

## Inflammatory bowel disease and thromboembolism

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### Abstract

Patients with inflammatory bowel disease (IBD) have an increased risk of vascular complications. Thromboembolic complications, both venous and arterial, are serious extraintestinal manifestations complicating the course of IBD and can lead to significant morbidity and mortality. Patients with IBD are more prone to thromboembolic complications and IBD *per se* is a risk factor for thromboembolic disease. Data suggest that thrombosis is a specific feature of IBD that can be involved in both the occurrence of thromboembolic events and the pathogenesis of the disease. The exact etiology for this special association between IBD and thromboembolism is as yet unknown, but it is thought that multiple acquired and inherited factors are interacting and producing the increased tendency for thrombosis in the local intestinal microvasculature, as well as in the systemic circulation. Clinicians' awareness of the risks, and their ability to promptly diagnose and manage thromboembolic complications are of vital importance. In this review we discuss how thromboembolic disease is related to

IBD, specifically focusing on: (1) the epidemiology and clinical features of thromboembolic complications in IBD; (2) the pathophysiology of thrombosis in IBD; and (3) strategies for the prevention and management of thromboembolic complications in IBD patients.

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**Key words:** Inflammatory bowel disease; Crohn's disease; Ulcerative colitis; Thrombosis; Thromboembolism; Hypercoagulability; Epidemiology; Endothelial dysfunction; Treatment

**Core tip:** Thromboembolic complications, both venous and arterial, are serious and challenging complications of inflammatory bowel disease (IBD) and can lead to significant morbidity and mortality. Thrombosis is a specific feature of IBD that can be involved in both the occurrence of thromboembolic events and the pathogenesis of the disease itself. The cause for this association between IBD and thromboembolism is as yet unknown, but multiple acquired and inherited factors have been implicated. Clinicians' awareness of the risks, and knowledge about the diagnosis and management of thromboembolic complications are of vital importance.

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### INTRODUCTION

Thromboembolic events, both venous and arterial, are serious extra-intestinal manifestations complicating the course of inflammatory bowel disease (IBD) and can lead to significant morbidity and mortality. The increasing evidence that patients suffering from both Crohn's dis-

ease (CD) and ulcerative colitis (UC) are more prone to thromboembolic complications compared to the general population implicates the IBD *per se* as a risk factor<sup>[1,2]</sup>. Moreover, recent data support the theory that thrombosis and thromboembolism are disease-specific manifestations in IBD, and that they also may be contributing factors in the pathogenesis of the luminal disease. In this review we discuss how thromboembolic disease is related to IBD, specifically, the following: (1) the epidemiology and clinical features of thromboembolic complications in IBD; (2) the pathophysiology of thrombosis in IBD; and (3) strategies for the management, prevention and treatment, of thromboembolic complications in IBD patients.

## IBD AND THROMBOEMBOLIC COMPLICATIONS

Historically, in 1936, Barger and Barker<sup>[3]</sup> first reported arterial and venous thrombotic complications in UC patients. In a large Mayo Clinic survey, Talbot *et al*<sup>[4]</sup> found that 1.3% of their IBD patients manifested thromboembolic complications, while other early studies reported an even higher incidence, up to 7%<sup>[5-8]</sup>. Moreover, an important and interesting observation came from autopsy studies which found a much higher incidence of venous thromboembolic complications, up to 39%, in UC patients, implying that most of the thromboembolic episodes were either not clinically overt or were overlooked. The thromboembolic complications were the third leading cause of death (10%) in those patients<sup>[9]</sup>.

Thrombosis occurs more often in the deep veins of the legs and the pulmonary circulation<sup>[4]</sup>; however, arterial thromboembolic complications (ATEs) and numerous other less frequent sites of venous thrombosis have also been described, including cerebrovascular (CVA) disease<sup>[10,11]</sup>, internal carotid artery occlusion<sup>[12]</sup>, mesenteric and portal vein thrombosis<sup>[13]</sup>, Budd-Chiari syndrome<sup>[14]</sup>, cutaneous gangrene secondary to microvascular thrombosis<sup>[15]</sup>, retinal vein occlusion<sup>[16-18]</sup>, and ischemic heart disease (IHD)<sup>[19]</sup>.

### Venous thromboembolism

Over the past decade in particular, many large case-controlled and cohort studies, in centers throughout the world, have focused on defining the association of IBD with the risk of venous thromboembolism (VTE) and have contributed to significant progress in clarifying the epidemiological and the clinical features of VTEs in IBD<sup>[1,2,20]</sup>.

Some assessed the incidence and the risk of VTE in IBD patients compared to the general population<sup>[21-25]</sup>, while others evaluated the risk of VTE in hospitalized IBD patients compared to hospitalized non-IBD patients<sup>[26-30]</sup>. A few studies were more focused, and analyzed the risk of VTE in pregnant females with IBD<sup>[31,32]</sup>, the risk of VTE in postoperative IBD patients<sup>[33,34]</sup> and, finally, one study evaluated the risk of recurrent DVT in adult IBD patients<sup>[35]</sup>.

The overall risk of VTEs, deep vein thrombosis (DVT) and pulmonary embolism (PE), in IBD patients has been estimated in two recent meta-analyses<sup>[1,36]</sup>. Despite the heterogeneity and the limitations of the studies included, both meta-analyses revealed an approximately 2-fold increased risk for VTEs in IBD patients. Yuhara *et al*<sup>[36]</sup>, reported that the overall relative risk (RR) for DVT and PE in patients with IBD compared to subjects without IBD was 2.20 (95%CI: 1.83-2.65), and Fumery *et al*<sup>[1]</sup> reported that the overall risk of VTE in IBD patients was increased by 96% compared to the general population (RR = 1.96; 95%CI: 1.67-2.30) with no differences between CD and UC patients.

In a recent nationwide multicenter study conducted in Austria, Papay *et al*<sup>[37]</sup> investigated the prevalence and the incidence of VTEs in 2811 IBD patients and described many related clinical features. The overall prevalence of all VTEs was 5.6% (157/2811) and the incidence of all VTEs was 6.3/1000 person years. The majority of VTEs were DVT and/or PE (about 90%; 142/157), while other locations of venous thrombosis were rare (about 10%; 15/157) including the portal, the superior mesenteric, the splenic, the internal jugular, and the cerebral veins. No difference was found between CD and UC for the frequency of all VTEs, although the prevalence and incidence for DVT and/or PE was a little higher in CD patients.

VTEs occur earlier in life in IBD patients than in non-IBD thrombotic patients<sup>[21,26,38,39]</sup>. Bernstein *et al*<sup>[21]</sup> analyzed data from IBD and non-IBD hospitalized patients and found that the risk of VTE, DVT and/or PE, was overall higher in hospitalized IBD patients. The most striking difference in the risk was observed in patients who were less than 40 years of age, with an incidence rate ratio (IRR) for VTE of 4.5 for UC and 9.6 for CD compared with the non-IBD patients.

Thromboembolic complications are a significant cause of morbidity and mortality in IBD patients<sup>[39-42]</sup>. In the study by Talbot *et al*<sup>[4]</sup>, 25% of IBD patients with thromboembolic complications had a fatal outcome during the thrombotic episode. Recently, Nguyen and Sam<sup>[27]</sup>, also reported higher rates of VTEs in hospitalized IBD patients than in non-IBD hospitalized patients. As was the case in Bernstein's report, those who were less than 40 years of age were at the greatest risk. Hospitalized IBD patients with thrombosis had a greater in-hospital mortality risk when compared to hospitalized IBD patients without thrombosis (OR = 2.5; 95%CI: 1.83-3.43) and to non-IBD hospitalized patients with thrombosis (OR = 2.1; 95%CI: 1.6-2.9). In addition, the occurrence of VTEs in hospitalized IBD patients significantly increased the length of hospital stay and health resource utilization cost.

Patients with IBD are at increased risk for postoperative VTE<sup>[43]</sup>. Merrill and Millham<sup>[33]</sup> reported that IBD patients were at increased risk for developing postoperative DVT or PE compared to non-IBD patients, especially after non-intestinal surgery. Furthermore, Wallaert *et al*<sup>[34]</sup> studied VTE during the first 30 postoperative days in a

**Table 1** Incidence, risk and clinical features of venous thromboembolism in inflammatory bowel disease patients

Venous thromboembolism and IBD
<b>Prevalence:</b> 1.3%-7% - postmortem about 40%
<b>Risk overall:</b> about 2-3-fold
<b>Features</b>
Deep vein thrombosis (legs) and pulmonary embolism
Younger age
Spontaneously
Recur - 30% (risk about 2.5-fold)
Significant morbidity and mortality
<b>Risk factors</b>
Active disease (ambulatory and hospitalized patients)
Complicated disease
Corticosteroid use
Extensive colonic involvement (UC and CD)
Recent hospitalization
Surgery
Pregnancy
Previous history of VTE
Family history of VTE

IBD: Inflammatory bowel disease; VTE: Venous thromboembolism; UC: Ulcerative colitis; CD: Crohn's disease.

large cohort of IBD patients having colorectal surgery (10431 patients, 5001 with UC and 5430 with CD) and found an overall incidence of 2.3% for VTEs (242 VTEs; 178 DVTs and 46 PEs). The rates of VTEs were higher for UC patients compared to CD patients (3.3% *vs* 1.4% respectively). The thromboembolic episodes occurred at an average of 10 d postoperatively and were associated with significant morbidity and mortality.

Recurrence of thromboembolic events has been previously reported as being 10%-13% in IBD patients<sup>[4,39]</sup>. Novacek *et al*<sup>[35]</sup> reported an approximately 30% probability of VTE recurrence in IBD patients 5 years after discontinuation of the anticoagulant treatment for the first VTE. The risk for recurrent VTE was higher in IBD patients compared to non-IBD patients. IBD, irrespective of the activity status, was found to be an independent risk factor for recurrent VTE with a relative risk of 2.5 (95%CI: 1.4-4.2). In accordance with that, Papay *et al*<sup>[37]</sup> reported a similar incidence of recurrent VTEs (25%) in IBD patients; in the majority, the VTEs occurred in the same location (70%) and were of the same type (DVT or PE) as with the first episode.

Thromboembolic events are more frequent during active phases of IBD and correlate with the extent and location of the disease; most of them occur without evidence of provoking factors<sup>[4,22,40,44]</sup>. Complicated IBD (fistula, stenosis, abscess)<sup>[22,27]</sup>, use of corticosteroids<sup>[24]</sup>, and recent hospitalization for IBD<sup>[24]</sup> were all associated with increased risk for VTEs. Solem *et al*<sup>[40]</sup> reported that 80% of IBD patients (both CD and UC) had active disease at the time of VTE. Regarding the extent of disease in patients with VTE, 76% of the UC patients had pancolitis and 79% of the CD patients had colonic involvement. In contrast to the above, Talbot *et al*<sup>[41]</sup> found that almost 30% of VTEs occurred when the disease was in remission and that 77% of the peripheral VTEs occurred

spontaneously. In a recent study, Grainge *et al*<sup>[25]</sup> assessed the risk of VTE at various activity phases of IBD (flare, chronic activity, remission) in a retrospective cohort study of 13756 IBD patients and 71672 matched controls from the prospectively generated General Practice Research Database (United Kingdom). They found that there is a significantly increased overall risk of VTE in IBD patients compared to controls during all phases of IBD (HR = 3.4; 95%CI: 2.7-4.3). The risk was most prominently increased during a flare (HR = 8.4; 95%CI: 5.5-12.8) compared with periods of chronic activity (HR = 6.5; 95%CI: 4.6-9) and periods of clinical remission (HR = 2.1; 95%CI: 1.6-2). In this unique study, the overall relative risk of VTEs in ambulatory IBD patients compared to controls appeared to be higher than in hospitalized IBD patients compared to controls (HR = 4.3; 95%CI: 3.3-5.7 *vs* HR = 2.1; 95%CI: 1.4-3.2). This apparent difference in the risk between ambulatory and hospitalized IBD patients compared to controls was even higher during periods of disease flares (HR = 15.8 *vs* 3.2, respectively). However, when the data were expressed as absolute risk of VTEs per 1000-person years it was obvious that the hospitalized IBD patients were more prone to thrombosis (25.2) than the non-hospitalized IBD patients (1.8), especially during a flare of the disease (37.5 *vs* 6.4, respectively). Finally, the risk of VTEs was higher during flares and chronic activity periods compared to periods of remission of the disease, both in ambulatory and hospitalized IBD patients. These data are further supported by the recent study of Papay *et al*<sup>[37]</sup> who reported that 77% of VTEs in the IBD cohort occurred spontaneously, 77% occurred in outpatients and 66% occurred during an active period of the disease.

Collectively, all the recent studies discussed above confirm that patients with both CD and UC are at an approximately two-fold increased risk for VTEs compared to the general population or to non-IBD patients. The VTEs, mainly DVT and/or PE, tend to occur spontaneously, at a younger age, and more frequently during periods of active disease, in both ambulatory and hospitalized patients. There is also increased risk during periods of remission, during pregnancy, and postoperatively. They also recur frequently after the first episode. The VTEs in IBD patients are associated with significant morbidity and mortality (Table 1).

### Arterial thromboembolism

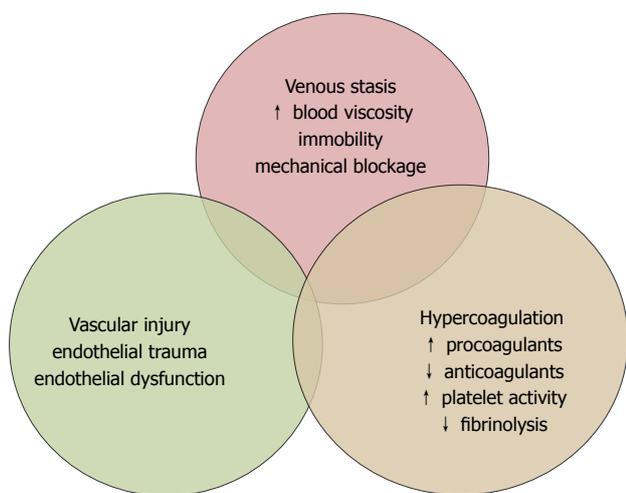
Numerous cases and case series have reported ATEs in IBD patients. In general, ATEs occur less frequently than VTEs in IBD patients, and may involve the thrombosis and/or occlusion of the cerebral<sup>[11,16]</sup>, retinal<sup>[16,17]</sup>, carotid<sup>[12,45,46]</sup>, coronary<sup>[19]</sup>, splanchnic<sup>[47]</sup>, iliac<sup>[48,49]</sup>, renal<sup>[48,49]</sup>, and limb (upper and lower)<sup>[50,51]</sup> arteries or the aorta<sup>[48,49,52]</sup>. They are more common after interventional or surgical procedures, but they can also occur spontaneously<sup>[4,51]</sup>.

Recent studies<sup>[53-59]</sup> and a meta-analysis<sup>[60]</sup> provide evidence for an association between IBD and ATE, similar to that which exists with VTEs, despite the fact there

**Table 2 Incidence, risk and clinical features of arterial thromboembolism in inflammatory bowel disease patients**

Arterial thromboembolism and IBD
<b>Common sites and risk</b>
Cerebrovascular events about 1.2-fold
Ischemic heart disease about 1.2-fold
Mesenteric ischemia about 3.5-fold
<b>Features</b>
Younger age
Female
Post-surgically >> spontaneously
Active disease (ambulatory and hospitalized patients)
Significant morbidity and mortality

IBD: Inflammatory bowel disease.



**Figure 1 Basic mechanisms of thrombosis (Virchow's triad).**

are some controversial findings in other studies<sup>[30,61,62]</sup>. In their meta-analysis Singh *et al*<sup>[60]</sup> analyzed data from 9 studies and found that IBD was associated with a modest increase for the risk of cardiovascular morbidity. In particular, 5 studies reported 2424 CVA events in 98240 patients with IBD and six studies reported 6478 occurrences of IHD in 123907 patients with IBD, which translates to a modest 18% increase in the overall risk for both CVA (adjusted OR = 1.18; 95%CI: 1.09-1.27) and IHD (adjusted OR = 1.18; 95%CI: 1.08-1.31). In addition, the risk was higher in females and young patients (age < 40-50 years). There were no differences between UC and CD patients. Finally, 2 studies reported 148 patients with peripheral arterial disease in 25559 patients with IBD, but the analyses showed that IBD was not associated with a significant increase for the risk of peripheral arterial disease (adjusted OR = 1.15; 95%CI: 0.96-1.38).

A nationwide United States study<sup>[30]</sup>, which investigated the association of cardiovascular diseases in 148229 hospitalized subjects with IBD compared to 17261952 controls, showed a significantly increased risk for mesenteric ischemia (adjusted OR = 3.4; 95%CI: 2.9-4.0) and thromboembolic disease in hospitalized IBD patients. Fumery *et al*<sup>[11]</sup>, in their meta-analysis, con-

cluded that overall IBD was associated with an increased risk of thrombovascular events. The major risks were for VTE and mesenteric ischemia and, to a lesser degree, for arterial thromboembolism and ischemic heart disease. Although they did not find an increase in the risk of cardiovascular mortality in IBD patients, Kristensen *et al*<sup>[54]</sup>, who investigated the risk of myocardial infarction (MI), stroke, and cardiovascular death in patients with IBD with correlation to disease activity in a nationwide Danish cohort, reported that the IBD is associated with increased risk of MI, stroke, and cardiovascular death during periods with active disease, including acute flares or persistent activity.

To summarize, recent data show that patients with IBD, both CD and UC, are at an increased risk for ATEs, mainly CVA, IHD and mesenteric ischemia, albeit to a lesser degree than for VTEs. The ATEs tend to occur spontaneously or post-surgically, at a younger age, in females, more frequently during periods of active disease and are associated with significant morbidity and mortality (Table 2).

### MECHANISMS OF THROMBOSIS IN IBD

In contrast to hemostasis, which is a normal response to vascular injury, thrombosis is pathological coagulation occurring spontaneously or following a minimal vascular injury. The underlying cause of thrombosis is an imbalance between prothrombotic and antithrombotic mechanisms. The tendency towards thrombosis is related to three basic mechanisms, as defined by the Virchow's triad: vascular stasis, endothelial injury/vascular damage and hypercoagulability (Figure 1).

Evidence from the literature suggests that thrombosis is a specific feature of IBD that is involved in both the occurrence of thromboembolic events and the pathogenesis of the disease itself<sup>[44]</sup>. Multifocal vascular infarcts in the intestinal microcirculation, characterized by chronic vasculitis, with focal arteritis and fibrin deposition, have been reported in patients with CD<sup>[63]</sup>. Histological studies have also found mucosal capillary thrombi in patients with UC<sup>[64]</sup>. In addition, Thompson *et al*<sup>[65]</sup>, in a large study involving 129 hemophilia centers in United Kingdom, reported a lower than expected incidence of IBD in 9562 patients with hemophilia or von Willebrand's disease and concluded that a congenital bleeding diathesis may have a protective role against the development of IBD. Furthermore, Miehsler *et al*<sup>[22]</sup> demonstrated that IBD *per se* is a risk factor for thromboembolic and concluded that thromboembolism is a specific feature of IBD since neither rheumatoid arthritis, another chronic inflammatory disease, nor coeliac disease, another chronic bowel disease, had an increased risk of thromboembolism.

The exact etiology for the higher occurrence of thromboembolism in IBD and the specific association between them is yet unknown, though it seems that multiple acquired and inherited factors may be involved (Table 3).

General acquired prothrombotic factors such as

**Table 3** Acquired and hereditary thrombotic risk factors in inflammatory bowel disease patients

Factors	Mechanism
Acquired	
Inflammation	Hypercoagulation, vascular endothelial injury
Immobilization	Stasis
Indwelling IV catheters	Vascular injury
Dehydration	Stasis
Steroid use	Hypercoagulation
Oral contraceptives	Hypercoagulation
Surgery	Stasis, hypercoagulation, vascular injury
Pregnancy	Stasis, hypercoagulation
Cancer	Hypercoagulation
Infections	Hypercoagulation
Age	Hypercoagulation
Smoking	Hypercoagulation
Hereditary	
Proteins C and S deficiencies	Hypercoagulation
Antithrombin deficiency	Hypercoagulation
Factor V Leiden	Hypercoagulation
Hyperhomocysteinemia-MTHFR gene mutation	Hypercoagulation
Prothrombin gene mutation G20210A	Hypercoagulation
Dysfibrinogenemia	Hypercoagulation

inflammation, older age, surgery, prolonged immobilization, central venous catheters, fluid depletion, steroid therapy, smoking, and oral contraceptives are frequently observed in IBD patients, but their presence cannot adequately explain the increased risk for thromboembolisms in IBD<sup>[44]</sup>. On the other hand, many studies and reviews have failed to establish a significant association of the inherited thrombophilias, such as factor V Leiden, prothrombin G20210A mutation, MTHFR mutation-related hyperhomocysteinemia, protein C, S and antithrombin deficiencies, with the increased risk of thrombosis in IBD patients, although their co-existence with IBD has a synergistic role in thromboembolic complications<sup>[44,66,67]</sup>. Multiple risk factors are often present in IBD patients<sup>[40]</sup>, although none of them is more significant than the others, it seems obvious that as more risk factors accumulate in a patient, thrombosis is more likely to occur in that patient.

**Inflammation and hypercoagulation in IBD**

Inflammation, both intestinal and systemic, is the prominent feature in IBD. Inflammation and thrombosis are probably interrelated in IBD, through complex and as yet not fully understood pathways. Consequently, local and systemic intravascular hypercoagulable and prothrombotic states or even frank thrombosis, may represent contributing underlying factors in IBD pathogenesis<sup>[68]</sup>.

The hypercoagulable state has been associated particularly with active disease<sup>[69]</sup>. Several studies have reported abnormalities in various components of hemostasis and the coagulation cascade during exacerbations of IBD (Table 4), as follows: (1) elevated levels of coagulation factors (V, VIII, von Willebrand, and fibrinogen) and

**Table 4** Prothrombotic abnormalities of hemostasis and coagulation in inflammatory bowel disease patients

Category	Abnormality
Coagulation factors	↑ V, VIII, vWf, and fibrinogen
Products of thrombin generation	↑ F1 + 2, TAT
Products of fibrin formation	↑ fibrinopeptide A, D-Dimers
Vascular endothelium activation	↑ vWf, thrombomodulin
Acquired deficiencies and dysfunction of natural anticoagulants	↓ protein C, protein S, and AT
Defects in fibrinolytic system	↓ t-PA ↑ PAI-1
Platelets	↑ number, activation and aggregation

vWf: von Willebrand; F1 + 2: Prothrombin fragment 1 + 2; TAT: Thrombin- antithrombin complex; t-PA: Tissue plasminogen activator; PAI-1: Plasminogen-activator inhibitor type-1.

products of thrombin and fibrin formation (fibrinopeptide A, prothrombin fragment 1+2 [F1+2], thrombin-antithrombin complex [TAT], and D-Dimers)<sup>[70-74]</sup>; (2) increased markers of vascular endothelial activation (von Willebrand factor and thrombomodulin)<sup>[74-78]</sup>; (3) acquired deficiencies and dysfunction of natural anticoagulants (protein C, protein S, and antithrombin)<sup>[79-82]</sup>; (4) defects in the fibrinolytic system [low levels of tissue plasminogen activator (t-PA), high levels of plasminogen-activator inhibitor type-1 (PAI-1)]<sup>[83,84]</sup>; and (5) elevated number of circulating platelets, platelet activation and increased platelet aggregation tendency<sup>[85-87]</sup>. However, in other studies, activation of coagulation was observed both in active and inactive IBD<sup>[88-90]</sup>, an observation that is in accordance with the occurrence of thromboembolic complications even in IBD patients with quiescent disease.

The hypercoagulable state in IBD has recently been reviewed thoroughly elsewhere<sup>[67]</sup>. It can be postulated that, in IBD patients, a persistent latent activation of hemostasis exists in both active and inactive disease states, and is implicated in the thrombotic diathesis and perhaps in disease pathogenesis. Hence, two questions emerge: what is the underlying mechanism for the abnormal hemostasis activation and why do clinically overt thromboembolic complications occur only in a relatively small fraction of IBD patients? A possible explanation for the latter question is the fact that for a thromboembolic event to occur, hypercoagulability alone is not sufficient, and that many other predisposing risk factors have to be present at the same time. On the other hand, for the former question to be answered, one must search deeper into the pathophysiology of intestinal inflammation.

**Inflammation and vasculopathy in IBD**

As a result of the chronic inflammation in IBD patients, abnormalities exist in both the local intestinal microvasculature and the systemic circulation. Bargen and Barker, almost 80 years ago, stated that, in a subgroup of UC patients, the disease should be described using the term “thrombo-UC”<sup>[3]</sup>. Histological studies have revealed vas-

culitis in a subgroup of UC patients<sup>[91]</sup>, while other studies have shown mucosal capillary thrombi in rectal biopsies from UC and CD patients<sup>[64]</sup>, although this finding is not specific for IBD<sup>[92]</sup>. Wakefield *et al*<sup>[63]</sup>, proposed that multifocal infarcts in the intestinal microcirculation caused by arteritis with fibrin deposition due to focal vasculitis, might be implicated in CD pathogenesis. Moreover, the proximal demarcation line between involved and uninvolved colon in UC suggests that a microvascular abnormality may be associated with the pathogenesis and the extent of inflammation in UC<sup>[93]</sup>.

The hemostatic and the inflammatory pathways are closely related in a bi-directional fashion, and the vascular endothelium has been proven to be the interface of their interactions<sup>[68,94-97]</sup>. The “vascular hypothesis” suggests that endothelial dysfunction in the intestinal microcirculation plays central role in both UC and CD pathogenesis<sup>[98-100]</sup>. Furthermore, the vascular endothelial dysfunction associated with chronic inflammation is critically involved with the hypercoagulable state and the development of thrombosis and atherosclerosis in IBD patients, and clinically manifested as systemic vascular (venous and arterial) thromboembolic complications or IHD<sup>[99-101]</sup>. Normally, the “quiescent” intact endothelium exhibits a strong thrombo-resistant surface, expressing antiplatelet, anticoagulant and fibrinolytic properties. An “activated” endothelium is rapidly transformed into a prothrombotic surface, which promotes blood coagulation, inhibits fibrinolysis and activates platelets. The transformation of the vascular endothelial surface from anti-coagulant to pro-coagulant is triggered by mechanical damage, or by perturbation and activation of the vascular endothelial cells. Agents including cytokines, endotoxins, blood mediators, hypoxia, and hemodynamic forces are involved in endothelial cell activation<sup>[102]</sup>.

Inflammation turns the “quiescent” endothelium into a potent pro-coagulant surface. Interleukin-1 (IL-1), tumor-necrosis factor- $\alpha$  (TNF- $\alpha$ ) and other cytokines, which are increased in IBD, are responsible for this pro-coagulant, thrombophilic effect, and increase both white cell and platelet adhesion molecules on the endothelial surface. Many studies suggest that IL-1, TNF- $\alpha$  and other pro-inflammatory cytokines, increase various thrombophilic factors and have a significant contribution in intravascular thrombosis<sup>[103,104]</sup>.

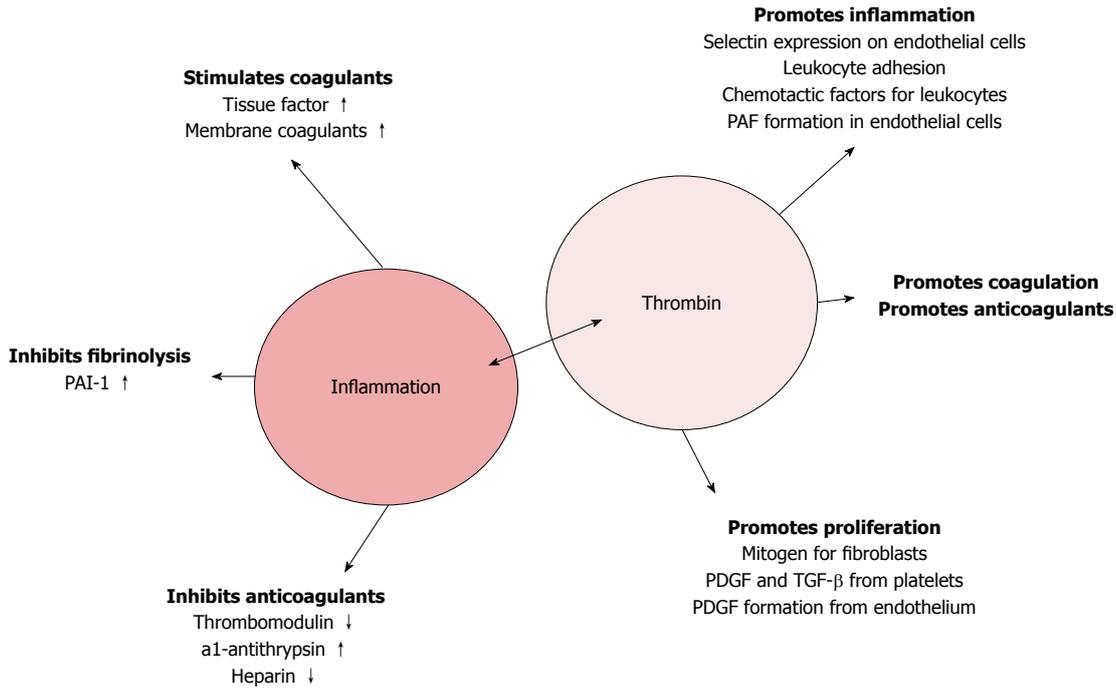
On the other hand, both thrombosis and “activated” endothelium can promote inflammation. The central role of the endothelial cell in initiation and propagation of inflammation takes place through the recruitment of leukocytes by cell adhesion molecules. The expression of cell adhesion molecules on the endothelial surface is induced by IL-1, TNF- $\alpha$ , and other proinflammatory cytokines. In IBD, the activated endothelial cells express increased surface levels of various intercellular adhesion molecules, such as ICAM-1 (intercellular adhesion molecule-1) and VCAM-1 (vascular cell adhesion molecule-1)<sup>[105]</sup>. PECAM-1 (platelet endothelial adhesion molecule-1) is expressed in high levels even in areas of

the colon not affected by UC<sup>[106]</sup>. The selectin family (E-, P-, L- selectins), which is involved in leukocyte rolling on the endothelial surface, is increased in IBD<sup>[107]</sup>. Furthermore, the CD40/CD40L co-stimulatory pathway, which is involved in inflammation and coagulation, is activated in IBD tissue. In active IBD, CD40 is overexpressed in the microvascular endothelial cells, CD40L is overexpressed in platelets and leukocytes, and the soluble form of CD40L (sCD40L) is increased in the circulation in patients with active disease. The contact of the CD40L+ leukocytes and platelets with the CD40+ endothelial cells in the intestinal microvasculature results in activation of these cells, which in turn promote leukocyte recruitment, platelet aggregation, thrombosis, vascular damage and tissue injury, through a vicious cycle of enhanced production of cytokines and chemokines, and overexpression of adhesion molecules and the tissue factor on endothelial cells<sup>[108-110]</sup>. All or some of these molecules are possible therapeutic targets in IBD management<sup>[107-109,111]</sup>.

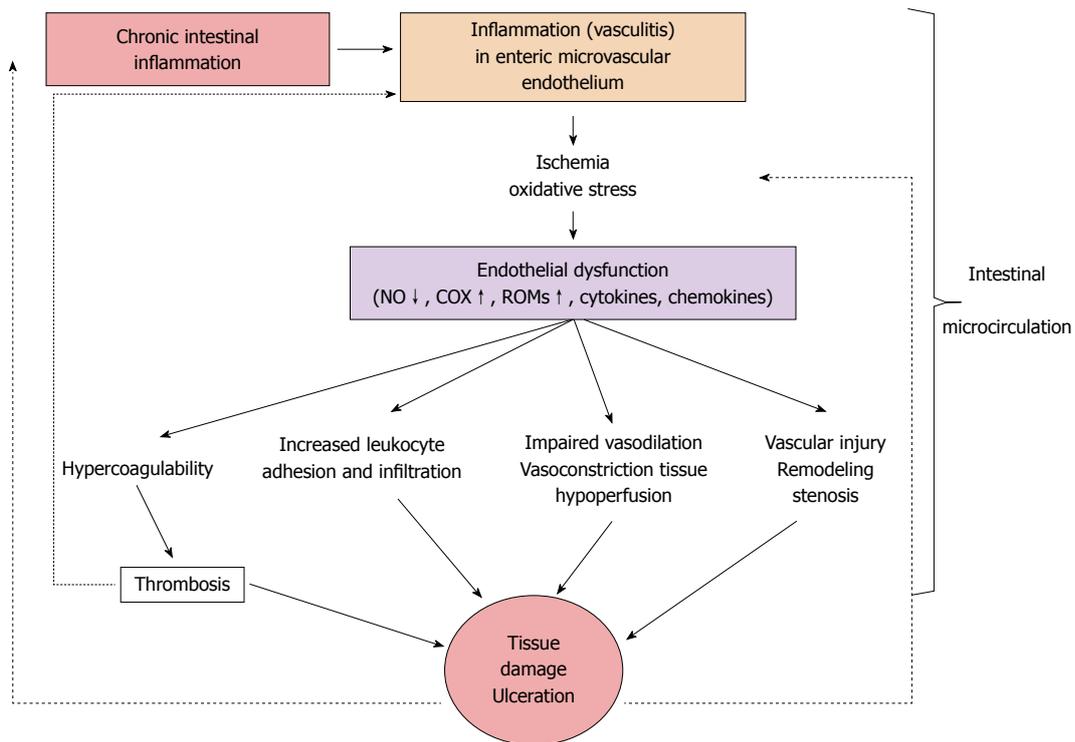
Moreover, the production of potent vasoconstrictors from the activated endothelium, such as endothelin-1 and thromboxanes, may contribute to ischemia-reperfusion vascular and tissue injury<sup>[107]</sup>. The increased reactive oxygen metabolites (ROMs) found in IBD come from leukocytes and endothelial cells, and may be the products of a recurrent ischemia-reperfusion injury of the vascular epithelium after microthrombi formation<sup>[112,113]</sup>. ROMs, in turn, may be implicated in the inflammatory reaction and tissue injury in IBD through activation of NF- $\kappa$ B factor, which promotes the production of various pro-inflammatory cytokines<sup>[114]</sup>.

Thrombin, besides its actions in regulating hemostasis, possesses “non-coagulant” functions (Figure 2)<sup>[96,115,116]</sup>. Thrombin promotes the production of monocyte chemoattractant protein-1 (MCP-1) from monocytes and interleukins-6 and -8 (IL-6 and IL-8) from fibroblasts, epithelial cells, monocytes and endothelial cells. Thrombin enhances leukocyte adhesion on endothelial cells through induction of endothelial PAF (platelet activating factor) formation<sup>[117-122]</sup>.

Collectively, a possible pathway in IBD pathogenesis could involve the combination of a “sepsis” model with a persistent, low-grade, controlled and compensated disseminated intravascular coagulation due to infection-induced hemostasis activation<sup>[96,97,123-125]</sup> and an “endothelial perturbation-inflammation” model due to ischemia-reperfusion injury<sup>[98,107,126]</sup>. It is well known that the increased intestinal permeability in IBD results in an inflammatory reaction in the bowel wall, due to the dysregulated mucosal uptake of luminal bacterial, toxic and antigenic substances. Both the inflammatory reaction and the endotoxemia might promote hemostasis activation and hypercoagulation<sup>[123]</sup>. Endotoxin and endotoxin-induced microclots in the systemic circulation have been found in a high proportion of IBD patients<sup>[124,125]</sup>. Furthermore, ischemia/reperfusion-induced endothelial dysfunction<sup>[126]</sup> promotes inflammation, thrombosis, vas-



**Figure 2** Inflammation and coagulation pathways are interrelated. PAF: Platelet activating factor; PAI-1: Plasminogen-activator inhibitor type-1; TGF: Transforming growth factor.



**Figure 3** Proposed mechanism of the endothelial dysfunction in the intestinal microcirculation, in inflammatory bowel disease. (Adopted and modified from Hatoum *et al*<sup>[38]</sup>). ROMs: Reactive oxygen metabolites; COX: Cyclooxygenase.

**Table 5 Management of thromboembolic complications in inflammatory bowel disease patients**

Primary prevention of thromboembolic complications	
Ambulatory patients	Hospitalized patients
General measures	General measures
Physician awareness	Disease activity amelioration
Patient education	Early mobilization
Active disease treatment and remission maintenance	Judicious use of catheters
Recognition, elimination or modification of risk factors	Dehydration or nutritional deficiencies restoration
Steroid use	Medication modification
Smoking	Peri-operatively or in severely ill non-surgical patients
Oral contraceptives	Prophylactic anticoagulation (UH or LMWH)
Cardiovascular risk factors and other co-morbidities	Plus mechanical measures when increased thrombosis risk or mechanical measures only, when anticoagulation contraindicated with high bleeding risk
Long-distance flights	
Post-hospitalization period	
Compressive stockings?	
Treatment of a thromboembolic event	
Amelioration of disease activity	
Hematology consultation and thrombophilia screening	
Therapeutic anticoagulation - UH or LMWH	
Thrombolysis - interventional radiology/surgical consultation	
Secondary prevention of thromboembolic complications	
After a first TE episode	
Active disease - spontaneous event	
Short term anticoagulation? - 3 to 6 mo	
Plus anticoagulation during subsequent flares?	
Inactive disease - spontaneous event	
Long term anticoagulation?	
Recurrent TE or inherited thrombophilia	
Hematology consultation	
Long term anticoagulation	

UH: Unfractionated heparin; LMWH: Low molecular weight heparin.

cular anatomic and functional changes, and tissue injury through a self-propagating loop (Figure 3).

## MANAGEMENT OF THROMBOEMBOLISM IN IBD PATIENTS

The management of thromboembolism in IBD patients includes primary prophylaxis of first Thromboembolic complication, treatment of a Thromboembolic complication and secondary prophylaxis of the recurrence of a thromboembolic complication (Table 5). Currently, there are no universal and specific guidelines for the management of Thromboembolic in IBD patients in various clinical settings, except for the primary prophylaxis of VTE in hospitalized IBD patients with severe active disease<sup>[127-132]</sup>.

### Primary prevention of venous thromboembolic complications

Hospitalization is an important risk factor for VTEs for many patient groups, including IBD patients<sup>[25,27]</sup>. According to the American College of Chest Physicians (ACCP) guidelines for the prevention of VTE<sup>[127]</sup>,

hospitalized patients with IBD are at a moderate risk (10%-40%) of developing DVT and prophylaxis is the recommended beneficial strategy. The first choice is prophylaxis with a low-molecular-weight heparin (LMWH), low-dose unfractionated heparin (LDUH), or fondaparinux. Mechanical thromboprophylaxis is recommended for patients at high bleeding risk, or if anticoagulants are contraindicated<sup>[127]</sup>. International and national IBD organizations and societies in North America and Europe have adopted these recommendations in their recent guidelines for the management of IBD patients requiring hospitalization<sup>[128-132]</sup>. The recommendations are more clearly stated for patients with severe UC<sup>[128-131]</sup>.

However, there are some issues regarding anticoagulation of hospitalized IBD patients which need special consideration. Rectal bleeding is a common symptom in IBD patients (mainly UC) and therefore there is a theoretical concern about worsening the rectal bleeding. Data derived from randomized trials which used UH<sup>[133,134]</sup> or LMWH<sup>[135-138]</sup> as a treatment for active IBD (UC) and a meta-analysis<sup>[139]</sup> showed that although a clear benefit from the heparin use in ameliorating the disease activity was not demonstrated, its use was safe, without major adverse events. Heparin could be an ideal drug for IBD treatment, especially for UC, because of its anticoagulant, anti-inflammatory, immunomodulatory and mucosal healing properties. The failure of the existing trials to prove its efficacy for the UC treatment could be related to the small patient number and the heterogeneity of these studies regarding the compound of LMWH and the dosage administered, the duration of treatment and the definition of response to treatment. Larger studies may be needed to clarify this issue and to reveal the optimal dosing of heparin and the features of a subgroup of patients with active UC who may benefit from LMWH administration.

Recently, Ra *et al*<sup>[140]</sup> retrospectively assessed the safety of prophylactic anticoagulation in hospitalized IBD patients. They reported that 80% of the IBD patients received anticoagulation, mainly in the form of LMWH (93%). Anticoagulation administration was more frequent to IBD patients on the surgical service, those with more extensive disease and predominantly those without rectal bleeding. They also found that the rates of major or minor bleeding were not significantly higher in patients who received prophylactic treatment compared to those who did not. The authors concluded that the use of anticoagulation in IBD hospitalized patients is safe, even in the presence rectal bleeding provided that there are no signs of hemodynamic instability<sup>[140]</sup>.

Another issue which needs discussion is whether anticoagulation prophylaxis should be administered only to severely ill hospitalized IBD patients or to all hospitalized IBD patients. Since hospitalization is an independent risk factor for VTEs in IBD patients and these patients may have additional risk factors for thrombosis (inflammation, catheters, immobilization, complicated disease), it would be reasonable to take measures in order to reduce the

other risk factors by aiming to: ameliorate disease activity, institute early mobilization, use IV catheters judiciously, avoid/treat dehydration and nutritional deficiencies, and minimize medications predisposing to thrombosis. Finally, it would be prudent to expand the indication for prophylaxis to inpatients with IBD who are not necessarily too ill to be confined to bed<sup>[25,27]</sup> or even are in remission and hospitalized for other indications, since there is significantly increased risk for VTEs in these groups as well<sup>[25]</sup>. Prophylaxis, together with the increased awareness for signs VTEs during the routine clinical assessment of the IBD patients admitted to the hospital, may be more feasible and cost-effective in clinical practice than the use of expensive screening tests<sup>[141]</sup>.

Another important question that needs an answer is the duration of prophylactic anticoagulation in non-surgical IBD patients after discharge from the hospital. Studies have demonstrated that many VTEs occur during the immediate post-hospital period both in the general population<sup>[142]</sup> and in IBD patients<sup>[24,25]</sup>. Patients with IBD are discharged from the hospital with improved, but not necessarily fully remitted, disease and to date there are no data for extended VTE prophylaxis during this post-hospitalization period, although its use could be justified in patients with increased risk for thrombosis<sup>[143-145]</sup>.

Patients with IBD are at increased risk for postoperative VTE<sup>[33,34,43]</sup>. According to the data from these studies and to the ACCP guidelines, patients with CD have a moderate risk of VTEs after intestinal surgery, while the patients with UC have a high risk of post-surgical VTEs<sup>[146]</sup>. Furthermore, Scarpa *et al*<sup>[147]</sup> reported that a standard prophylactic dose of LMWH was inadequate to prevent VTEs in IBD patients with major colorectal surgery and in particular in patients with UC. These data suggest that the perioperative prophylactic anticoagulation in IBD patients should include higher doses of LMWH, for longer periods post-operatively, or even be combined with adjunct mechanical methods<sup>[146-148]</sup>.

Recent data have confirmed that ambulatory IBD patients with active disease are at increased risk for VTEs<sup>[25,37]</sup>. Although, Grainge *et al*<sup>[25]</sup> reported that the risk of VTEs was significantly higher during flares and chronic activity periods compared to periods of remission of the disease, both in ambulatory and hospitalized IBD patients, currently there are no guidelines for the primary prevention of VTEs nor is sufficient data for the beneficial use of anticoagulants in the ambulatory setting<sup>[145]</sup>. However, general prophylactic measures could also be applied in the ambulatory setting and include: patient education about the risks and the presenting symptoms of thromboembolic complications; enhancement of clinician awareness of this ominous extraintestinal manifestation, with attention to the history and clinical signs of TE in the routine clinical assessment of IBD patients; aggressive treatment of active disease and maintenance of remission; early recognition and elimination or minimization of modifiable risk factors (steroid use, smoking, oral contraceptives, hormone-replacement therapy, long-

distance flights)<sup>[149-151]</sup>. Furthermore, in a recent decision analysis study, Nguyen and Sharma<sup>[152]</sup> explored the cost-effectiveness of pharmacological VTE prophylaxis in ambulatory IBD patients and concluded that pharmacological VTE prophylaxis in ambulatory IBD patients with acute disease could not be recommended, even though it was beneficial, because it was not cost-effective.

There are no direct data that anticoagulation for VTE prophylaxis in IBD patients actually works since there are no randomized controlled trials that have evaluated this issue yet. However, indirect evidence demonstrated that in acutely ill medical patients pharmacological prophylaxis significantly reduces the incidence of VTE and mortality<sup>[127,143,144]</sup>.

### Primary prevention of ATEs

Apart from the general measures mentioned previously and anticoagulation prophylaxis during hospitalization or post-operatively, clinicians should routinely assess IBD patients for cardiovascular risk (hypertension, diabetes, hyperlipidemia, obesity, hyperhomocysteinemia, positive family history) and preventive measures and/or treatment of these risk factors should be applied<sup>[2]</sup>.

### Therapy of VTE and secondary prevention

The treatment of an acute thromboembolic episode in IBD patients is similar to non-IBD patients. Pharmacological anticoagulation (AC) with UH or LMWH is usually administered in mild to moderate Thromboembolic events, while thrombolysis or catheter-directed thrombolysis (CDT) are reserved for more severe TEs including massive thrombosis and organ- or life- threatening vascular occlusion<sup>[2,150]</sup>. Therapeutic doses of anticoagulants or thrombolytics for the treatment of TEs in IBD patients presents a major safety concern regarding the risk of gastrointestinal (GI) and systemic hemorrhagic complications, since many of the patients have active disease with rectal bleeding. The management decisions should be individualized according to the clinical setting in each patient and episode, and often requires a multidisciplinary approach<sup>[2,150]</sup>. The safety of UH and LMWH has been proven in previous studies which evaluated heparin for the treatment of active IBD<sup>[139]</sup>. Tabibian *et al*<sup>[153]</sup> in a systematic review evaluated the clinical outcomes with anticoagulation and CDT in IBD patients with TE, and reported that both CDT and AC were well tolerated by IBD patients with TE. They suggested that CDT may be used preferentially in patients with severe life-threatening TE, while AC may be more suitable in patients with less clinically significant Thromboembolic or patients at higher risk for bleeding. Furthermore, they demonstrated the safety of these treatments, even when they were used in patients with rectal bleeding, provided that there was no concurrent major GI hemorrhage<sup>[153,154]</sup>.

The duration of anticoagulation is another important issue because of the increased risk of recurrence of TEs in IBD patients. The duration of anticoagulation after initial treatment for Thromboembolic ranges from 3 mo

to lifelong, depending on the individual case. In cases where a Thromboembolic event occurred during active disease, the anticoagulation must be continued at least until clinical remission occurs<sup>[2,154]</sup>. In a recent decision analysis study, Nguyen and Bernstein<sup>[155]</sup> suggested that lifetime anticoagulation was marginally more beneficial than the time-limited (6-mo) anticoagulation after a first unprovoked VTE in the absence of active disease. They also recommended that in the case of VTE during a flare of the disease, time-limited anticoagulation with or without prophylaxis during subsequent flares would be a more suitable option<sup>[155]</sup>. In general, LMWHs, vitamin K antagonists (VKAs; warfarin) or even the new direct oral anticoagulants (NOACs; rivaroxaban, dabigatran, apixaban, and edoxaban) can be used for the long term treatment of TEs. For NOACs new evidence from studies suggests that they have comparable efficacy to that of VKAs with a more favorable safety profile, but there is no direct evidence for their use in IBD patients yet<sup>[156,157]</sup>.

### **Physicians' perceptions of the risks and practices in VTE prophylaxis in IBD patients**

The risk of thrombosis in IBD patients is high, with significant morbidity and mortality. It is important for the treating physicians to be aware of this serious extraintestinal manifestation and to be able to efficiently recognize and treat the Thromboembolic events. As previously mentioned, international and national IBD organizations and societies in North America and Europe have recently published guidelines for the prevention of TEs in IBD patients<sup>[128-132]</sup>. However, surveys which have evaluated the practices of gastroenterologists regarding the issue of VTE prophylaxis in IBD patients have shown that although a significant proportion is aware of the increased risk of TEs in hospitalized IBD patients, their practices for VTE prophylaxis is variable<sup>[158-161]</sup>.

Razik *et al.*<sup>[158]</sup> reported that among 56 Canadian academic gastroenterologists, 55% reported the existence of standard hospital protocols for DVT prophylaxis in hospitalized IBD patients, and more than 80% reported the administration of some form of VTE prophylaxis, but only 50% of them were aware of the existing guidelines. Sam *et al.*<sup>[159]</sup> in a similar survey among 135 gastroenterologists in United States, practising mainly in an academic setting (77%), reported that although most of them (84%) had IBD patients with VTE and realized the risks of VTEs, only 67% had protocols for VTE prophylaxis, 45% were aware of the guidelines and finally, 14% would never administer prophylaxis in their IBD inpatients. Gastroenterologists with high volumes of IBD patients were more likely to administer VTE prophylaxis. In addition, Tinsley *et al.*<sup>[160]</sup> reported that the awareness of the heparin use for VTE prophylaxis was more frequent among gastroenterologists who were in academic settings, and those who had high volumes of IBD patients, and those who had less than 5 years of practice experience. Finally, Tinsley *et al.*<sup>[161]</sup>, in another study,

investigated retrospectively the rates of pharmacologic VTE prophylaxis in UC inpatients at a tertiary referral center and concluded that pharmacologic prophylaxis was not ordered or was administered inadequately in a substantial proportion of UC patients admitted in the hospital despite the existing guidelines.

To summarize, all these data clearly show that there are significant variations in practice regarding VTE prophylaxis in hospitalized IBD patients due to a high level of unawareness of current guidelines. It is important for Gastroenterology societies and organizations to more aggressively pursue the education of gastroenterologists, especially those with low volumes of IBD patients, so that they better understand the risks and the adverse outcomes of thromboembolism in IBD patients. The goals are to have them routinely incorporate clinical assessment for signs and symptoms of TEs and to have them efficiently prevent or treat TEs<sup>[162]</sup>. Very recently, during finalization of the present review, the Canadian Association of Gastroenterology (CAG) published (in press-on line first) specific recommendations for the prevention and the treatment of VTE in IBD patients in various clinical settings<sup>[163]</sup>. The CAG has addressed many of the gaps which exist in the management of VTE in this patient group and has provided a useful and applicable evidence-based guide for the physicians who are involved with the care of IBD patients. The recent CAG guidelines give solid recommendations for some of the important issues we have outlined in Table 5.

## **CONCLUSION**

Evidence from the literature suggests that thrombosis is a specific feature of IBD that can be involved in both the occurrence of thromboembolic events and the pathogenesis of the disease itself. The precise etiology for the higher rates of thromboembolism in IBD and the specific association is as yet unknown, but multiple acquired and inherited factors are implicated. Hypercoagulability is thought to be involved in IBD pathogenesis and future research may reveal potential therapeutic targets for the IBD management. More importantly, both arterial and venous thromboembolic complications are serious and challenging extra-intestinal manifestations to manage, with significant morbidity and mortality in IBD patients. However, thromboembolism is preventable and, therefore, clinician awareness of the risks, and the knowledge of how to efficiently prevent or treat TEs in patients with IBD are of vital importance. Future clinical trials should clarify the ill-defined issues of the thromboprophylaxis in ambulatory patients with active disease, the thromboprophylaxis in patients during the immediate post-hospitalization period, and the duration of thromboprophylaxis. In addition, clinical trials should provide clinicians with reliable methods or markers for assessing the prothrombotic risk in IBD patients in order to promptly apply preventive measures.

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