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*Retrospective Study*

**Influencing factors and predictive model of the early postoperative recurrence of colorectal cancer with obstruction**

Qiu J *et al.* Colorectal cancer with obstruction

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### **Abstract**

#### BACKGROUND

In cases of colorectal cancer (CRC) with obstruction, patients experience local tissue edema due to intestinal obstruction. This condition stimulates the accumulation of inflammatory factors, activates cancer cells, and increases the risk of tumor recurrence. At present, analyses and evaluation tools for factors influencing early postoperative recurrence in patients with CRC and obstruction are limited.

#### AIM

To explore the influencing factors and construct a predictive model of the early postoperative recurrence of CRC with obstruction.

#### METHODS

Data from 181 patients with CRC and obstruction who underwent surgery in the Department of Gastrointestinal Surgery, Suzhou Ninth Hospital Affiliated to Soochow University, between January 2017 and May 2023 were retrospectively collected. Patients with CRC and obstruction were divided into a recurrence group and a non-recurrence

group based on whether recurrence occurred during the 2-year follow-up after surgery. Datasets from the two groups were compared. Subsequently, multiple logistic regression was employed to analyze the influencing factors of the early postoperative recurrence of CRC with obstruction. The nomogram prediction model was drawn using R software, and its performance was evaluated by the goodness of fit test and receiver operating characteristic (ROC) curve analysis. The clinical benefit rate of the model was evaluated by decision curves.

## RESULTS

Among the 181 patients with CRC and obstruction, 52 (28.73%) experienced tumor recurrence within 2 years after surgery. Significant differences were observed in preoperative carcinoembryonic antigen (CEA), preoperative systemic immune-inflammation index (SII), tumor, node, and metastasis (TNM) stage, differentiation degree, nerve infiltration, and Ki-67 expression between the recurrence and non-recurrence groups ( $P < 0.05$ ). Multivariate logistic regression analysis showed that high preoperative CEA ( $OR = 2.094, P = 0.008$ ), high preoperative SII ( $OR = 2.795, P < 0.001$ ), TNM stage III ( $OR = 1.644, P = 0.027$ ), poor differentiation ( $OR = 1.861, P = 0.035$ ), and high Ki-67 expression ( $OR = 2.467, P = 0.001$ ) were all influencing factors for early postoperative recurrence of CRC with obstruction. The area under the ROC curve of the nomograph model constructed based on this was 0.890, the goodness of fit deviation test was conducted ( $\chi^2 = 3.903, P = 0.866$ ), and the decision curve display model demonstrated practical value in clinical practice.

## CONCLUSION

The early recurrence rate of CRC with obstruction is high. CEA, SII, TNM staging, differentiation degree, and Ki-67 expression are factors related to early postoperative recurrence. A nomogram prediction model incorporating these factors can effectively evaluate the risk of early postoperative recurrence in patients with CRC.

**Key Words:** Colorectal cancer; Obstruction; Early recurrence; Influencing factors; Prediction model

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**Core Tip:** Colorectal cancer (CRC) with intestinal obstruction is at risk of recurrence following surgery. This study analyzed factors influencing early postoperative recurrence of CRC with obstruction and constructed a nomogram prediction model. This model provides significant guidance for the clinical evaluation of the early postoperative recurrence risk in patients with CRC and intestinal obstruction and focuses on targeted measures to reduce the early recurrence risk.

## **INTRODUCTION**

Colorectal cancer (CRC) is a common malignant tumor in gastrointestinal surgery and becomes the third most common cancer worldwide[1]. Intestinal obstruction is the initial clinical symptom in some patients with CRC[2]. CRC with obstruction can cause pathophysiological changes such as increased intestinal pressure, intestinal wall edema, and water and electrolyte disorders. Severe cases can be fatal. Thus, urgent and potentially life-saving decompression<sup>[3]</sup> is necessary to alleviate the symptoms of obstruction and improve the success rate of radical resection of CRC. However, CRC with obstruction is more complicated. Regarding surgical treatment, compared with CRC alone, CRC with obstruction is more prone to tumor metastasis and recurrence after surgery[4] and has a worse prognosis[5]. At present, the American Society of Clinical Oncology guidelines have listed concomitant obstruction as a high-risk factor for recurrence following stage II CRC resection[6]. A study reported significantly higher local recurrence rates in patients with CRC obstruction who underwent surgery, and most patients experience a relapse within 2 years after surgery[7]. Understanding the

influencing factors of early postoperative recurrence of CRC with obstruction is helpful for clinicians in implementing measures that can reduce the recurrence risk. Accurately predicting the risk of disease recurrence is essential for implementing targeted measures to reduce recurrence, which requires clarifying factors that contribute to recurrence. This study aimed to explore factors contributing to the early recurrence of CRC with obstruction and construct a prediction model as a reference for postoperative individualized management and prevention of the recurrence of CRC with obstruction.

## **MATERIALS AND METHODS**

### ***Research object***

In this retrospective study, data from 181 patients with CRC and obstruction who underwent surgical treatment in the Department of Gastrointestinal Surgery, Suzhou Ninth Hospital Affiliated to Soochow University, between January 2017 and May 2023, were collected.

The inclusion criteria were as follows: (1) conform to the diagnostic criteria of CRC in the Chinese Expert Consensus on Early Diagnosis and Treatment of Colorectal Cancer (2023 Edition)[8] and confirmed by pathology, and imaging examination revealed intestinal obstruction; (2) complete clinical data; (3) absence of major cardiovascular disease; and (4) follow-up for 2 years after surgery and complete follow-up data.

The exclusion criteria were as follows: (1) CRC and other malignant tumors present; (2) non-primary CRC, caused by metastasis from other tumors; and (3) not a first-time diagnosis of CRC with obstruction, such as intestinal obstruction caused by CRC or other disease recurrence.

### ***Research methods***

#### ***Data collection***

Patients' electronic cases, preoperative blood examination sheets, surgical records, pathological examination sheets, postoperative adjuvant treatment measures, and other information were obtained from the hospital information system. The patient's age,

body mass index, sex, preoperative peripheral blood carcinoembryonic antigen (CEA) level, carbohydrate antigen 19-9 (CA19-9) level, SII, surgical method, postoperative radiotherapy and chemotherapy, obstruction site, obstruction type, tumor size, TNM stage, differentiation degree, pathological type, nerve invasion, tumor microsatellite instability, proliferating cell nuclear antigen (Ki-67) expression, and other indicators were collected. SII value = platelet count  $\times$  neutrophil / lymphocyte[9].

#### ***Follow-up method***

Each patient was followed up for 2 years after discharge. The follow-up was conducted during hospitalization and outpatient consultation. The patients came to the hospital for review once every 3 months. To assess the patient's condition, follow-up content included monitoring patients' tumor markers CEA and CA19-9 indicators (positive criteria, CEA > 5 ng/mL; CA19-9 > 37 U/mL) and imaging examinations (such as chest and abdomen computed tomography (CT), abdominal B ultrasonography, and electronic colonoscopy).

#### ***Definition of early recurrence***

Recurrence was defined as the detection of CEA or CA19-9 during follow-up and tumors with the same pathology as the primary tumor determined based on CT, MRI, B-ultrasonography, or biopsy histology[10]. Early postoperative recurrence was defined as recurrence occurring within 2 years after surgery[11]. Patients who experience recurrence during the 2-year postoperative follow-up were included in the recurrence group, whereas those who do not experience recurrence were enrolled in the non-recurrence group.

#### ***Statistical procedure***

IBM SPSS Statistics version 25.0 (IBM Corp., Armonk, NY) was used to analyze the data, and the number of data cases and their rates (%) were described. The exact  $\chi^2$  ( $X^2$ ) test was conducted to compare cases  $\leq 5$  in a subgroup, and the Pearson  $X^2$  test was

performed to compare cases > 5 in a subgroup. Measurement data are presented as *means* ± *SD*, and the comparison between the two groups was conducted using the t-test. Indexes with  $P < 0.05$  when compared between the two groups were included in the multivariate logistic regression analysis to identify the factors influencing the early postoperative recurrence of CRC with obstruction. The selected influencing factors were used as predictors, and R4.0.3 software was used to visualize them and obtain a nomogram prediction model. Model performance was evaluated by the goodness of fit test and receiver operating characteristic (ROC) curve analysis. The clinical benefit rate of the model was evaluated by decision curves.

## **RESULTS**

### ***Univariate analysis of early postoperative recurrence of CRC with obstruction***

Among the 181 patients with CRC and obstruction, 52 (28.73%) had tumor recurrence within 2 years after surgery. Significant differences were noted in preoperative CEA, preoperative SII, TNM stage, differentiation degree, nerve invasion, and Ki-67 expression<sup>3</sup> between the recurrence and non-recurrence group ( $P < 0.05$ ; Table 1).

### ***Multivariate logistic regression analysis of early postoperative recurrence in patients with CRC and obstruction***

Using whether early postoperative tumor recurrence occurred in patients with CRC and obstruction (0 = no; 1 = yes)<sup>2</sup> as the dependent variable, indicators with  $P < 0.05$  in the univariate analysis were used as independent variables, and the values of the relevant indicators are shown in Table 2. Multivariate logistic regression analysis showed that high preoperative CEA, high preoperative SII, TNM stage III, low differentiation degree, and high Ki-67 expression are factors contributing to the early postoperative recurrence in patients with CRC and obstruction ( $P < 0.05$ ; Table 3).

### ***Construction of a predictive model for early postoperative recurrence risk in patients with CRC and obstruction***

Based on the results of the multivariate logistic regression analysis, CEA, SII, TNM stage, differentiation degree, and Ki-67 expression index were used as predictors when constructing a nomogram model for predicting early postoperative recurrence of CRC with obstruction (Figure 1). In the nomogram model, each factor corresponded to a specific score represented by a vertical point on the nomogram axis. The total score was the sum of the scores of each factor. The corresponding vertical decline of the total score indicates the risk of early postoperative recurrence of CRC with obstruction.

#### *Effectiveness of a predictive model for early postoperative recurrence risk in patients with CRC and obstruction*

After analysis, <sup>1</sup> the area under the ROC curve of the model was 0.890 (95%CI, 0.823-0.931), indicating that the model has certain predictive ability (Figure 2). The deviation between the predicted and actual values of the goodness of fit test model was not significant ( $\chi^2 = 3.903, P = 0.866$ ), indicating the good fit of the model. Decision curve analysis showed that the net benefit rate of the threshold probability range of the prediction model was higher than that of the extreme curve (assuming that all patients will and will not experience a relapse early), indicating that the prediction model has clinical practical value (Figure 3).

#### **DISCUSSION**

The overall recurrence rate after CRC surgery is high[12], of which 30%-50% of CRC recurrence cases occur within the first 2 years after surgery[13]. A study showed that colorectal obstruction significantly increases the risk of postoperative CRC recurrence[14]. Accordingly, in the present study, the tumor recurrence rate of patients with CRC and obstruction within 2 years after surgery was 28.73%. The high rate of the early recurrence of CRC and obstruction may stem from the harsh environment caused by obstruction, such as local tissue edema, resulting in the thinning of the intestinal walls, and deteriorating tissue blood supply. Consequently, toxic substances accumulate, which stimulate the aggregation of inflammatory factors, activate cancer

cells, and increase the recurrence rate[15]. In addition, the limitations of emergency surgery, such as the inability to initiate neoadjuvant therapy, difficulty of surgery, low R0 resection rate, and frequent need for a stoma, may also increase the recurrence risk.

CEA is one of the most important and reliable diagnostic and prognostic markers of CRC. It is associated<sup>4</sup> with tumorigenesis, cell adhesion, tumor angiogenesis, molecular signal transduction, and tumor immunity[16]. The accuracy of CEA in predicting postoperative recurrence of CRC depends on its initial level[17]. Iacuzzo *et al*[18] found that preoperative serum CEA level can stratify the risk of postoperative recurrence in patients with CRC. In the present study, a high preoperative CEA level was found to be a risk factor for early recurrence of CRC with obstruction, suggesting the importance of preoperative serum CEA status in the prognosis of patients with CRC. Another study also showed that the preoperative serum CEA level is a reliable predictive factor for postoperative recurrence of CRC[19], consistent with the results of the present study.

The evasion of immune response and inflammatory cells is crucial in the proliferation and invasion of CRC cells[20]. SII is calculated using neutrophil, lymphocyte, and platelet counts[21] and can reflect the balance between the body's immune and inflammatory responses[22]. SII was found to predict the overall survival of patients with CRC[23]. However, the effect of SII on early postoperative recurrence of CRC is still unclear. In this study, a high preoperative SII was identified as a risk factor for early postoperative recurrence of CRC with obstruction, indicating the important role of preoperative SII status in postoperative recurrence of CRC. A possible mechanism is that colorectal obstruction triggers neutrophils to release chemokines, which, through chemokine receptors, differentiate into tumor-associated macrophages, producing more inflammatory cytokines and amplifying inflammation. In addition, colorectal obstruction can result in red blood cell aggregation, promote platelet elevation, and incite an inflammatory response. In addition, lymphopenia occurs alongside CRC progression, which mediates the inhibition of immune function and helps cancer cells escape the body's antitumor immunity[24]. Therefore, the synergistic effect of increases

in neutrophil and platelet counts and a decrease of low lymphocyte count will enhance the inflammatory reaction of the body tissue, leading to microenvironment abnormalities, immune suppression, and increased risk of disease recurrence. SII, a composite index, is easily obtainable in clinical settings. Utilizing it to evaluate the risk of early postoperative recurrence in patients with CRC and obstruction may be more comprehensive and accurate.

TNM staging categorizes cancer based on the location of the primary tumor, presence of regional lymph node metastasis, and distant metastasis, providing a more accurate prognosis assessment. A study reported that a higher recurrence rate in patients with stage II/III CRC following radical resection[25]. Liska *et al*[26] found that the early postoperative recurrence rate of patients with stage III CRC in TNM staging was higher than that of patients with stage II, indicating that the higher the pathological stage of the tumor after surgery, the greater the risk of early recurrence. According to Nors *et al*[27], the time from surgery to recurrence in patients with stage III CRC is shorter than that in patients with stages I and II, indirectly supporting the findings of this study that TNM staging is an influencing factor for early postoperative recurrence of CRC with obstruction. In addition to TNM staging, the degree of tumor differentiation can serve as a predictive factor for early recurrence risk after CRC surgery[28]. Qaderi *et al*[29] found that in patients with stage I-III CRC, tumors with poor differentiation are associated with a higher recurrence risk, consistent with the results of the present study. This finding may stem from the concept that the lower the degree of differentiation of malignant tumors, the stronger their infiltration ability. Moreover, tumors with low differentiation often exhibit irregular shapes, such as folding, twisting, irregular edges, or spiky angular shapes [30], resulting in a tendency to grow outward, with active growth characteristics and greater invasiveness. Therefore, the lower the degree of differentiation of the tumor, the more likely it is to relapse. A study found a correlation between tumor differentiation and Ki-67 expression[31]. Ki-67 is a proliferative cell-related antigen, which is widely used for pathological evaluation of the cell proliferation ability of various malignant tumors, such as lung cancer[32] and

breast cancer[33]. Ivanecz *et al*[34] found that Ki-67 overexpression is a positive predictor of CRC metastasis. Luo *et al*[35] indicated that high Ki-67 expression is significantly correlated with low overall survival rate in patients with CRC. These studies have suggested that high Ki-67 expression can serve as a predictive indicator of poor prognosis in CRC. In the present study, high Ki-67 expression was found to be a risk factor for early postoperative recurrence of CRC with obstruction. This finding confirms the important role of a high Ki-67 expression in the postoperative recurrence of patients with CRC. Therefore, for patients with CRC and obstruction, clinical monitoring of Ki-67 expression must be strengthened to detect recurrence early.

This study constructed a nomogram prediction model to effectively evaluate the risk of early postoperative recurrence of CRC with obstruction and implement accurate postoperative management measures. The analysis revealed that the AUC of the model in evaluating the risk of early postoperative recurrence in patients with CRC was 0.890. The goodness-of-fit deviation test showed that the model fitted well. In the decision curve analysis, the model demonstrated clinical practical value. Therefore, constructing a model evaluating the risk of early postoperative recurrence in patients with CRC and obstruction based on preoperative CEA levels, preoperative SII and TNM stage, degree of differentiation and Ki-67 expression has clinical significance.

This study has some limitations. First, although the sample size meets the basic requirements for statistical analysis, the study followed a retrospective design, and data from all patients with CRC and intestinal obstruction were taken from one hospital, limiting the representativeness of the results. Second, the prediction model constructed was not externally validated; thus, its generalizability still needs further verification. Lastly, the follow-up period was only 2 years, so this time point was defined as “early recurrence.” Thus, further extension of the follow-up period is necessary to demonstrate the long-term prognosis of patients with CRC and intestinal obstruction after surgery. In response to the aforementioned limitations, future studies with a larger sample size employing a prospective and multicenter design, involving external validation, and

extending the follow-up period are necessary for further verification of the present results.

### **CONCLUSION**

The early postoperative recurrence rate of CRC with obstruction is high. Among them, high preoperative CEA level, preoperative SII, TNM stage, degree of differentiation, and Ki-67 expression are related to early postoperative recurrence. Accordingly, the constructed nomogram prediction model can be used to effectively evaluate the risk of early postoperative recurrence in patients with CRC, offering significant guidance for clinical practice in implementing measures to reduce the risk in this patient population.

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