.81%) patients achieved APRI scores of 121 (14.86%) and > 3.25, respectively. Recently, Chinese scholars investigated liver histological changes of 106 HBeAg-negative CHB patients with normal ALT level and HBV-DNA level ≥ 2000 IU/mL, equal to patients in the indeterminate C phase, and the proportions of significant inflammation, significant fibrosis, and significant liver histological changes were found in 58.5%, 67.9% and 74.5% of patients, respectively<sup>[16]</sup>. The differences between liver biopsy and APRI/FIB-4 scores could be mainly attributed to the low diagnostic accuracy of APRI/FIB-4 scores. Several studies have found that the diagnostic accuracy of APRI and FIB-4 for significant liver fibrosis in CHB patients was low [13,17-20] and not ideal substitutes for liver biopsy [21].

To date, few studies have concentrated on the HBeAg-positive indeterminate CHB patients. In the present study, we found that 50.90%, 51.35% and 57.60% of HBeAg-positive indeterminate CHB patients had significant inflammation, fibrosis, and liver histological changes, respectively. In the indeterminate A phase, with HBeAg-positive, normal ALT level, and low HBV-DNA level, more than 50% of patients had significant inflammation and significant fibrosis.



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	Univariable		Multivariable <sup>1</sup>	
Significant necroinflammation	OR, (95%CI)	P value	Adjusted OR, (95%CI)	P value
Age, yr				
< 40	Referent		Referent	
≥40	1.29 (0.97, 1.72)	0.0846	1.75 (1.25, 2.44)	0.001
Sex				
Male	Referent		Referent	
Female	0.76 (0.54, 1.06)	0.1007	0.75 (0.52, 1.07)	0.1098
HBeAg				
-	Referent		Referent	
+	2.36 (1.72, 3.24)	< 0.0001	2.55 (1.77, 3.68)	< 0.0001
HBV-DNA log10, IU/mL				
< 3	Referent		Referent	
≥3	0.98 (0.63, 1.53)	0.9258	1.10 (0.67, 1.80)	0.7017
PLT, 10 <sup>9</sup> /L				
> 150	Referent		Referent	
≤150	3.16 (2.14, 4.66)	< 0.0001	2.77 (1.83, 4.19)	< 0.0001
ALT, U/L				
< ULN	Referent		Referent	
≥ULN	1.85 (1.38, 2.49)	< 0.0001	1.60 (1.15, 2.25)	0.006
Significant fibrosis	OR, (95%CI)	P value	Adjusted OR, (95%CI)	P value
Age, yr				
< 40	Referent		Referent	
≥40	1.42 (1.07, 1.87)	0.0136	1.36 (1.00, 1.85)	0.049
Sex				
Male	Referent		Referent	
Female	0.88 (0.65, 1.20)	0.4272	0.97 (0.70, 1.35)	0.8752
IBeAg				
-	Referent		Referent	
+	1.08 (0.79, 1.47)	0.6367	1.14 (0.80, 1.62)	0.4734
HBV-DNA log10, IU/mL				
< 3	Referent		Referent	
≥3	0.85 (0.56, 1.31)	0.4636	0.93 (0.58, 1.49)	0.7537
PLT,10 <sup>9</sup> /L				
> 150	Referent		Referent	
≤150	4.30 (2.75, 6.71)	< 0.0001	3.92 (2.49, 6.17)	< 0.0001
ALT, U/L				
< ULN	Referent		Referent	
≥ULN	1.27 (0.96, 1.68)	0.0907	1.15 (0.84, 1.59)	0.3758
Significant liver histological changes	OR, (95%CI)	<i>P</i> value	Adjusted OR, (95%CI)	P value
Age, yr				
< 40	Referent		Referent	



#### Huang DL et al. Liver histology of indeterminate phase

≥40	1.37 (1.03, 1.82)	0.0308	1.44 (1.06, 1.97)	0.0214
Sex				
Male	Referent		Referent	
Female	0.97 (0.71, 1.33)	0.8423	1.05 (0.76, 1.47)	0.7551
HBeAg				
-	Referent		Referent	
+	1.41 (1.03, 1.95)	0.0347	1.40 (0.97, 2.01)	0.0689
HBV-DNA log10, IU/mL				
< 3	Referent		Referent	
≥3	0.97 (0.63, 1.49)	0.8813	1.13 (0.70, 1.81)	0.626
PLT,10 <sup>9</sup> /L				
> 150	Referent		Referent	
≤150	3.34 (2.09, 5.35)	< 0.0001	2.99 (1.85, 4.83)	< 0.0001
ALT, U/L				
< ULN	Referent		Referent	
≥ULN	1.57 (1.19, 2.09)	0.0016	1.48 (1.08, 2.05)	0.0163

<sup>1</sup>Adjusted for age, sex, alanine aminotransferase level, HBeAg statue, platelet level, and hepatitis B virus DNA level.

HBV: Hepatitis B virus; ALT: Alanine aminotransferase; GGT: Glutamate aminotransferase; PLT: Platelet; ULN: Upper limits of normal.

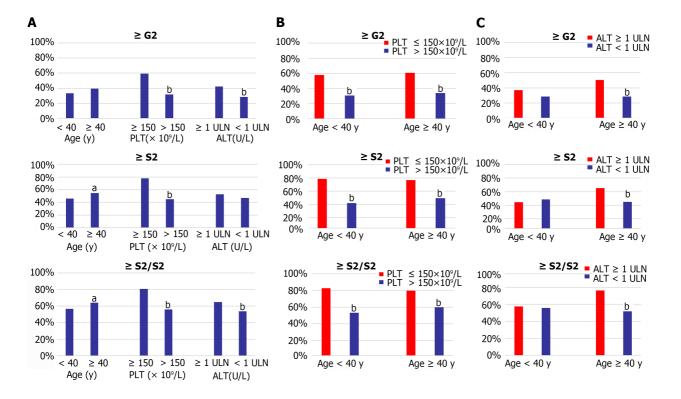


Figure 3 Age, platelet count, and alanine aminotransferase subgroup liver histopathology comparison in indeterminate patient. A: Distribution of significant liver inflammation ( $\geq$  G2), fibrosis ( $\geq$  S2), and histological changes ( $\geq$  G2/S2) in subgroup of indeterminate patient according to different age, platelet count (PLT), and alanine aminotransferase (ALT) levels; B: Distribution of  $\geq$  G2,  $\geq$  S2,  $\geq$  G2/S2 in different age and PLT groups; C: In different age and ALT groups. <sup>a</sup>P < 0.05, <sup>b</sup>P < 0.001. ALT: Alanine aminotransferase; PLT: Platelet count; ULN: Upper limits of normal.

Additionally, our study revealed that more than 60% of patients had significant liver histological changes in the indeterminate B and D phases with a mild abnormal ALT level (more than 1 ULN, while lower than 2 ULN), and HBV-DNA level was relatively low in HBeAg-positive or -negative patients.

Some studies have assessed risk factors for liver inflammation and fibrosis in indeterminate CHB patients. In our study, the multivariate logistic regression analysis revealed that age  $\geq$  40 years old, PLT  $\leq$  150  $\times$  10<sup>9</sup>/L, and ALT level  $\geq$ ULN were independent risk factors for significant fibrosis and liver histological changes in the indeterminate CHB patients. Previous studies have suggested that age was a risk factor for long-term prognosis in patients with chronic HBV infection, and the incidence of adverse events increased with age[22,23]. A study on HBeAg-negative CHB patients with persistently normal ALT level showed that older age was an independent predictor of significant liver fibrosis and liver histological damage [24]. Additionally, another study revealed that age  $\geq$  40 years old was a risk factor for HCC in indeterminate patients[9]. Numerous studies showed that abnormal ALT level was related to liver fibrosis and cirrhosis, and the traditional normal range of ALT level has remained controversial [11,25]. Studies have demonstrated that ULN of lower ALT could better reflect the liver histological changes [11,25]. A Korean study suggested that compared with ALT level < 20 U/L, the risk of inflammation in patients with ALT levels of 20-29 and 30-39 U/L significantly increased[26]. A study on CHB patients with persistently normal ALT level also revealed that ALT level > 0.5 ULN (20 U/L) was associated with liver fibrosis and inflammation[16]. In our study, according to ALT level of 35 IU/L in male and 25 IU/L in female patients, which were lower than the reference levels, an abnormal ALT level was found as a risk factor for significant inflammation and fibrosis in indeterminate CHB patients. Therefore, a lower ALT level used as a reference level could be more beneficial to the management of CHB patients. In addition, previous studies demonstrated that PLT was an independent predictor of liver fibrosis and cirrhosis in the CHB patients, regardless of undergoing treatment[17, 19,27,28]. Our study further supported this finding in the indeterminate phase patients. Portal hypertension and hypersplenism are factors leading to thrombocytopenia, accompanied by the development of liver fibrosis and cirrhosis. Other studies on patients undergoing liver transplantation have suggested that thrombocytopenia was associated with the progression of liver fibrosis, leading to the decreased production of thrombopoietin by hepatocytes[27,28].

Previous studies suggested that CHB patients in the indeterminate phase had a better outcome and with a lower risk of development to adverse prognoses, such as cirrhosis and HCC[8,29]. But, these studies mainly concentrated on Caucasians with relatively small sample size and the follow-up time was no longer enough. Recently, some studies have shown that indeterminate phase patients had a higher risk of development to HCC during follow-up[9,10,30]. A retrospective study that included 3336 untreated CHB patients from the United States and Taiwan showed that, after 10 years of follow-up, the cumulative incidence of HCC in 1303 indeterminate phase patients was 4.5-fold higher than those in inactive patients (2.7% *vs* 0.6%), and the cumulative incidence of HCC in patients who remained indeterminate after follow-up was 9 times higher than those remained inactive (4.6% *vs* 0.5%)[9].

Our study have some limitations. This was a cross-sectional and single-center study, and long-time multicenter followup data were absent, thus, we could not determine the results of disease progression with inclusion of cirrhosis and HCC. Multicenter and well-designed prospective studies are therefore required to confirm the findings of the present study. In addition, the initial liver biopsy for the majority of patients in the indeterminate phase was conducted between 2020 and early 2021. Due to the impact of the coronavirus disease 2019 pandemic, many of these patients were unable to undergo a second paired liver biopsy, and as a result, the dynamic changes in liver pathology are not addressed in this article. This is another limitation of our research. Our research team has initiated relevant paired clinical studies to offer more robust evidence for future clinical practice.

#### CONCLUSION

In summary, this is the largest cohort study that enrolled CHB patients who were in all the phases of indeterminate using liver biopsy. Our study indicated that about 60% of indeterminate CHB patients had histopathological changes. Age  $\geq$  40 years old, PLT  $\leq$  150 × 10<sup>9</sup>/L, and ALT  $\geq$  ULN were independent risk factors for significant liver fibrosis or significant histological changes in the indeterminate CHB patients. Liver biopsy should be recommended for indeterminate patients to evaluate liver histopathological changes, especially in those patients with  $\geq$  40 years old, or PLT  $\leq$  150 × 10<sup>9</sup>/L. More optimal ALT cutoff value in CHB patients may still need to be adjusted to identify more populations in need of treatment. Antiviral therapy of indeterminate patients is worthy of consideration in the management of CHB patients.

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#### FOOTNOTES

**Author contributions:** Chen J and Huang DL conceptualized and designed the study, received grant support, had full access to the data, and are responsible for the integrity and accuracy of data analysis; Huang DL analyzed the data; Huang DL, Cai QX, Peng JH, Zhou GD, Yu H and Zhu ZB contributed to the writing of the report. All authors contributed to data acquisition, data interpretation and reviewed and approved the final version. All authors have read and approve the final manuscript.

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#### Country of origin: China

**ORCID** number: De-Liang Huang 0000-0002-6154-017X; Hong Yu 0000-0003-0199-1494; Zhi-Bin Zhu 0000-0002-8738-6211; Jing-Han Peng 0000-0002-4869-0085; Jun Chen 0000-0002-9744-792X.

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