

**Supplementary Table 1 Treatment schedules and important findings of clinical trials**

Trials (patients, period)	Treatment arms	Details of radiotherapy and chemotherapy <sup>1</sup>	Results <sup>2</sup> ( <u>primary endpoint</u> , arm A vs B [vs C])
<b>TOTAL NEOADJUVANT TREATMENT TRIALS</b>			
POLISH II <sup>1, 2</sup> Phase III (541, 2008–2014) <a href="#">NCT00833131</a>	A. CRT (5-FU+LV #2, oxaliplatin #5) → S (→ CT) B. SCRT → FOLFOX4 #3 → S (→ CT)  Surgery was performed 10-11 weeks from beginning of RT and at least 4 weeks from the last dose of 5-FU or the last dose of RT.  The decision on delivering adjuvant chemotherapy was left to the discretion of treating physicians.	<u>RT</u>  Dose: 50.4 Gy in 28 fractions (group A) 25 Gy in 5 fractions (group B)  <u>CT</u>  During CRT: two 5-day cycles of 5-FU 325 mg/m <sup>2</sup> /day and LV 20mg/m <sup>2</sup> /day in bolus during the 1 <sup>st</sup> and 5 <sup>th</sup> weeks of RT. <b>Oxaliplatin</b> 50 mg/m <sup>2</sup> once a week 5 times during 1, 8, 15, 22 and 29 days of radiation (since 2012, the decision about delivery of oxaliplatin was left to the discretion of the local institution).	R0: 71% vs 77% (ns) pCR: 12% vs 16% (ns) 8y OS: 49% vs 49% (ns) 8y DFS: 41% vs 43% (ns) cLF: 32% vs 35% (ns) cDM: 34% vs 36% (ns)
FOWARC <sup>3</sup> Phase III (495, 2010–2015) <a href="#">NCT01211210</a>	A. CRT (de Gramont #5) → TME → de Gramont #7 B. CRT (mFOLFOX6 #5) → TME → mFOLFOX6 #7 C. mFOLFOX6 #4–6 → TME → mFOLFOX6 #6–8  RT was given during the 2 <sup>nd</sup> to 4 <sup>th</sup> cycles of the de Gramont or mFOLFOX6 regimen in group A and B.  In group C, the addition of RT before or after surgery was considered at physician discretion (mainly for patients with mesorectal fascia involvement/positive circumferential resection margin and T4 disease).	<u>RT</u>  Dose: 46–50.4 Gy in 23–28 fractions (group A/B)  Technique: 3-field or 4-field box technique to the primary tumor and to mesorectal, presacral, and internal iliac lymph nodes.  <u>CT</u>  group A: de Gramont (LV 400 mg/m <sup>2</sup> IV, followed by 5-FU 400 mg/m <sup>2</sup> IV bolus and 5-FU 2.4 g/m <sup>2</sup> by 48-h continuous IV every 14 days)  group B/C: <b>mFOLFOX6</b> (de Gramont regimen + oxaliplatin 85 mg/m <sup>2</sup> IV on day 1 of each chemotherapy cycle, every 14 days)	pCR: 14.0% vs 27.5% vs 6.5% <u>3y DFS</u> : 72.9% vs 77.2% vs 73.5% (ns) 3y LF: 8.0% vs 7.0% vs 8.3% (ns) 3y OS: 91.3% vs 89.1% vs 90.7% (ns)
RAPIDO <sup>4, 5</sup>	A. CRT (cape) → TME → CAPOX #9 or FOLFOX4	<u>RT</u>	<b>pCR: 14% vs 28% (*)</b>

Phase III (920, 2011–2016) <a href="#">NCT01558921</a>	#12 B. SCRT → CAPOX #6 or FOLFOX4 #9 → TME  Surgery was performed 6–10 weeks after the last RT fraction (group A) or 2–4 weeks after completion of CT (group B).	Dose: 50–50.4 Gy in 25–28 fractions (± boost 1.8– 2 Gy x 2–4) for group A, 25 Gy in 5 fractions (± boost 2 Gy x 2–3) for group B  Technique: 3D-CRT. CTV included the entire mesorectum with the primary tumor and relevant regional lymph nodes. An additional boost dose was optional  <u>CT</u> During CRT: <b>capecitabine</b> (825 mg/m <sup>2</sup> twice daily on all days of RT, including weekends) Neoadjuvant or adjuvant: <b>CAPOX</b> or <b>FOLFOX4</b>	3y OS: 88.8% vs 89.1% (ns) <b>3y DRTE: 30.4% vs 23.7% (*)</b> <b>3y DM: 26.8% vs 20.0% (*)</b> 3y LF: 6.0% vs 8.3% (ns)
<b>PRODIGE23</b> <sup>[6]</sup> Phase III (461, 2012–2017) <a href="#">NCT01804790</a>	A. CRT (cape) → TME → mFOLFOX6 #12 or cape B. FOLFIRINOX #6 → CRT (cape) → TME → mFOLFOX6 #6 or cape  Surgery was performed 6–8 weeks after CRT.	<u>RT</u> Dose: 50 Gy in 25 fractions (group A/B) Technique: 3D-CRT. CTV included the entire mesorectum with the primary tumor and relevant regional lymph nodes. An additional boost dose was optional. <u>CT (neoadjuvant and adjuvant)</u> Induction: <b>FOLFIRINOX</b> During CRT: <b>capecitabine</b> (800 mg/m <sup>2</sup> twice daily on the days of RT) Adjuvant: <b>mFOLFOX6</b> or <b>capecitabine</b> (1250 mg/m <sup>2</sup> twice daily on days 1–14 every 21 days) for 6 months in group A and 3 months in group B.	<b>pCR: 12% vs 28% (*)</b> 3y OS: 88% vs 91% (ns) 3y CSS: 89% vs 92% (ns) <b>3y DFS: 69% vs 76% (*)</b> <b>3y DMFS: 72% vs 79% (*)</b> LF: 5.7% vs 4.3% (ns)
<b>STELLAR</b> <sup>[7]</sup> Phase III (599, 2015–2018) <a href="#">NCT02533271</a>	A. CRT (cape) → TME → CAPOX #6 B. SCRT → CAPOX #4 → TME → CAPOX #6  Surgery was performed 6–8 weeks after preoperative treatment.  The protocol allowed for a watch-and-wait strategy if patients achieved cCR, requested organ preservation, or refused radical surgery (nonoperative management).	<u>RT</u> Dose: 50.4 Gy in 28 fractions (group A) 25 Gy in 5 fractions (group B) Technique: IMRT. CTV included the primary tumor, regional lymph nodes, and pelvic regions at risk according to consensus reached by the Radiation Therapy Oncology Group <sup>[8]</sup> and Roels <sup>[9]</sup> . <u>CT</u> During CRT: <b>capecitabine</b> (825 mg/m <sup>2</sup> twice a day on the	<b>pCR: 11.8% vs 16.6% (*)</b> <b>3y OS: 75.1% vs 86.5% (*)</b> <u>3y DFS: 62.3% vs 64.5% (ns)</u> 3y LF: 11.1% vs 8.4% (ns) 3y DM: 24.7% vs 22.8% (ns)

		days of RT)	
		Consolidation or adjuvant: <b>CAPOX</b> (group A/B)	
<b>CAO/ARO/AIO-12</b> <sup>[10, 11]</sup>	A. FOLFOX #3 → CRT (5-FU+oxaliplatin) → TME	<u>RT</u>	<b>pCR: 17% vs 25% (*)</b>
	B. CRT (5-FU+oxaliplatin) → FOLFOX #3 → TME	Dose: 50.4 Gy in 28 fractions (group A/B)	3y DFS: 73% vs 73% (ns)
Phase II		Technique: IMRT to the primary tumor and to mesorectal,	3y cLF: 6% vs 5% (ns)
(311, 2015–2018)	Surgery was performed approximately day 123 after initiation of TNT.	presacral, and internal iliac lymph nodes	3y cDM: 18% vs 16% (ns)
<a href="#">NCT02363374</a>	Adjuvant chemotherapy after TME was not recommended.	<u>CT</u>	
		During CRT: <b>5-FU</b> (250mg/m <sup>2</sup> continuous IV on days 1 to 14 and days 22 to 35) and <b>oxaliplatin</b> (50 mg/m <sup>2</sup> on days 1, 8, 22, and 29)	
		Induction or consolidation: <b>FOLFOX</b> (oxaliplatin 100 mg/m <sup>2</sup> IV, followed by LV 400mg/m <sup>2</sup> IV, followed by 5-FU 2400 mg/m <sup>2</sup> continuous IV over 46 h every 14 days)	
<b>OPRA</b> <sup>[12, 13]</sup>	A. mFOLFOX6 #8 or CAPOX #5 → CRT → WW or TME	<u>RT</u>	<u>3y DFS</u> : 76% vs 76% (ns)
Phase II	B. CRT → mFOLFOX6 #8 or CAPOX #5 → WW or TME	Dose: 56 Gy in 28 fractions (45 Gy to the pelvis, with an integrated boost to the primary tumor and involved nodes of 50 Gy, followed by a 6 Gy boost to the primary tumor and involved nodes) for group A/B	3y LF: 6% vs 6% (ns)
(324, 2014–2020)	Restaging was performed within 8 (±4) weeks after TNT. Patients with clinical complete response or near complete response were offered participation in a standardized WW protocol. Organ preservation, defined as TME-free survival measured in the intention-to-treat population, was the secondary endpoint.	Technique: IMRT or 3D-CRT to deliver 45 Gy in 1.8 Gy over 25 fractions to regional pelvic nodes (including inguinal nodes for primary tumors involving the anus)	3y DMFS: 84% vs 82% (ns)
<a href="#">NCT02008656</a>		<u>CT</u>	OS (ns)
		During CRT: <b>5-FU</b> (225 mg/m <sup>2</sup> /d continuous IV) or <b>capecitabine</b> (825 mg/m <sup>2</sup> twice a day)	<b>Regrowth during WW: 40% vs 27% (*)</b>
		Induction or consolidation: <b>mFOLFOX6</b> or <b>CAPOX</b>	<b>3y TME-free survival: 41% vs 53% (*)</b>
<b>Tang et al</b> <sup>[14]</sup>	A. CRT → TME → CAPOX #6	<u>RT</u>	(preliminary)
Phase II RCT	B. CRT → CAPOX #6 → TME or WW	Dose: 50 Gy in 25 fractions	<u>yp0-II</u> : 77.1% vs 84.2% vs 57.1%
(224, 2020-2022)	C. CAPOX #6 → CRT → TME or WW	<u>CT</u>	<u>pCR+sustained cCR</u> :
ongoing		During CRT: <b>capecitabine</b> (825 mg/m <sup>2</sup> twice a day)	22.9% vs 42.1% vs 28.6%
<a href="#">NCT04543695</a>		Induction or consolidation: <b>CAPOX</b>	
<b>CAO/ARO/AIO-18.1</b> <sup>[15]</sup>	A. SCRT → CAPOX #6 or mFOLFOX6 #8 → WW	<u>RT</u>	

Phase III ongoing <a href="#">NCT04246684</a>	or TME B. CRT (5-FU+oxaliplatin) → CAPOX #4 or mFOLF OX6 #6 → WW or TME  Restaging at week 22–24 (2–4 weeks after the last dose of CT), then WW for cCR or TME for non-cCR	Dose: 25 Gy in 5 fractions (group A) 54 Gy in 30 fractions (group B) <u>CT</u> During CRT: <b>5-FU</b> (250mg/m <sup>2</sup> continuous IV on days 1 to 14 and days 22 to 35) and <b>oxaliplatin</b> (50 mg/m <sup>2</sup> on days 1, 8, 22, and 29)	
<b>NEOADJUVANT TRIALS USING IMMUNE CHECKPOINT INHIBITORS</b>			
<b>VOLTAGE-A</b> <sup>[16]</sup> Phase I/II <a href="#">NCT02948348</a> (39, 2017–2019)	CRT (cape) → Nivolumab #5 → TME (→ mFOLFOX6/CAPOX)  Surgery was performed within 14 weeks after the end of CRT (98 days from the day following the last day of CRT). For patients with favorable postoperative conditions, a maximum of 6 months on adjuvant mFOLFOX6 or CAPOX was recommended, at the investigator's discretion.	<u>RT</u> Dose: 50.4 Gy in 28 fractions (45 Gy/25 fractions to the pelvic cavity and 5.4 Gy/3 fractions boost to the primary lesion) <u>CT</u> During CRT: <b>capecitabine</b> (825 mg/m <sup>2</sup> twice a day on the days of RT) <u>ICI</u> <b>Nivolumab</b> 240 mg every 2 weeks, starting within 14 days of completion of CRT	<u>pCR</u> - 30% (MSS) vs 60% (MSI-H) - 75% (PD-L1 ≥1%) vs 17% (<1%) - 78% (CD8/eTreg ≥2.5) vs 13% (<2.5)
<b>AVANA</b> <sup>[17]</sup> Phase II <a href="#">NCT03854799</a> (101, 2019–2020)	CRT (cape) + Avelumab #6 → TME  Surgery was performed 8–10 weeks after the end of CRT.	<u>RT</u> Dose: 50.4 Gy in 28 fractions <u>CT</u> During CRT: <b>capecitabine</b> (825 mg/m <sup>2</sup> twice a day on the days of RT)) <u>ICI</u> <b>Avelumab</b> 10 mg/kg every 2 weeks, starting on day 1 of CRT	<u>pCR</u> 23% major pathologic response 61.5%
<b>R-IMMUNE</b> <sup>[18]</sup> Phase Ib/II <a href="#">NCT03127007</a> (26, ongoing)	CRT (5-FU) + Atezolizumab #4 → S  Surgery is planned at week 15.	<u>RT</u> Dose: 45–50 Gy in 25 fractions <u>CT</u> During CRT: IV protracted 5-FU given at 225mg/m <sup>2</sup> over 24h 5 days/week for 5 weeks	<u>pCR</u> 24%

<p><b>NRG-GI002</b><sup>[19]</sup> Phase II randomized <a href="#">NCT02921256</a> (185, 2018–2019)</p>	<p>A. mFOLFOX6 #6 → CRT (cape) → TME B. mFOLFOX6 #6 → CRT (cape) + Pembrolizumab #6 → TME</p>	<p><u>ICI</u> Atezolizumab 1200 mg on day 1 of week 3, 6, 9 and 12 <u>RT</u> Dose: 50.4 Gy in 28 fractions (45 Gy in 25 fractions for 5 weeks plus a 5.4 Gy boost in 3 fractions)</p>	<p><u>NAR score</u>: 14.08 vs 11.53 (ns) cCR: 13.6% vs 13.9% (ns) pCR: 29.4% vs 31.9% (ns) SSS: 71.0% vs 59.4% (ns)</p>
	<p>Surgery was performed 8–12 weeks after the last dose of RT.</p>	<p><u>CT</u> During CRT: <b>capecitabine</b> (825 mg/m<sup>2</sup> twice a day on the days of RT))</p>	
<p><b>AVERECTAL</b><sup>[20-22]</sup> Phase II (44, 2018–2020) <a href="#">NCT03503630</a></p>	<p>SCRT → mFOLFOX6 + Avelumab #6 → TME  Surgery was performed 3–4 weeks after the last cycle of mFOLFOX-6 &amp; avelumab.</p>	<p><u>ICI</u> <b>Pembrolizumab</b> 200mg every 3 weeks, starting on day 1 of CRT <u>RT</u> Dose: 25 Gy in 5 fractions Technique: IMRT or 3D-CRT. CTV includes GTV with 0.5 cm extension and all perirectal, presacral, and internal iliac lymph nodes all the way up to the sacral promontory</p>	<p>pCR 37.5% major pathologic response 67.5%</p>
		<p><u>CT &amp; ICI</u> <b>mFOLFOX6</b> 30 min after <b>avelumab</b> 10 mg/kg every 2 weeks, starting one week after SCRT</p>	
<p><b>Lin et al</b><sup>[23]</sup> Phase II (27, 2019-2020) <a href="#">NCT04231552</a></p>	<p>SCRT → CAPOX + Camrelizumab #2 → TME  Surgery was performed after 1 week of the last dose of CT.</p>	<p><u>RT</u> Dose: 25 Gy in 5 fractions <u>CT &amp; ICI</u> <b>CAPOX</b> plus <b>Camrelizumab</b> (200mg on day 1 of each cycle), starting 1 week after SCRT, every 3 weeks</p>	<p>pCR 48.1% - pMMR 46.2% (12/26) - dMMR 100% (1/1)</p>
<p><b>TORCH</b><sup>[24, 25]</sup> Phase II randomized ongoing <a href="#">NCT04518280</a></p>	<p>A. SCRT → CAPOX + toripalimab #6 → TME or WW B. CAPOX + toripalimab #2 → SCRT → CAPOX + toripalimab #4 → TME or WW</p>	<p><u>RT</u> Dose: 25 Gy in 5 fractions Technique: IMRT <u>CT &amp; ICI</u> <b>CAPOX</b> and <b>toripalimab</b> (240 mg) every 3 weeks</p>	<p>(preliminary) <u>cCR+pCR</u>: 81.3% (13/16 MSS patients) - group A (n=7) : cCR 1, pCR 4, near pCR 1 - group B (n=9): cCR 4, pCR 4</p>
	<p>Surgery was performed 2–4 weeks after the end of</p>		

	the whole neoadjuvant treatment		
<b>Cercek <i>et al</i></b> <sup>[26]</sup>	Dostarlimab #9 →	<u>RT</u>	(preliminary)
Phase II	if cCR → WW	Dose: 50.4 Gy in 28 fractions	<u>cCR</u> 100% (12/12)
ongoing	if residual+ → CRT → WW (cCR) or TME (residual+)	<u>CT</u>	- All are under active surveillance
<a href="#">NCT04165772</a>		During CRT: <b>capecitabine</b> (825 mg/m <sup>2</sup> twice a day) or <b>5-FU</b> (if patient is unable to tolerate oral medication)	without progression or recurrence during 6 to 25 months.
		<u>ICI</u>	
		<b>Dostarlimab</b> 500mg IV every 3 weeks	
<b>PRIME-RT</b> <sup>[27]</sup>	A. (SCRT → mFOLFOX6 #6) + durvalumab #4 → S or WW	<u>RT</u>	
Phase II randomized	B. (CRT → mFOLFOX6 #4) + durvalumab #4 → S or WW	Dose: 25 Gy in 5 fractions (group A)	
ongoing		50 Gy in 25 fractions (group B) 50 Gy to the primary tumor and 45 Gy to the elective pelvic nodes	
<a href="#">NCT04621370</a>		Technique: IMRT	
	Assessment of response at approximately 16–18 weeks after day 1 of RT. If the patient is proceeding to surgery, this will be performed at approximately 18–20 weeks after day 1 of RT where possible.	<u>CT</u>	
		During CRT: <b>capecitabine</b> (825 mg/m <sup>2</sup> twice a day on the days of RT)	
		<u>ICI</u>	
		<b>Durvalumab</b> (1500 mg IV) starts in the week prior to day 1 of (C)RT, and continues every 4 weeks until completion of FOLFOX.	
<b>EA2201</b> <sup>[28]</sup>	Ipilimumab/Nivolumab #2 → SCRT	<u>RT</u>	
Phase II	→ Ipilimumab/Nivolumab #2 → TME	Dose: 25 Gy in 5 fractions	
ongoing		<u>ICI</u>	
<a href="#">NCT04751370</a>	SCRT starts least 2 weeks but no longer than 6 weeks after completion of cycle 2 of nivolumab and ipilimumab.	<b>Nivolumab</b> IV over 30 minutes and <b>ipilimumab</b> IV over 90 minutes on day every 28 days for 2 cycles	
	Surgery was performed 8–12 weeks after completion of 4th cycle of nivolumab and ipilimumab.		
<b>Qiu</b> <sup>[29]</sup>	SCRT + Sintilimab #3 → TME or WW	<u>RT</u>	
Phase Ib		Dose: 25 Gy in 5 fractions	
ongoing	Sintilimab starts on day 1 of SCRT.	Technique: IMRT	

<sup>1</sup>The schedules of chemotherapy regimens are as follows:

**CAPOX** (capecitabine 1000 mg/m<sup>2</sup> orally twice daily on days 1–14, oxaliplatin 130 mg/m<sup>2</sup> IV on day 1, every 21 days)

**FOLFOX4** (oxaliplatin 85 mg/m<sup>2</sup> IV on day 1, leucovorin 200 mg/m<sup>2</sup> IV on days 1 and 2, followed by 5-FU 400 mg/m<sup>2</sup> IV bolus and 5-FU 600 mg/m<sup>2</sup> IV for 22 h on days 1 and 2, every 14 days)

**mFOLFOX6** (oxaliplatin 85 mg/m<sup>2</sup> IV, followed by LV 400 mg/m<sup>2</sup> IV, followed by 5-FU 400 mg/m<sup>2</sup> IV bolus, followed by 5-FU 2400 mg/m<sup>2</sup> continuous IV over 46–48 h every 14 days)

**FOLFIRINOX** (oxaliplatin 85 mg/m<sup>2</sup> and LV 400 mg/m<sup>2</sup> IV, followed by irinotecan 180 mg/m<sup>2</sup> IV, and fluorouracil 2400 mg/m<sup>2</sup> continuous IV over 46 h every 14 days)

<sup>2\*</sup> denotes statistically significant result.

3D-CRT: 3-dimensional conformal radiotherapy; 5-FU: 5-fluorouracil; cape: capecitabine; cCR: clinical complete response; cDM: cumulative distant metastasis; cLF: cumulative local failure; CRT: chemoradiotherapy; CSS: cancer-specific survival; CT: chemotherapy; CTV: clinical target volume; DFS: disease-free survival; DM: distant metastasis; DMFS: distant metastasis-free survival; dMMR: deficient mismatch repair; DRTF: Disease-related treatment failure; GTV: gross tumor volume; ICI: immune checkpoint inhibitor; IMRT: Intensity-modulated radiotherapy; LF: local failure; LV: leucovorin; MSI-H: high microsatellite instability; MSS: microsatellite stable; NAR: neoadjuvant rectal; ns: not significant; OS: overall survival; pCR: pathologic complete response; PD-L1: programmed death-ligand 1; pMMR: proficient mismatch repair; RT: radiotherapy; S: surgery; SCRT: short-course radiotherapy; TME: total mesorectal excision; TNT: total neoadjuvant treatment; WW: watch-and-wait.

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