

## MicroRNA signatures in chemotherapy resistant esophageal cancer cell lines

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### Abstract

**AIM:** To investigate expression of microRNA (miRNA) and potential targets in chemotherapy resistant esophageal cancer cell lines.

ageal cancer cell lines.

**METHODS:** An *in-vitro* model of acquired chemotherapy resistance in esophageal adeno- (EAC) and squamous cell carcinoma (ESCC) cells was used, and microRNA expression profiles for cisplatin or 5-fluorouracil (5-FU) resistant variants *vs* chemotherapy sensitive controls were compared using microarray and quantitative real-time polymerase chain reaction (PCR). The expression of chemotherapy-relevant genes potentially targeted by the dysregulated microRNAs in the chemotherapy resistant variants was also evaluated.

**RESULTS:** Chemotherapy resistant sublines were found to have specific miRNA signatures, and these miRNA signatures were different for the cisplatin *vs* 5-FU resistant cells from the same tumor cell line, and also for EAC *vs* ESCC cells with resistance to the same specific chemotherapy agent. Amongst others, miR-27b-3p, miR-193b-3p, miR-192-5p, miR-378 a-3p, miR-125a-5p and miR-18a-3p were dysregulated, consistent with negative posttranscriptional control of KRAS, TYMS, ABCC3, CBL-B and ERBB2 expression *via* these miRNAs.

**CONCLUSION:** The current study supports the hypothesis that microRNA expression has an impact on chemotherapy resistance in esophageal cancer.

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**Key words:** Esophageal cancer; MicroRNA; Chemotherapy; Resistance; Target

**Core tip:** The current study demonstrates that chemotherapy resistant esophageal adeno- and squamous cell carcinoma cells present distinct microRNA (miRNA) signatures, with a number of well known resistance relevant miRNAs differentially expressed in the derived cisplatin or 5-fluorouracil resistant cell lines. Furthermore, a number of putative target genes that are known to

have an impact on chemotherapy resistance are dysregulated in the chemotherapy resistant cell lines in a direction consistent with negative posttranscriptional control of target gene expression *via* the respective miRNA, thereby implicating a potential mediatory effect in terms of chemotherapy resistance development.

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## INTRODUCTION

Esophageal cancer is the eighth most common cancer worldwide, and the sixth most common cause of cancer related death [GLOBOCAN 2008 (IARC), Section of Cancer Information (30/1/2012)]. Despite improvements in the management of esophageal cancer, however, the outcome for individuals developing this disease remains poor. Chemotherapy and/or radiotherapy based treatment approaches are used in many patients, and recently several meta-analyses have demonstrated a survival advantage for patients undergoing either neoadjuvant chemotherapy or combined chemo-radiotherapy treatment before surgery for esophageal cancer, compared to surgery alone<sup>[1,2]</sup>. In addition, in patients not suitable for surgical resection, combined chemo-radiotherapy is followed by complete macroscopic tumor regression, when assessed by endoscopy, in up to 50% of patients, with a partial response achieved in approximately half of the remaining patients<sup>[3]</sup>. However, individuals respond to these treatments in a variable fashion, and those who respond poorly to chemotherapy probably undergo futile treatment. Identification of individuals who are unlikely to benefit before treatment starts is desirable as it would allow treatment to be tailored to the individuals most likely to benefit. It is possible that novel molecular biomarkers, which predict response to chemotherapy, might be identifiable and might be then used to tailor treatment. It is also possible that molecular biomarkers of chemotherapy response might provide novel therapeutic targets to overcome potential chemotherapy resistance.

In this context, microRNA (miRNA) biomarkers are promising candidates. It has been demonstrated that miRNA expression profiles differ between various esophageal derived tissues, correlate with prognosis and clinico-pathological features in esophageal cancer, and impact directly on tumor growth, tumor cell proliferation, and tumor invasion<sup>[4,5]</sup>. In addition, for some other cancer types there is evidence that miRNA expression has an impact on the response to chemotherapy<sup>[6]</sup>, but so far there is very little data available from studies evaluating esophageal cancer in this context.

To investigate this further, we sought associations be-

tween miRNA expression and response to chemotherapy in esophageal cancer. Specifically, we assessed whether chemotherapy resistant esophageal cancer cells exhibit a specific miRNA expression pattern, and whether the expression of potential chemotherapy resistance-relevant targets of the dysregulated miRNAs is altered in chemotherapy resistant cancer cells.

## MATERIALS AND METHODS

### Cell lines and cell culture

The chemotherapy sensitive human adenocarcinoma (EAC) cell line OE19 and the squamous cell carcinoma (ESCC) cell line KYSE410, and cisplatin and 5-Fluorouracil (5-FU) resistant variants of both cell lines which were developed in our laboratory, were used for the current study. Resistant sublines of both sensitive cell lines were generated using a pulsatile treatment approach which included repetitive treatment of cells with constant concentrations of cisplatin or 5-FU. Briefly, KYSE 410 cells were subjected to a 4-d exposure of 2  $\mu\text{mol/L}$  cisplatin or 5  $\mu\text{mol/L}$  5-FU, and OE 19 cells were exposed to 5  $\mu\text{mol/L}$  cisplatin or 10  $\mu\text{mol/L}$  5-FU for 3 d. The medium was not changed during this period, thereby providing a constant exposure to the chemotherapy agent. After removal of the chemotherapy agent, cells were allowed to recover, split when approximately 70%-80% confluent, and then exposed to the next cycle of chemotherapy. All derived cell lines were shown to have significant resistance to the corresponding chemotherapy agent<sup>[7]</sup>.

Cells were cultured in a humidified atmosphere containing 5% CO<sub>2</sub> at 37 °C, using DMEM high glucose/phenol red free DMEM/F12 1:1 medium (OE-19) or 1  $\times$  RPMI 1640/phenol red free RPMI 1640 medium (KYSE410) supplemented with 10% fetal bovine serum, 1% Penicillin-Streptomycin (GIBCO® Invitrogen, Life Technologies Australia Pty Ltd., Victoria, Australia) and 2% Normocin™ (InvivoGen, Life Research Pty Ltd, Victoria, Australia). Resistant variants were further repeatedly exposed to the respective chemotherapeutic agent as outlined above in order to maintain the selection pressure<sup>[7]</sup>.

### Cell harvest, RNA extraction and preparation

Cells from the chemotherapy resistant variants and their original cell line were seeded into 6 replicate flasks each. Prior to harvest, resistant cell lines were allowed to grow for at least 24-48 h in chemotherapy-free medium in order to avoid an acute toxicity response to the respective chemotherapeutic agent. Then, cells were harvested at a confluency of about 80% in TRIzol® (Invitrogen) and total RNA was extracted from the cells according to the manufacturer's protocol. RNA concentration was measured using UV spectrophotometry (NanoDrop® ND-8000 Spectrophotometer; Thermo Fisher Scientific), and quality determined by visualization of distinct 28S and 18S rRNA species *via* electrophoresis through a 1%

agarose gel.

### miRNA microarray

500 ng of total RNA was used for microarray studies. Total RNA was labeled using the FlashTag HSR Biotin RNA Labelling Kits (Genisphere LLC, Hatfield) and hybridized to microRNA Arrays (Affymetrix GeneChip miRNA Array which contained 848 miRNAs representing Sanger miRBase v11, Affymetrix Inc.) as per the Genisphere manual. Briefly, RNA was poly-A tailed, and then a proprietary biotin-labelled dendramer molecule was joined to the 3' end using DNA ligase. Labeled samples were hybridized to the arrays at 48 °C for 16 h and then washed and stained with a Streptavidin-PE solution prior to imaging. The resultant CEL files containing the raw intensity data were background corrected and statistically analyzed using Partek Genomics Suite (Partek Inc, St Louis, United States) using the subset of human targeting probes on the array. Raw data was processed using Robust Multichip Average (RMA) background correction, quantile normalization and probe summarization. Differential miRNA expression was determined by ANOVA (analysis of variance) with the p-value adjusted using the step-up multiple test correction. The step-up method used was the Benjamini-Hochberg method for multiple test correction, which is widely used for microarray data analysis<sup>[8]</sup>. Adjusted  $P < 0.05$  were considered to be significant. Microarray data were deposited in the Gene Expression Omnibus ([www.ncbi.nlm.nih.gov/geo](http://www.ncbi.nlm.nih.gov/geo); GEO accession number GSE50224). Subsequently, the most significantly dysregulated miRNAs from the microarray experiments for each resistant cell line were selected and expression of these candidates was assessed *via* quantitative real-time polymerase chain reaction (PCR).

### DNase-pretreatment, reverse transcription and quantitative real-time PCR for miRNA validation

For quantitative real-time PCR validation, all samples underwent DNase-pretreatment using Ambion® TURBO DNA-free™ (Applied Biosystems) in order to remove contaminating DNA. 500 ng of RNA dissolved in 8 µL nuclease free water was incubated with 1 µL 10 × Turbo DNase Buffer and 1 µL rDNase I for 30 min at 37 °C in a thermocycler (Eppendorf Mastercycler). Samples were then incubated with 1 µL DNase Inactivation Reagent for 5 min at room temperature, and centrifuged at 10000 *g* for 5 min at 4 °C. DNase treated RNA was finally incubated for 10 min at 75°C, and stored on ice for further processing.

The miScript Reverse Transcription Kit (Qiagen) was used, as it allows quantification of mature miRNA and mRNA from the same cDNA sample. 500 ng of DNase treated RNA was combined with 4 µL miScript 5x RT Buffer, 1 µL Reverse Transcriptase and 5 µL nuclease free water before incubation (protocol: 60 min at 37 °C, 5 min at 95 °C, then hold at 4 °C). For miRNA validation *via* quantitative real-time PCR (PCR), the miScript PCR system was used, and 5 µL of cDNA was mixed with 10

µL 2 × QuantiTect SYBR, 2 µL 10 × miScript Universal Primer, 2 µL gene specific 10 × miScript Primer Assay and 1 µL nuclease free water. Quantitative analysis was performed using Q-Gene software. MiRNA expression data was normalized to the expression levels of RNU44, which displayed comparable expression across the different groups (data not shown).

### Gene expression of potential targets on mRNA levels

To evaluate the functional relevance of the miRNAs that were identified to be dysregulated in the development of chemotherapy resistance, we next performed gene expression analyses of the mRNA levels for putative targets of these miRNAs. In this context, potential gene targets of the dysregulated miRNAs were identified from the literature and bioinformatics tools (DIANA-mirPath<sup>[9]</sup>), and we focused on genes that were known to be relevant to cellular resistance to chemotherapy (cisplatin resistant EAC: ZEB1/ZEB 2<sup>[10]</sup>, Bcl-2<sup>[11]</sup>, DUSP16<sup>[12]</sup>, KRAS<sup>[13]</sup>, MAP4K4<sup>[14]</sup>; 5-FU resistant EAC: KRAS<sup>[13]</sup>, CDKN1b<sup>[15]</sup>, TYMS<sup>[16]</sup>, ABCC3<sup>[17]</sup>, CBL-B<sup>[18]</sup>; 5-FU resistant ESCC: ERBB2/ERBB3<sup>[19]</sup>, MUC1<sup>[20]</sup>, MAP4K4<sup>[14]</sup>, KRAS<sup>[13]</sup>). To determine the mRNA levels, the same cDNA that was used for the determination of miRNA expression levels was used (see section “DNase-pretreatment, reverse transcription and quantitative real-time PCR (qPCR) for miRNA validation”). TaqMan® Assays (Applied Biosystems) were used as follows: 3 µL of cDNA was mixed with 10 µL TaqMan® Gene Expression Master Mix (× 2), 1 µL of the respective TaqMan® Primer (× 20) and 6 µL nuclease free water. All samples were assayed in triplicate reactions using a Rotorgene 6000 thermocycler (Corbett Life Science). Quantitative analysis was performed using Q-Gene software. mRNA expression data was normalized to 18S rRNA, which displayed comparable expression across the different groups (data not shown).

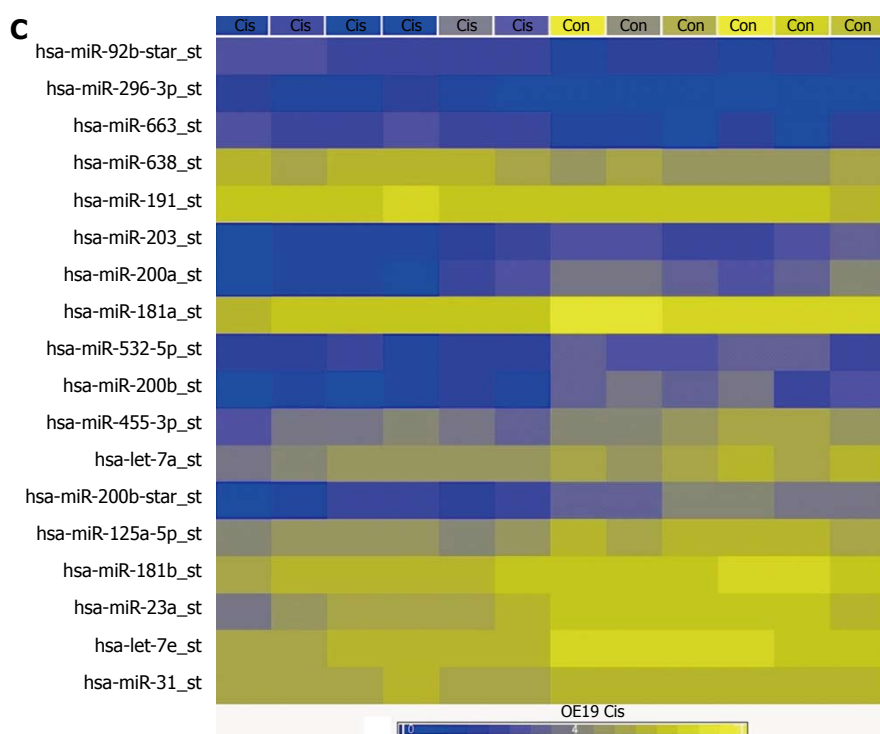
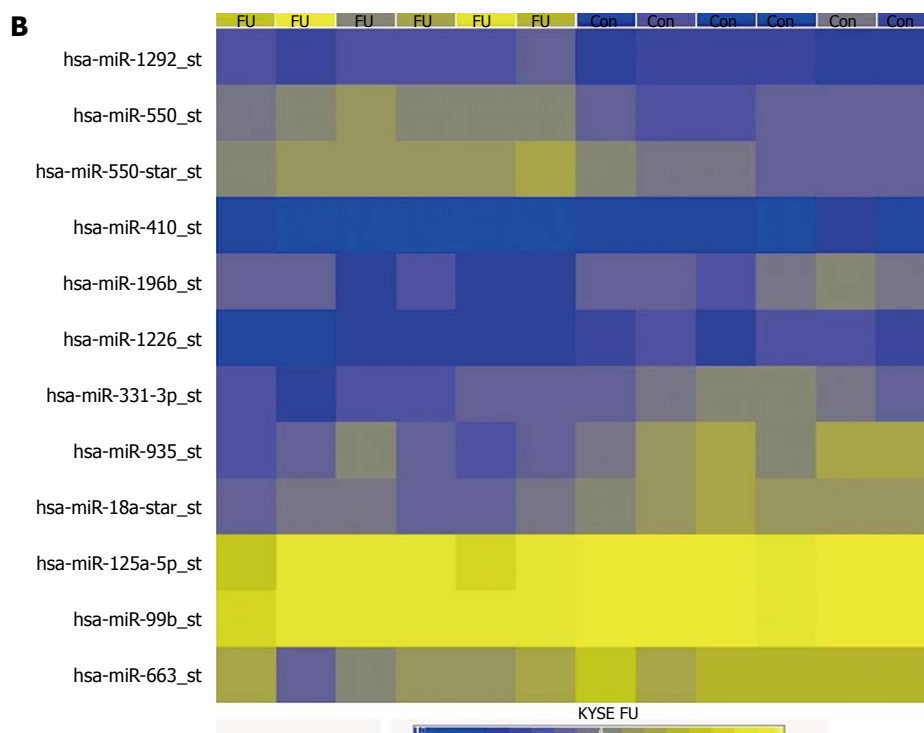
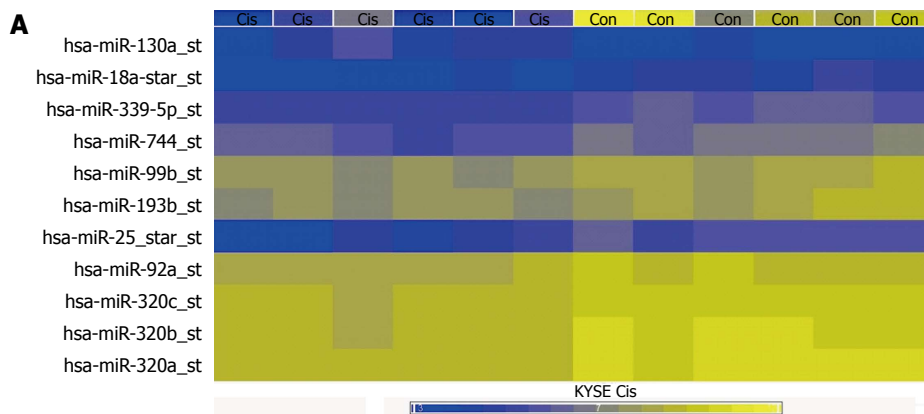
### Statistical analysis

Expression data for miRNAs/mRNAs were expressed as means of normalized expression with standard deviation. These data were assessed for statistical significance using Student's *t*-test for equal and unequal variances, as appropriate, based on Levene's test for homogeneity of variances.  $P \leq 0.05$  was considered to be statistically significant. Analyses were performed using SPSS 17.0 for Windows (SPSS, Chicago, IL).

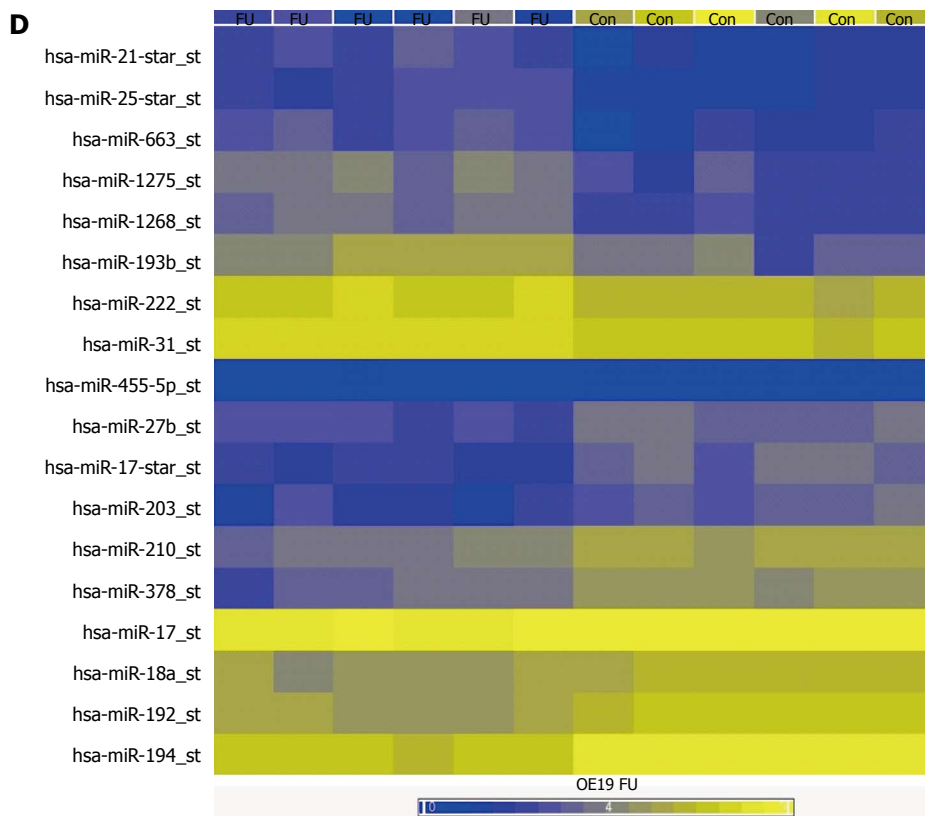
## RESULTS

### miRNA signature of chemotherapy resistant esophageal cancer: Microarray results

The results of the miRNA microarray experiments are summarized in Figure 1 and Table 1. A large number of miRNAs that were dysregulated in the different chemotherapy resistant cell lines were identified, compared to the chemotherapy sensitive controls. In cisplatin resistant EAC cells, 18 miRNAs were significantly dysregulated compared to controls (13 downregulated, 5 upregulated).







**Figure 1 Microarray results.** The figure summarises the miRNA microarray results in the different resistant cell lines, showing the comparison of miRNA expression between 6 replicate experiments and controls for each resistant cell line. A: Cisplatin resistant esophageal adeno- (EAC) and squamous cell carcinoma (ESCC) cells vs chemotherapy sensitive controls; B: 5-FU resistant ESCC cells vs chemotherapy sensitive controls; C: Cisplatin resistant EAC cells vs chemotherapy sensitive controls; D: 5-FU resistant EAC cells vs chemotherapy sensitive controls. Cis: Cisplatin resistant cells; FU: 5-FU resistant cells; Con: Sensitive control cells.

In cisplatin resistant ESCC cells, 8 miRNAs were significantly dysregulated (all 8 downregulated). In 5-FU resistant EAC cells, 18 miRNAs were significantly dysregulated (10 downregulated, 8 upregulated). In 5-FU resistant ESCC cells, 5 miRNAs were significantly dysregulated (3 downregulated, 2 upregulated).

**miRNA signature in chemotherapy resistant esophageal cancer cells: Quantitative real-time PCR validation**

Quantitative real-time PCR validation of the microarray experiments validated significant up- and downregulation for 11 miRNAs in cisplatin resistant EAC cells, 9 miRNAs in 5-FU resistant EAC cells, 1 miRNA in cisplatin resistant ESCC cells and 6 miRNAs in 5-FU resistant ESCC cells (Table 2). More miRNAs were downregulated in resistant cells compared to sensitive controls (19 downregulated vs 8 upregulated miRNAs). Two miRNAs were found to have altered expression in both cisplatin and 5-FU resistant cells: miR-31 and miR-125a-5p. Interestingly, miR-31-5p was downregulated in cisplatin resistant EAC but upregulated in 5-FU resistant EAC, whereas miR-125a-5p expression was suppressed in both, cisplatin resistant EAC and 5-FU resistant ESCC variants.

**mRNA expression levels of potential target genes**

The expression of potential target genes for the dysregulated miRNAs was examined for 19 putative target can-

didates. Significant up- or downregulation of 9 of these 19 targets were found in the respective cell lines (5-FU resistant EAC: KRAS, TYMS, ABCC3 and CBL-B; cisplatin resistant EAC: BCL-2 and MAP4K4; 5-FU resistant ESCC: ERBB2, MUC1 and KRAS). Comparison between target gene expression and miRNA expression revealed that 6 targets (KRAS, TYMS, ABCC3 and CBL-B in 5-FU resistant EAC; ERBB2 and KRAS in 5-FU resistant ESCC) were regulated in a direction consistent with negative post-transcriptional control of target gene expression via the respective miRNA (Table 3).

**DISCUSSION**

miRNAs represent a new class of epigenetic regulators of global gene expression, and their expression appears to influence chemotherapy sensitivity and resistance<sup>[6]</sup>. In the current study, miRNA microarrays were used to evaluate global miRNA expression profiling within an in-vitro model of acquired chemotherapy resistance in esophageal EAC and ESCC cells, and then quantitative real-time PCR was used to validate the findings. This demonstrated specific miRNA signatures for each chemotherapy resistant subline, and that the miRNA signatures are different for cisplatin vs 5-FU resistant cells from the same tumor cell line, and also for EAC vs ESCC cells with resistance to the same specific chemotherapy

**Table 1 Dysregulated microRNAs in resistant cells: Microarray results**

	EAC			ESCC		
	miRNA	Stepup <i>P</i> -value	Fold-change	miRNA	Stepup <i>P</i> -value	Fold-change
Dysregulated microRNAs in cisplatin-resistant variants	miR-181a-5p	0.00141	-1.78	miR-320a	0.002	-1.75
	miR-125a-5p	0.00169	-2.23	miR-320b	0.002	-1.76
	let-7e-5p	0.00170	-2.21	miR-320c	0.002	-1.66
	miR-181b-5p	0.00170	-1.68	miR-744-5p	0.023	-1.90
	miR-200b-3p	0.00170	-5.38	miR-99b-5p	0.023	-1.70
	miR-31-5p	0.00188	-1.57	miR-92a-3p	0.030	-1.53
	miR-200b-5p	0.00278	-5.11	miR-25-5p	0.041	-2.52
	miR-455-3p	0.00510	-2.78	miR-339-5p	0.047	-1.60
	miR-191-5p	0.00934	1.30	miR-18a-3p	0.056	-2.42
	miR-638	0.00934	1.74	miR-193b-3p	0.062	-1.73
	miR-92b-5p	0.01344	1.79	miR-130a-3p	0.077	2.28
	miR-23a-3p	0.01799	-2.31			
	miR-200a-3p	0.03240	-5.05			
	miR-296-3p	0.04236	1.66			
	let-7a-5p	0.04236	-1.87			
	miR-203a	0.04236	-2.84			
	miR-663a	0.04480	2.40			
	miR-532-5p	0.04913	-2.44			
Dysregulated microRNAs in 5-FU-resistant variants	miR-31-5p	0.00031	1.84	miR-550 a-5p	0.001	3.23
	miR-192-5p	0.00151	-2.57	miR-935	0.008	-3.69
	miR-194-5p	0.00151	-2.55	miR-550 a-3p	0.037	2.65
	miR-378 a-3p	0.00277	-3.17	miR-99b-5p	0.045	-1.70
	miR-222-3p	0.00277	2.06	miR-18a-3p	0.047	-2.71
	miR-210-3p	0.00289	-2.90	miR-1226-3p	0.072	-2.45
	miR-18a-3p	0.00456	-1.84	miR-1292-5p	0.072	1.77
	miR-21-3p	0.00556	2.53	miR-125a-5p	0.127	-1.92
	miR-1268a	0.00880	2.95	miR-410-3p	0.233	-1.46
	miR-25-5p	0.01189	2.21	miR-331-3p	0.240	-2.21
	miR-27b-3p	0.01797	-2.12	miR-663a	0.240	-2.61
	miR-17-5p	0.01928	-1.35	miR-196b-5p	0.251	-2.58
	miR-193b-3p	0.01961	3.78			
	miR-17-3p	0.03118	-3.03			
	miR-455-5p	0.03143	-1.40			
	miR-1275	0.03143	3.29			
	miR-203a	0.03456	-2.85			
	miR-663a	0.04074	2.39			

Summary of miRNA microarray results for the different chemotherapy resistant cell lines, including dysregulated miRNAs, stepup *P*-value and fold changes in expression, compared to sensitive controls. FU: 5-FU resistant cells; EAC: Esophageal adenocarcinoma cells; ESCC: Esophageal squamous cell carcinoma cells.

agent. The latter observation of differences in miRNA expression between EAC *vs* ESCC cell lines is consistent with the different tumor biology for EAC and ESCC. Furthermore, our study also identified dysregulated expression of the miRNAs' potential target genes [namely KRAS, TYMS, ABCC3 and CBL-B (5-FU resistant EAC) respectively ERBB2 and KRAS (5-FU resistant ESCC)] in a direction consistent with negative posttranscriptional control of target gene expression *via* miR-27b-3p, miR-193b-3p, miR-192-5p, miR-378 a-3p, miR-125a-5p and miR-18a-3p.

These findings are consistent with other studies that suggest that these genes have an impact on chemotherapy resistance in cancer. For example, overexpression of TYMS has been linked to resistance to TYMS-targeted chemotherapy agents such as 5-FU and methotrexate<sup>[16]</sup>, and ABCC3 is associated with chemotherapy resistance<sup>[17]</sup>. In addition, overexpression of Cbl-b has been shown to downregulate both p-Akt and P-gp, and

partially reverse resistance to chemotherapeutic agents in gastric cancer<sup>[18]</sup>. KRAS also has an impact on sensitivity to chemotherapy in colorectal cancer<sup>[13]</sup>, and ERBB2 affects chemotherapy resistance in breast cancer cells<sup>[19]</sup>.

There is more than one possible explanation for dysregulation of miRNA expression in (cisplatin and 5-FU) resistant cell lines, and we can only speculate on this, based on currently known paradigms. For example, epigenetic changes such as DNA methylation or histone modification, that might occur during acquisition of chemotherapy resistance could directly impact upon the level of transcription of miRNA genes. The resulting changes in miRNA expression might then be important for further driving acquisition of a highly chemotherapy resistant phenotype. However, recent reports also suggest that many of the identified miRNAs in our study have been shown to have an impact on chemotherapy resistance in other cancers. For example, members of the miR-200 family, the miR-181 family, the let-7 family, or

**Table 2** Dysregulated microRNAs in resistant cells: quantitative real-time polymerase chain reaction validation

	Cisplatin resistant EAC	Cisplatin resistant ESCC	5-FU resistant EAC	5-FU resistant ESCC
Significantly dysregulated miRNAs in resistant variants	miR-455-3p (-3.06)	miR-130a-3p (1.70)	miR-378a-3p (-3.58)	miR-935 (-2.29)
	miR-200b-3p (-2.46)		miR-192-5p (-2.32)	miR-550a-3p (2.21)
	let-7e-5p (-2.44)		miR-210-3p (-2.19)	miR-125a-5p (-2.20)
	miR-181b-5p (-1.88)		miR-194-5p (-2.01)	miR-1226-3p (-2.12)
	miR-125a-5p (-1.79)		miR-222-3p (1.85)	miR-99b-5p (-1.88)
	miR-181a-5p (-1.63)		miR-17-3p (-1.83)	miR-18a-3p (-1.75)
	miR-200b-5p (-1.63)		miR-193b-3p (1.54)	
	miR-31-5p (-1.58)		miR-31-5p (1.40)	
	miR-200a-3p (-1.57)		miR-27b-3p (1.36)	
	miR-638 (1.55)			
	miR-191-5p (1.42)			

Final quantitative real-time polymerase chain reaction validation results for the different chemotherapy resistant cell lines, including dysregulated miRNAs and fold changes in expression compared to chemotherapy sensitive controls. All listed miRNAs were significantly dysregulated compared to controls. FU: 5-FU resistant cells; EAC: Esophageal adenocarcinoma cells; ESCC: Esophageal squamous cell carcinoma cells.

single miRNAs such as miR-130a-3p, miR-221-3p/miR-222-3p and miR-31-5p have been reported to be differentially expressed in various chemotherapy resistant cancer cell lines, or to have an impact on sensitivity to anticancer treatment including chemotherapy and radiation<sup>[6]</sup>. The miRNA candidates in our study, for which we identified potential target genes, revealed a similar pattern, with miR-27b-3p, miR-193b-3p/miR-193b-5p, miR-192-5p, miR-378 a-3p or miR-125a-5p associated with resistance towards multiple chemotherapeutic agents in a number of other tumor entities, including gastric<sup>[21]</sup> and colorectal cancer<sup>[22]</sup>.

Data on esophageal cancer are still very rare, but the results from our study and other studies addressing this issue suggest that chemotherapy or radiotherapy resistance in esophageal cancer is also modulated by miRNAs<sup>[23]</sup>. For example, Imanaka *et al.*<sup>[24]</sup> found 10 miRNAs to be significantly dysregulated between nine ESCC cell lines with varying sensitivity towards cisplatin. However, as the authors used a model that compares miRNA expression between several different cell lines, it remains unclear whether the differences in miRNA expression are caused by variations in chemotherapy resistance or phenotypic differences between different cells originating from different human individuals. Data from other studies suggest that modulation of the expression of single miRNAs such as miR-27a-3p, miR-31-5p, miR-141-3p, miR-148a-3p, miR-200c-3p and miR-296-5p affect sensitivity towards various chemotherapeutic agents or radiation in esophageal cancer<sup>[17,24-28]</sup>.

There are some limitations to the current study. Firstly, cell line experiments do not always yield results that can be directly translated to the clinical setting, as tumor tissues from patients often show considerable heterogeneity. Hence, the miRNAs identified in our study may not be relevant to all esophageal EACs or ESCCs in the clinical setting and could be specific to the particular cell lines tested. This highlights the need for further confirmation in experiments using human tumor samples. However, most of the miRNAs identified in our study have been reported in other tumor types, in both human

tumor samples and cell culture settings, and this does suggest that the data from the current study is relevant.

Secondly, the current study was limited to only two esophageal cancer cell lines, and their resistant sublines, and confirmation of our results in other (sensitive and resistant) cell lines would provide further support to the findings.

Finally, a thorough validation of potential gene targets has not been undertaken at this stage, and we have not conducted functional analyses to confirm the role of miRNA in chemoresistance.

However, the primary aims of the current study were to investigate (1) if chemotherapy resistant esophageal cancer cell lines exhibit specific miRNA expression patterns, and (2) if the expression of potential resistance-relevant target genes of the dysregulated miRNAs is altered in the resistant cell lines. We were able to answer these questions with the current study. However, future experiments exploring the downstream pathways in more detail will be needed and should help to understand how miRNAs might have an impact on chemotherapy resistance.

In conclusion, the current study demonstrated that chemotherapy resistant esophageal EAC and ESCC cells present distinct miRNA signatures, with a number of well known resistance relevant miRNAs differentially expressed in the derived cisplatin or 5-FU resistant cell lines. Furthermore, a number of putative target genes that are known to have an impact on chemotherapy resistance were dysregulated in the chemotherapy resistant cell lines in a direction consistent with negative posttranscriptional control of target gene expression *via* the respective miRNA, thereby implicating a potential mediatory effect in terms of chemotherapy resistance development. This data presents initial basic research results pertinent to this topic, although the data remains limited by its in-vitro character. Nevertheless, the results from this study do support undertaking further *in vivo* studies that address whether miRNAs can be used as novel biomarkers for chemotherapy response prediction, or perhaps even evaluate modulation of miRNAs as novel therapies for overcoming chemotherapy resistance in esophageal cancer.

**Table 3** Target gene expression of potential target genes

	MicroRNA		Target		
	Dysregulated miRNA	Up-/down regulation	mRNA target	Up-/down regulation	X-fold ( <i>P</i> -value)
5-FU resistant EAC	miR-192-5p	↓	TYMS	↑	+2.30 (< 0.001)
	miR-378a-3p	↓	CBL-B	↑	+1.93 (0.003)
	miR-192-5p	↓	ABCC3	↑	+1.30 (0.008)
	miR-27b-3p	↑	KRAS	↓	-1.24 (0.036)
	miR-193b-3p	↑	KRAS	↓	-1.24 (0.036)
5-FU resistant ESCC	miR-18a-3p	↓	KRAS	↑	+1.60 (0.036)
	miR-125a-5p	↓	ERBB2	↑	+1.40 (0.026)

Summary of gene expression analysis for potential target genes, regulated in a direction consistent with negative posttranscriptional control of target gene expression *via* the respective miRNA (presented in the first column). ↓: Downregulation of the miRNA or mRNA. ↑: Upregulation of the miRNA or mRNA. Fold changes for target gene expression are provided with *P*-values in brackets. FU: 5-FU resistant cells; EAC: Esophageal adenocarcinoma cells; ESCC: Esophageal squamous cell carcinoma cells.

## COMMENTS

### Background

Neoadjuvant (radio-) chemotherapy plays an important role in the treatment of patients with advanced esophageal cancer. However, individuals respond to these treatments in a variable fashion, and those who respond poorly to chemotherapy probably undergo futile treatment. Identification of individuals who are unlikely to benefit before treatment starts is desirable as it would allow treatment to be tailored to the individuals most likely to benefit. So far, no reliable biomarkers are available for prediction of response to neoadjuvant chemotherapy in esophageal cancer patients. MicroRNAs might be promising candidates in this context, as there is some first evidence that microRNA expression has an impact on the response to chemotherapy in a number of other malignancies.

### Research frontiers

MicroRNAs are important regulators of global gene expression. To date, it is accepted that microRNAs play a crucial role in many physiological and pathological processes, such as in the initiation and progression of cancer. Most importantly, microRNAs have been demonstrated to impact on sensitivity towards various chemotherapeutic drugs including cisplatin and 5-FU resistant cells (5-FU) amongst others in a variety of cancers by regulating several resistance-relevant intracellular pathways that control for example apoptosis, cell survival, DNA-repair and others.

### Innovations and breakthroughs

By using an in-vitro model of acquired chemotherapy resistance in esophageal adeno- (EAC) and squamous cell carcinoma (ESCC) cells, the current study demonstrated that chemotherapy resistant esophageal EAC and ESCC cells present distinct miRNA signatures, with a number of well known resistance relevant miRNAs differentially expressed in the derived cisplatin or 5-FU resistant cell lines. Furthermore, a number of putative target genes that are known to have an impact on chemotherapy resistance were dysregulated in the chemotherapy resistant cell lines in a direction consistent with negative posttranscriptional control of target gene expression *via* the respective miRNA, thereby implicating a potential mediatory effect in terms of chemotherapy resistance development. In detail, miR-27b-3p, miR-193b-3p, miR-192-5p, miR-378 a-3p, miR-125a-5p and miR-18a-3p were dysregulated amongst others, consistent with negative posttranscriptional control of the expression of their potential targets KRAS, TYMS, ABCC3, CBL-B and ERBB2.

### Applications

The results from this study do support undertaking further *in vivo* studies that address whether miRNAs can be used as novel biomarkers for chemotherapy response prediction, or perhaps even evaluate modulation of miRNAs as novel therapies for overcoming chemotherapy resistance in esophageal cancer.

### Terminology

Chemotherapy resistance is a lack of response of cancer cells to drug-induced tumor growth inhibition. MicroRNAs are small non-coding RNA-molecules that control global gene expression on a post-transcriptional level.

### Peer review

The current study supports the hypothesis that microRNA expression has an impact on chemotherapy resistance in esophageal cancer. This provides a ba-

sis for further experimental and clinical investigations which could better tailor chemotherapy to individuals most likely to benefit, or identify and modulate chemotherapy resistance to achieve a better clinical response.

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