**Case Control Study**

*Can the Prediction Model Using Regression with Optimal Scale Improve the Power to Predict the Parkinson’s Dementia?*

Parkinson's Dementia Prediction with Optimal Scale

**Abstract**

**BACKGROUND**

Efficiently detecting PDD as soon as possible is an important issue in geriatric medicine.

**AIM**

The objectives of this study were to develop a model for predicting Parkinson's disease (PD) with dementia (PDD) based on various neuropsychological tests using data from a nationwide survey conducted by the Korean Centers for Disease Control and Prevention and to present baseline data for the early detection of PDD.

**METHODS**

This study comprised 289 patients who were 60 years or older with PD (110 with PDD and 179 Parkinson's Disease-Mild Cognitive Impairment (PD-MCI)). Regression with optimal scaling (ROS) was used to identify independent relationships between the neuropsychological test results and PDD.

**RESULTS**

In the ROS analysis, Korean version of mini mental state ex-amination (KOREAN version of MMSE) \( (b=-0.52, \ SE=0.16) \) and Hoehn and Yahr staging (H&Y staging) \( (b = 0.44, \ SE = \)
0.19) were significantly effective models for distinguishing PDD from PD-MCI (p < 0.05), even after adjusting for all of the Parkinson's motor symptom and neuropsychological test results. The optimal number of categories (scaling factors) for KOREAN version of MMSE and Hoehn and Yahr Scale was 10 and 7, respectively.

CONCLUSION
The results of this study suggest that among the various neuropsychological tests conducted, the optimal classification scores for KOREAN version of MMSE and Hoehn and Yahr Scale could be utilized as an effective screening test for the early discrimination of PDD from PD-MCI.

Key Words: H&Y staging; Optimal scale; Parkinson's Dementia; MMSE; MoCA

Byeon H. Can the Prediction Model Using Regression with Optimal Scale Improve the Power to Predict the Parkinson’s Dementia? World J Psychiatry 2022; In press

Core Tip: Although a general linear model for PD can be constructed if all of the variables used in the analysis are numeric, it is difficult to fit the data when the variables are ordinal or nominal. To overcome this limitation, we build a regression model with the optimal scale (optimal regression) using the transform variables obtained by iteratively using alternating least squares to compute the optimal scaling. We developed a predictive model to discriminate PDD from PD-MCI based on the results of nine neuropsychological tests and found that only KOREAN version of MMSE and Hoehn and Yahr Scale could be successfully employed to this end.

INTRODUCTION
As the longevity of the South Korean population increases, so does the proportion of advanced-aged individuals [1] along with the incidence of chronic degenerative diseases [1]. For this reason, the importance of prevention and early treatment of degenerative dis-
eases in old age should be emphasized. Parkinson's disease (PD) is a representative neurodegenerative disease caused by damaged nerve cells that secrete dopamine in the sub-stantia nigra. However, researchers have paid less attention to PD than dementia or stroke in terms of health science because its incidence rate is only 1% in the older adult population (≥ 65 years old) and its prevalence rate is lower than for dementia or stroke. However, the number of PD patients is steadily increasing in the aged population. The Health Insurance Review and Assessment Service (HIRAS) (2019) [2] reported that the number of patients diagnosed with PD steadily increased from 61,565 in 2010 to 100,716 in 2018 and predicted that the number of PD patients will double in 2030 compared to 2005 at this rate. In particular, the number of older adults with PD is expected to increase even more in South Korea considering that by 2050, the proportion of the older adult population in South Korea will be 35.9%, the second-highest after Japan (40.1%) [3]. Consequently, the effective early detection of PD is an important topic in the field of geriatric medicine.

PD is a motor disorder comprising a combination of weakness, tremor, and rigidity. However, over the past 20 years, other symptoms including autonomic nerve disorder, affective and sensory disorders such as depressive disorders, and cognitive impairment have been reported [1,2,34,5,6,7]. Many previous studies [8,9,10] have reported that 20-57% of patients develop mild cognitive impairment (MCI) within 5 years from the date of being diagnosed with Parkinson's disease. MCI refers to a state in which cognitive decline is observed without accompanying a decline in activities of daily living (ADL). It is a pre-clinical state of PDD and it is an intermediate stage from normal to PDD. Previous follow-up studies also have revealed that approximately 10 to 15% of MCI patients transited to dementia every year [7]. It means that they are highly vulnerable to dementia and it was much higher than the annual dementia incident rate of healthy older adults (65 years or older) [7]. It is the earliest stage of dementia that can be detected in clinical examination, and it is clinically very important because it is possible to maximize the therapeutic effect [7]. Neuropsychological screening battery, cognitive assessment, autonomic function, and other tests have been carried out to objectively assess the clinical status of PD.
accompanying MCI \textsuperscript{11}. However, it is difficult to distinguish MCI from aging or mild dementia only using these screening tests \textsuperscript{13}. To make it more challenging, it can be misdiagnosed with progressive supranuclear palsy-parkinsonism (PSP-P) when a patient suffers from PD and cognitive deficit at the same time \textsuperscript{12,13}.

Compared to the United States and Europe, South Korea currently has insufficient epidemiological data on cognitive impairment in old age. Although community-based studies on PD conducted in South Korea have focused on patients in small and medium-sized cities, prediction models based on a nationwide epidemiological survey have not yet been developed \textsuperscript{14,15,16,17}. Although a general linear model for PD can be constructed if all of the variables used in the analysis are numeric, it is difficult to fit the data when the variables are ordinal or nominal. An alternative method to overcome this limitation is to build a regression model with an optimal scale (optimal regression).

Optimal scaling is based on the prediction theory (also known as the quantification theory) developed by considering how to quantify qualitative variables to enable optimal data analysis rather than simply ranking them and interpreting the results. Optimal scaling has been mainly used in social science fields such as psychology when proving causality is important \textsuperscript{18,19,20}. However, it has only been used in a small number of studies in the cognitive science field. Identifying neuropsychological tests (e.g., cognitive and depression tests) and Parkinson’s motor symptom tests that are effective in discriminating PDD from PD-Mild Cognitive Impairment (PD-MCI) by using regression with optimal scaling (ROS) and checking the optimal classification scores of the tests is clinically meaningful. However, it has only been used in a small number of studies in the cognitive science field. The objectives of the present study were to develop a model for predicting Parkinson's disease with dementia based on various neuropsychological tests using data from a National Biobank of Korea data.

**MATERIALS AND METHODS**

Data source
Approval for the study was received from the Distribution Committee (No. KBN-2019-1327) and the Research Ethics Review Committee of the National Biobank of Korea under the Korean Centers for Disease Control and Prevention (No. KBN-2019-005). Epidemiologic data on patients with PD were collected from 14 tertiary care providers nationwide from January to December 2015 under the supervision of the Korean Centers for Disease Control and Prevention. PDD has been designated as idiopathic Parkinson's disease according to the diagnostic criteria of the United Kingdom Parkinson's Disease Society Brain Bank [21]. The diagnostic criteria for probable PDD have been suggested by the Movement Disorder Society Task Force [22]. When causes of cognitive impairment other than PD (e.g., hydrocephalus and vascular Parkinsonism) were found in magnetic resonance imaging (MRI) scans, the subject was excluded from the study (see Byeon et al (2021) [23] for more details). PD-MCI was diagnosed by neuropsychologists according to the criteria of the International Working Group on MCI [24]. Health surveys were conducted by using computer-assisted personal interviews. We analyzed the PD epidemiologic data comprising demographic information, any family history of PD, health-affecting behaviors (e.g., smoking), disease history (e.g., diabetes), and Parkinson's motor symptoms (e.g., rigidity) and neuropsychological characteristics (e.g., cognitive level). The variables and their values are reported in Table 1. Thus, data on 289 patients with PD (110 PDD and 179 PD-MCI) who were 60 years or older were used in the study.

(Table 1 insertion)

**Variable measurement**

The label was defined as PDD confirmed by medical diagnosis. To understand the difference in the general characteristics of subjects according to the demographic variables (e.g. age, sex, and education level), medical history (e.g. hypertension), and family history (e.g. PD and Alzheimer's disease (AD)).
Explanatory variables (neuropsychological tests) included scores from the Hoehn and Yahr (H&Y) staging [25], Global Clinical Dementia Rating (CDR) [26], Schwab & England Activities of Daily Living (ADL) [27], the Korean Instrumental ADL (K-IADL) [28], the Unified PD Rating Scale (UPDRS) total [22], the UPDRS motor [22], the Korean Mini-Mental State Examination (KOREAN version of MMSE) [29], and the Korean-Montreal Cognitive Assessment (K-MoCA) [30]. Hoehn and Yahr Scale [25] is a screening test to determine the stage of Parkinson's disease and is measured by clinicians. The score ranges from 1 to 5, and a higher score indicates that the symptoms of Parkinson's disease are more severe.

CDR [26] is a screening test to determine the stage of dementia and is measured by clinicians. The possible outcomes are 0, 0.5, 1, 2, 3, 4, and 5 points, and a higher score means more severe dementia. Schwab & England ADL [27] is a screening test for physical impairment. It is evaluated by clinicians to measure indices regarding independent performance in the daily activities of Parkinson's disease patients. The score ranges from 0 to 100, and a higher score is interpreted as a lower functional impairment. K-IADL [28] is a cognitive screening test that measures skills and behaviors necessary for social life such as "money management" and "phone use". It consists of eleven items that can score between 0 and 3, and a higher score means higher functional impairment. UPDRS [22] is an overall evaluation scale for the symptoms of Parkinson's disease and consists of four segments (mentation/behavior/mood, activities of daily living, motor examination, and dyskinesia). The test is conducted by a clinician, and a higher score is interpreted as a higher degree of disability. KOREAN version of MMSE [29] is a test for screening cognitive disorders such as dementia and consists of time orientation, spatial orientation, memory registration (input), calculation & attention, memory recall, and language items. The total score is 30 points, and the cut-off score is 24 points. A lower score indicates more severe cognitive impairment. K-MoCA [30] is a test for screening MCI. The total score is 30 points, and people with 22 points and above are interpreted as normal. A lower score is understood as more severe cognitive impairment.

Regression with Optimal Scale
If all the variables (e.g. independent variables, dependent variables, and confounding variables) used in the analysis are numeric variables (quantitative variables), the general linear model (GLM) can be used. However, if it is an ordinal or nominal variable, it is difficult to use the general linear regression model because these variable types do not meet the assumptions of the regression models and error terms. Therefore, analysis can be conducted by deriving an optimized linear regression equation of transformed variables by repeatedly performing optimal scaling based on the alternating least squares method.

It is a way to estimate parameters for the linear relationship between independent and dependent variables using data on each variable. The estimated general linear regression model is presented as follows [19]:

\[ Y_i = a + \beta X_{ij} + \epsilon_i \]
\[ Y_i = \text{dependent variable} \]
\[ X_i = \text{independent variable} \] (Equation 1)
\[ \epsilon_i = \text{error term} \]
\[ a, \beta = \text{parameter to estimate} \]

When the assumptions for the error term, such as "the expected value of the error term shall be 0" and "it shall follow a normal distribution and all observations shall have the same variance", parameters are estimated by using the least-squares and other methods to determine the relationship between the independent and dependent variables. The least-squares method is used to obtain parameter estimates \( a \) and \( \beta \) that minimize the sum-of-squared residuals, where the residual \( \epsilon_i \) is equal to the difference between the actual observations \( (Y_{ij} - \hat{Y}_{ij}) \) and the predicted values of the dependent variables \( \hat{Y}_{ij} = a + \beta X_{ij} \).

In this study, ROS consisted of three stages. The first is the data transformation stage. After normalizing \( k \) categorical indicators for the \( n \)th variable by vectorizing them, all of the variables are treated as numeric variables. Subsequently, they are optimized
repeatedly by using the calculated categorical quantification values and regression coefficients. The second stage is updating the categorical quantification vector by considering the scale level (i.e., whether the variables are nominal, ordinal, or numeric) and calculating the regression coefficient vector. The third stage is to establish convergence by repeatedly calculating the categorical quantification vector and the regression coefficient vector until they satisfy the predetermined convergence condition [19].

ROS transforms each variable appropriately by considering its scale in the GLM. When dependent variable $Y$ is transformed to $\theta(Y)$ and independent variable $X$ to $\sigma(X)$, the resulting parameters are the intercept and slope of a GLM (linear regression) equation formed by minimizing the sum-of-squares (SSQ) of the error [19] as follows:

$$\min_{\theta(Y) - \beta \sigma(X)}$$ (Equation 2)

The conversion variable has a standardization constraint. Minimizing the SSQ error is achieved by least-squares regressing the transformed variables ($e.g., \theta(Y), \sigma(X_1), ..., \sigma(X_n)$).

The ROS analysis with standardization constraints is written as

$$\min_{\theta(Y) - \sum\beta_i \sigma_i(X_i)}$$ (Equation 3)

ROS was used to identify the independent relationship between each test and PDD. The analysis results were presented with a regression coefficient, odds ratio, 95%CI, quantification index, and standard error by bootstrap ($n = 999$). General characteristics of the subjects and the prevalence of PD were analyzed using the Chi-square test.

When independent significance was confirmed in the ROS, the Cochran-Armitage (CA) trend test was used to determine whether the p-values had a linear trend based on the reference group as follows [31]:

$$T = \frac{\sum_{i=1}^{k} n_i (R_i - \bar{R})}{\sqrt{\sum_{i=1}^{k} n_i (1-p_i) \bar{R}^2}} = \sum_{i=1}^{k} n_i (R_i - \bar{R})^2$$ (Equation 4)
The analysis of ROS was conducted by using CatReg Software version 3.0 (the Data Theory Scaling System Group, Leiden, the Netherlands).

**RESULTS**

**Characteristics of the Participants and the Prevalence of PD**

The results of $\chi^2$ tests show that age, handed, PD family history, gender, the highest level of education, AD family history, hypertension, traumatic brain injury history, stroke history, carbon monoxide poisoning history, hyperlipidemia, and diabetes were not significantly different between PDD and PD-MCI (Table 2). Therefore, the subjects in this study did not have statistically significant demographic or health differences between the groups.

(Table 2 insertion)

Table 3 reports the data and Figure 1 shows a bagplot for visualizing the spread, location, outliers and skewness.

The neuropsychological test results of PD-MCI and PDD are compared (Table 4). As a result of the independent T-test, KOREAN VERSION OF MMSE, KMoaCA, Total UPDRS score, CDR (sum of boxes), K-IADL, H&Y staging, Motor UPDRS score, and Schwab & England ADL were not significantly different between PDD and PD-MCI (p<0.05).

(Table 3 insertion)

(Figure 1 insertion)

(Table 4 insertion)

**The analysis results of ROS**
The analysis results of ROS are summarized in Table 5. Hoehn and Yahr Scale (b = 0.44, SE = 0.19) and KOREAN VERSION OF MMSE (b = -0.52, SE = 0.16) were significantly effective for distinguishing PDD from PD-MCI even after adjusting for all of test results (p < 0.05). The regression model was adjusted for demographic factors, family disease history, health-affecting behaviors, dis-ease history, Parkinson’s motor symptoms, and neuropsychological test.

(Table 5 insertion)

Quantification scores for KOREAN version of MMSE and Hoehn and Yahr Scale are reported in Tables 6 and 7, respectively, and presented in Figures 2 and 3, respectively. The results show that the optimal number of categories (scaling factors) for KOREAN version of MMSE and Hoehn and Yahr Scale was 10 and 7, respectively. The odds ratios (ORs) and 95% confidence intervals (CIs) for the optimal categories of KOREAN version of MMSE and Hoehn and Yahr Scale are reported in Table 8. When distinguishing PDD from PD-MCI, PD-MCI patients who had 23 or 24 points for KOREAN version of MMSE had a 4.5-fold higher risk of PDD than those who had 25 or higher. Moreover, those who scored 21 or 22, 19 or 20, 15 to 18, and 3 to 14 points had a 2.7-fold, 13.3-fold, 22.4-fold, and 55-fold higher risk of developing PDD, respectively, than those who had 25 or higher.

The results of the CA Trend test show a significant relationship (P for Trend <0.001) between the increase in OR and the KOREAN version of MMSE score (optimal categories score).

(Table 6 insertion)

(Table 7 insertion)

(Figure 2 insertion)
DISCUSSION
In this study, KOREAN version of MMSE and Hoehn and Yahr Scale could independently differentiate PDD from PD-MCI even after adjusting for all of the PD's test results. Moreover, when the ROS (optimal classification scores) were calculated, the increase in OR according to all of the categories showed a significant proportional trend. It is not easy to accurately detect and diagnose PSP-P by identifying the pattern of PD with mild cognitive impairment (PD-MCI) in PDD by using neuropsychological tests. First, it is difficult to determine whether dementia is the cause of a patient's cognitive impairment symptoms because patients with PD often take a variety of medications (e.g., anticholinergics, amantadine, anxiolytics, and sedatives) and can experience temporary cognitive decline or confusion (easily mistaken for dementia) as side effects of the medications. Second, cognitive impairment can occur temporarily due to endocrine imbalance due to depression, electrolyte imbalance, and/or dehydration; systemic diseases; or infection. Third, even if dementia is diagnosed, it is necessary to effectively differentiate it from other types of irreversible dementia such as Alzheimer's disease or, especially, dementia with Lewy bodies. Hence, it is necessary to develop predictive models that can more efficiently discriminate PDD from PD-MCI as well as other types of dementia while simultaneously considering the results of several neuropsychological tests related to cognitive impairment.

Nevertheless, in most of the previous studies, evaluating the predictive performance for PDD was conducted by comparing individual diagnostic performances in terms of accuracy and reliability. The results of the present study suggest that among the various neuropsychological tests examined, the optimal classification scores by MMSE-K and Hoehn and Yahr Scale show that these two tests could be utilized for effective early discrimination of PDD from PD-MCI. Moreover, they could be used to clinically
determine whether PD-MCI patients will develop PDD or whether existing PDD patients are getting worse. Conducting these tests when a PD-MCI patient visits the hospital (or Public Health Center) for the first time provides baseline information and carrying them out sequentially at regular visits can be used to recognize clinically meaningful changes. Although it is very important to efficiently distinguish PDD from other diseases showing symptoms of Parkinson's disease as soon as possible, Parkinson's disease can only be accurately diagnosed through pathological examination with autopsy [6]. Dopamine transporter imaging has been reported as an effective test for diagnosing PDD at an early stage [35], but it is too expensive to be used as a screening test in the primary care setting. As a result, it is diagnosed through an interview on the symptoms of a patient and an examination of a specialist along with a cognitive screening test such as KOREAN VERSION OF MMSE in the clinical practice.

However, Rizzo et al (2016) [36] reported that the misdiagnosis rate of dyskinesia was at least 20% even for neurologists with extensive experience in dyskinesias. Therefore, to accurately diagnose PD-MCI, a specialist must have a broad perspective to comprehensively consider the symptoms of a patient (e.g., resting tremor, bradypragia, postural changes, and gait abnormalities), living environment, presence of trauma, lifestyle, and occupation as well as the results of cognitive screening tests. Particularly, since cognitive issues and dyskinesias (e.g., bradypragia, resting tremor, and ankylosis) are slowly progressive cardinal symptoms, clinicians are more likely to rely on experience and the judgment of inexperienced clinicians may have low reliability.

It is believed that the analysis indices of this study can offer a range of information regarding the cognitive characteristics of the patient because they provide the optimal criteria for the screening test to distinguish PDD from PD-MCI. In particular, the optimal scale for early detection of PDD proposed in this study is inexpensive, unlike dopamine transporter imaging and other methods, which have been proposed as efficient tests for early diagnosis of PDD but have limitations as screening tests due to space and cost. Moreover, the proposed scale can be utilized as a screening test simply in the primary medical setting without spatial restrictions. Consequently, it is believed that clinical
application will be easy. Additional longitudinal studies are required to prove the effectiveness of the optimal scale for distinguishing PDD from PD-MCI proposed in this study.

This study had several limitations. First, although we used secondary data from a national survey, it is difficult to generalize the results of the study because the number of subjects was small due to the difficulties in diagnosing PD-MCI, which is not yet being actively screened for in PD patients. Second, we included patients taking medications such as dopaminergic drugs to treat PD, which can cause behavioral symptoms such as visual hallucinations that could influence the neuropsychological examination. Future studies are required to develop a model that can predict PDD from PD-MCI quickly while considering the administration of dopaminergic medication for PD. Third, the results of this study cannot be interpreted as a causal relationship because it was conducted using secondary data and the Parkinson's Disease with Dementia Epidemiologic Data, the source data of this study, was designed as a cross-sectional survey. Further longitudinal studies are needed to prove the causality of the results of this study. Fourth, the diagnosis of PSP-P was not distinguished in this study. Since the cognitive deficits in PD patients can be caused by PSP-P as well as PD-MIC, future studies are needed to exclude PSP-P in analysis.

CONCLUSION

We developed a predictive model to discriminate PDD from PD-MCI based on the results of nine neuropsychological tests and found that only KOREAN VERSION OF MMSE and Hoehn and Yahr Scale could be successfully employed to this end. For most efficiently discriminating PDD from PD-MCI, the optimal scaling factors for KOREAN VERSION OF MMSE and Hoehn and Yahr Scale were 10 and 7, respectively. We believe that our optimal scaling approach can be used to detect PDD in the early stages. Further longitudinal studies are required to confirm the performance of neuropsychological tests such as KOREAN VERSION OF MMSE and MoCA in predicting the progression of PD-MCI to PDD.
ARTICLE HIGHLIGHTS

Research background
It has been reported that Parkinson's disease (PD) with dementia (PDD) occurs frequently in people with PD.

Research motivation
The effective early detection of PD is an important topic in the field of geriatric medicine.

Research objectives
The aims of the present study were to develop a model for early detection of PDD based on neuropsychological testing.

Research methods
Data on 289 patients with PD (110 PDD and 179 PD-MCI) who were 60 years or older were used in the study. ROS was used to identify independent relationships between the screening test results and PDD.

Research results
The Korean version of mini mental state examination (KOREAN version of MMSE) \( (b=-0.52, SE=0.16) \) and Hoehn and Yahr scale \( (b = 0.44, SE = 0.19) \) were significantly effective models for distinguishing PDD from PD-MCI \( (p < 0.05) \), even after adjusting for all of the test results.

Research conclusions
The optimal number of categories (scaling factors) for KOREAN version of MMSE and Hoehn and Yahr Scale was 10 and 7, respectively.

Research perspectives
We believe that our optimal scaling approach can be used to detect PDD in the early stages.

ACKNOWLEDGEMENTS
The authors wish to thank the Korea CDC that provided the raw data for analysis.
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